

# Toward a couple Carbon – Climate reanalysis of the 20th Century

---

**Philippe Peylin**, Nicolas Vuichard, Vladislav Bastrikov  
Natasha MacBean, Fabienne Maignan, Cedric Bacour,  
Sauveur Belviso, Catherine Ottle,  
& the ORCHIDEE project team

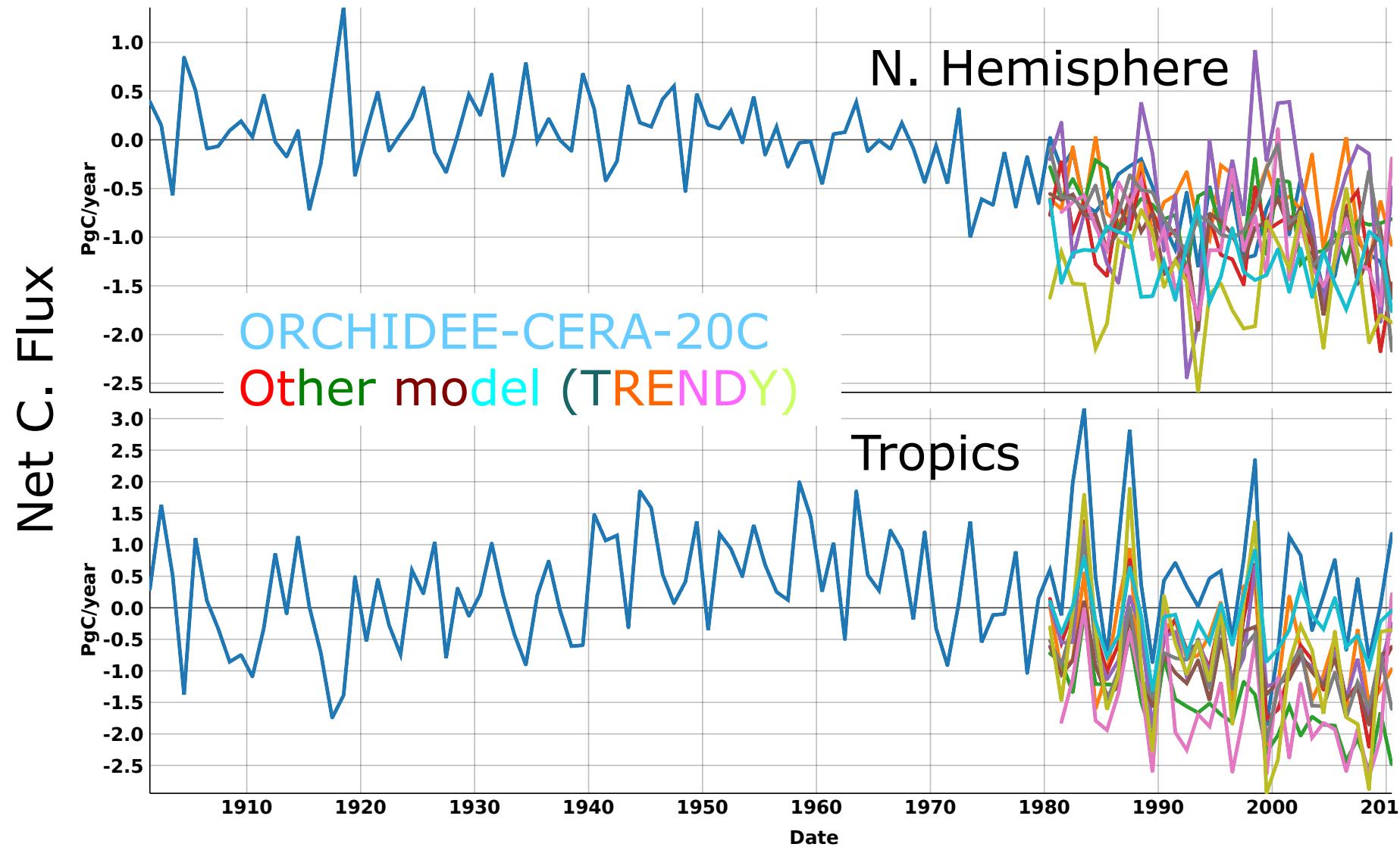
Laboratoire des Sciences du Climat et de l'Environnement  
CEA/CNRS/UVSQ, IPSL, France

# Challenge....

---

- Long term objective: perform a “joint assimilation” including Carbon Cycle feedback on climate !
- ERACLIM2 will only provide guidance for needed developments..
  - Joint assimilation should be done with CHTESSEL Land surface model of IFS
  - Correction of state variable or model parameters ?
- LSCE provide expertise & results with ORCHIDEE land surface model

# Net Carbon flux still highly variables..



# Parameter vs Process uncertainties

Estimation using Net Carbon Flux measurements (NEE)  
(Fluxnet sites)

Optimization of ORCHIDEE model parameters

Derivation of Model process vs parameter errors

Diagnostics in observation space

$$\underbrace{E \left[ \mathbf{d}_o^b \left( \mathbf{d}_o^b \right)^T \right]}_{\text{Cross-product of prior residuals}} = \underbrace{\mathbf{H} \mathbf{B} \mathbf{H}^T}_{\text{Background error}} + \underbrace{\mathbf{R}}_{\text{Observation error}}$$

(Desroziers et al., 2005)

→ Both errors are of similar magnitude..



# Parameters vs State variables optimization

## State var optimization (CTESSEL)

- Little assumption on processes
- Maximum extraction of the obs information ( $[\text{CO}_2]_{\text{atm}}$  includes all processes,...)
- No insight on processes
- C stocks cannot be assimilated easily
- Only few data cover 20<sup>th</sup> C
- No predicting capabilities

## Parameter optimization (ORCHIDEE)

- Easier to use multi-data streams
- Constrain all processes
- Data dont need to cover the full period
- Prediction capabilities
- Rely on LSM structure
- Missing processes ?
- Heavier to handle

# Step wise data assimilation system

$$J(x) = \frac{1}{2}(\mathbf{H} \cdot \mathbf{x} - \mathbf{y})^T \mathbf{R}^{-1} (\mathbf{H} \cdot \mathbf{x} - \mathbf{y}) + \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x} - \mathbf{x}_b)$$

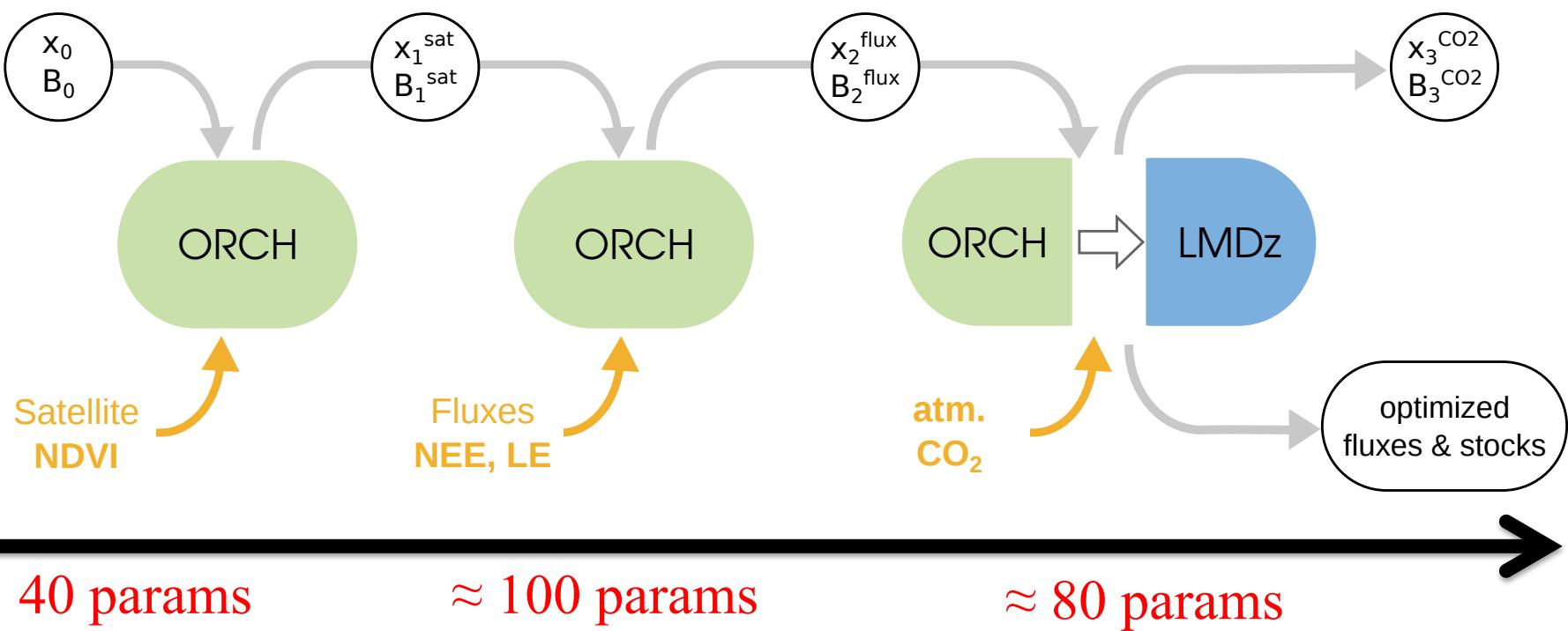
Observation term

Prior parameter term  
(from previous step)

MODIS  
NDVI

FluNet  
NEE / LE

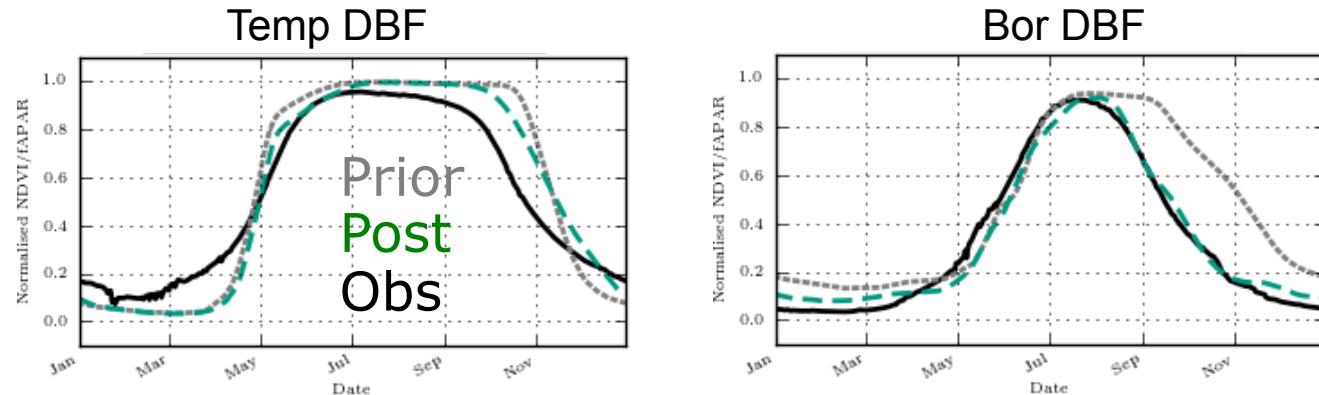
Atmospheric  
CO<sub>2</sub>



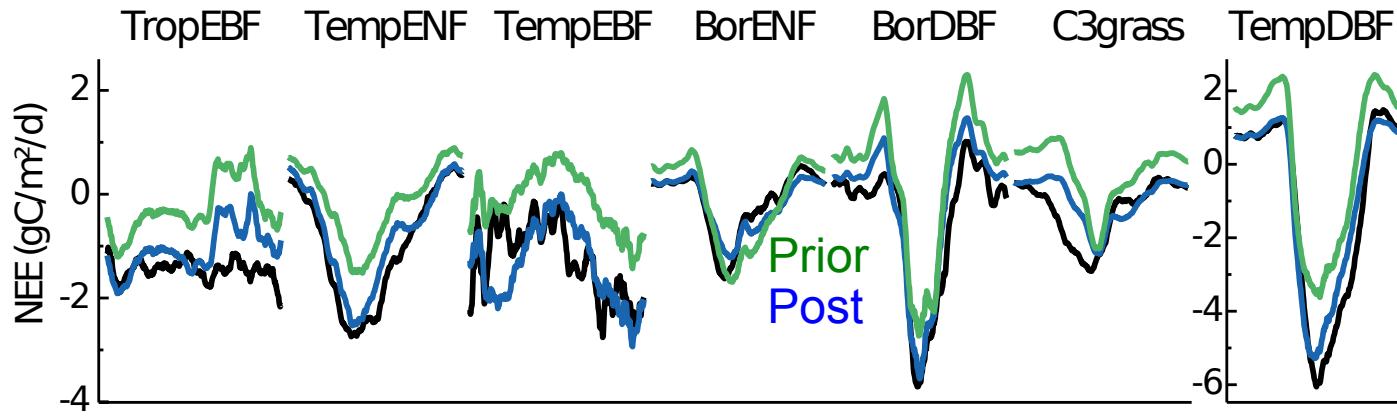


# Assimilation of multiple data streams

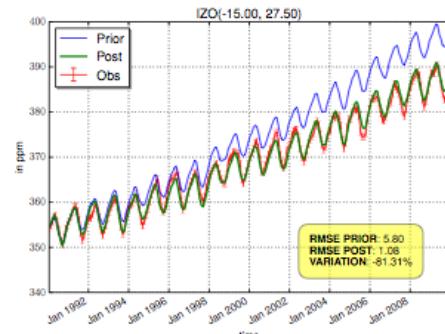
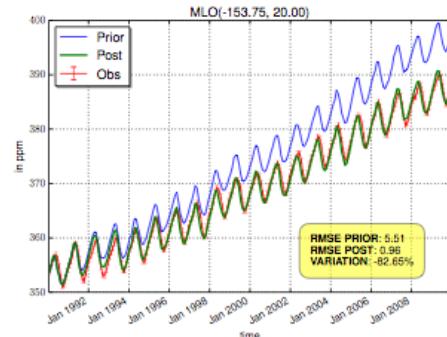
**Step 1:**  
**MODIS-NDVI**  
4 params /PFT



**Step 2:**  
**75 fluxnet data**  
≈ 20 params /PFT

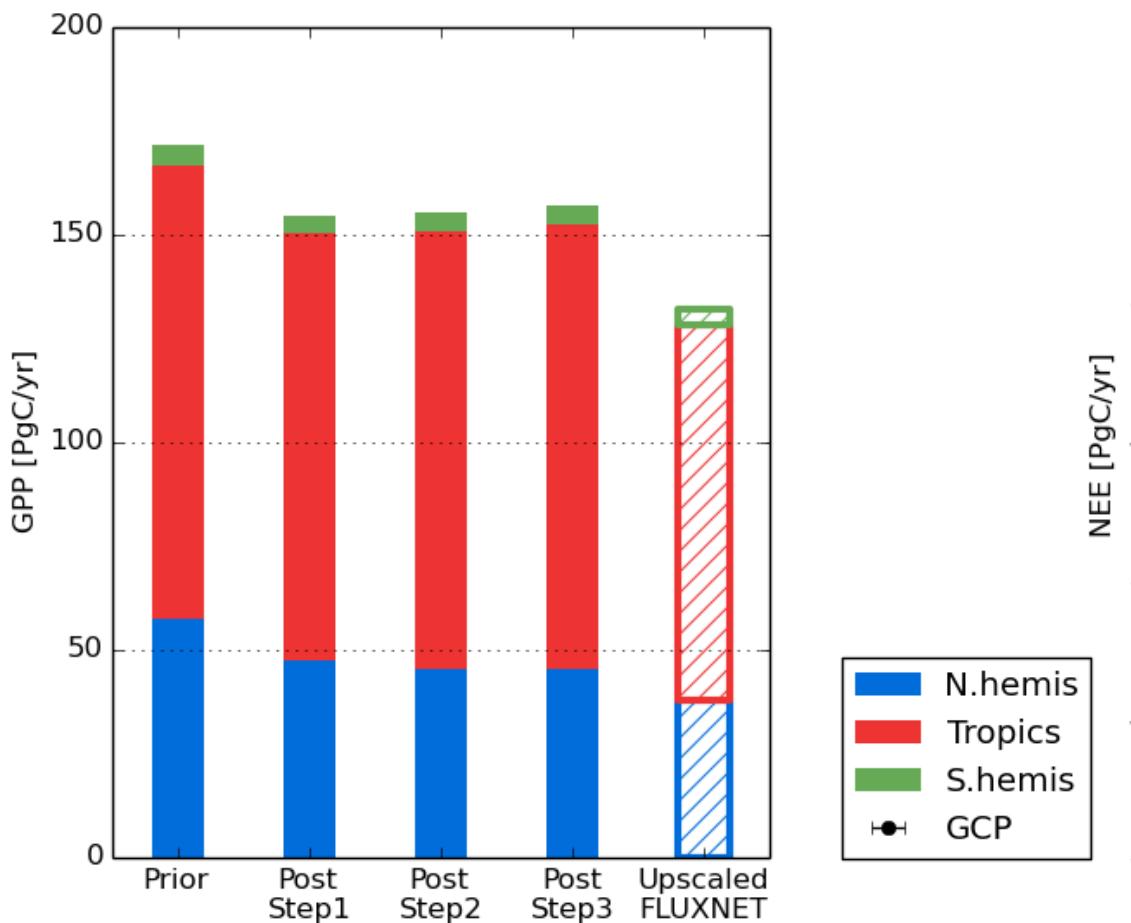


**Step 3:**  
**Atmospheric data**  
≈ 100 params total

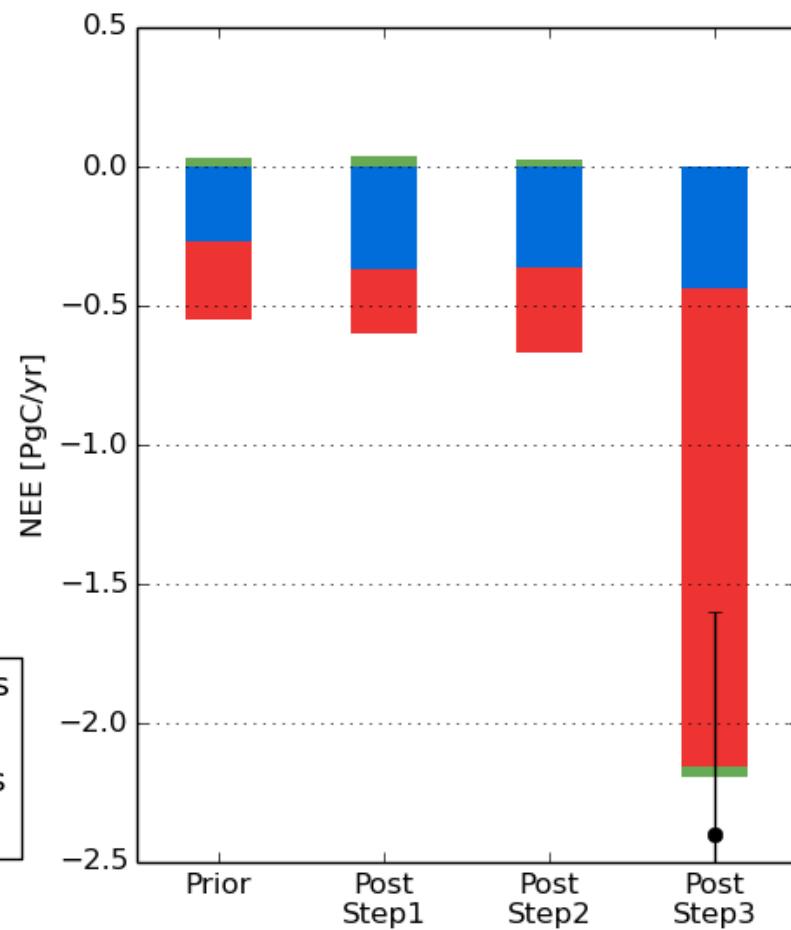


# Impact on net and gross C budgets:

Gross Primary Productivity  
→ (C uptake)



Net Ecosystem Exchange  
→ (net CO<sub>2</sub> flux)



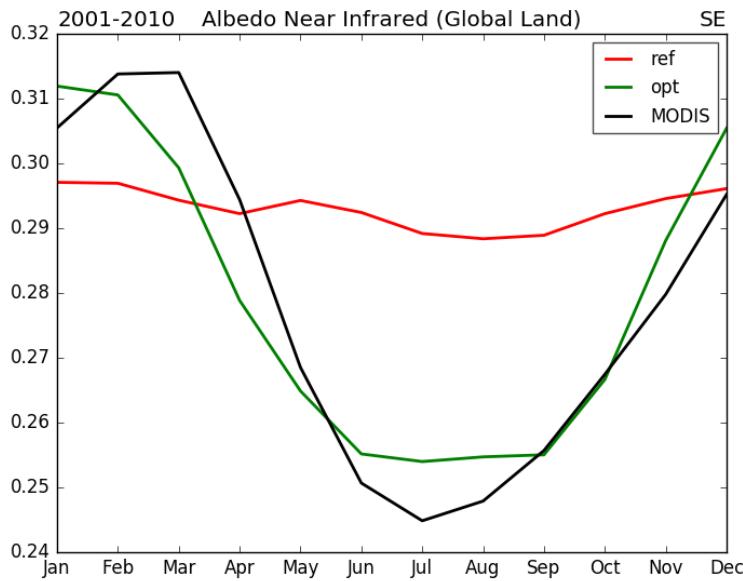
# Progress made in 2017

- New model version & its Tangent Linear
- Improvement of the optimization framework
- Partial completion of the Bayesian optimization with the new ORC version
- Test of DA with new observations

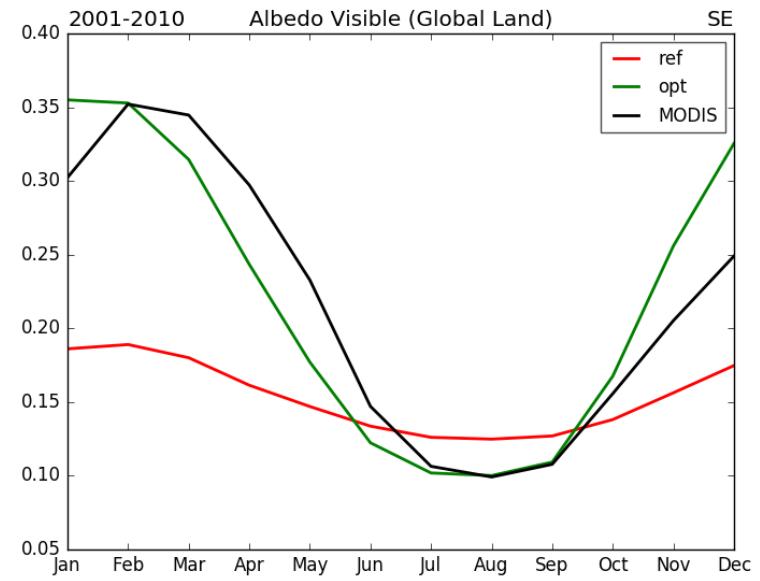
# ALBEDO OPTIMIZATION

- Optimized albedo using MODIS observations (2001-2010)
- Principle:
  - Step 1: « hand » optimization of snow albedo parameters (mean value, age dependency)
  - Step2:  
Bayesian calibration of vegetation albedo parameters (visible and near infrared) and bare soil albedo
- Everything is described under:  
<https://orchidas.lsce.ipsl.fr/dev/fit2.php>

# ALBEDO OPTIMIZATION



Initial  
Optim  
Modis



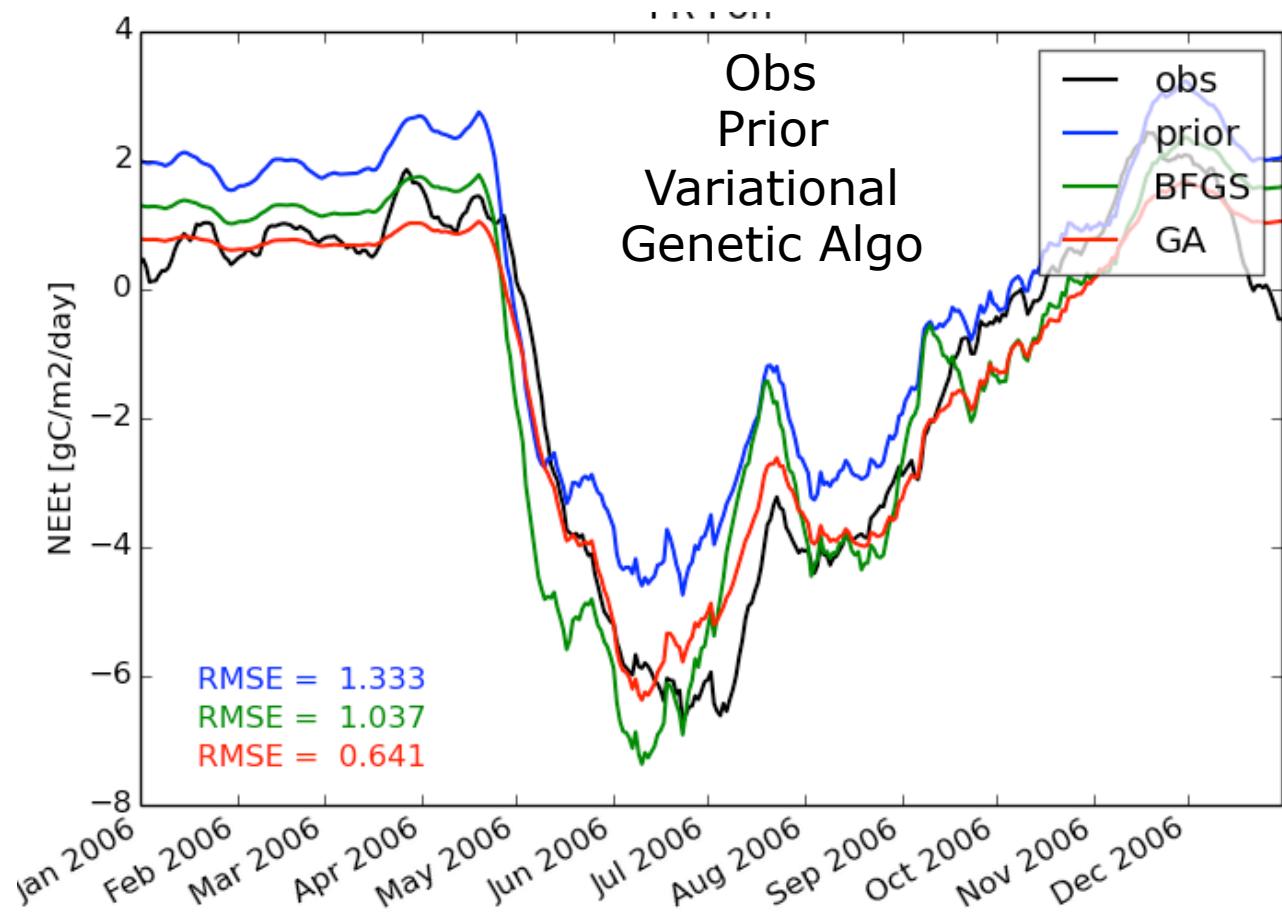
- Initial seasonal cycle was not captured well
- Significant improvement after « Data Assimilation »

# Fluxnet : Variational vs Monte Carlo

- Variational vs Genetic Algorithm minimization
- Using 72 FluxNet site observations (NEE, LE)
- 15 different optimizations starting from random priors

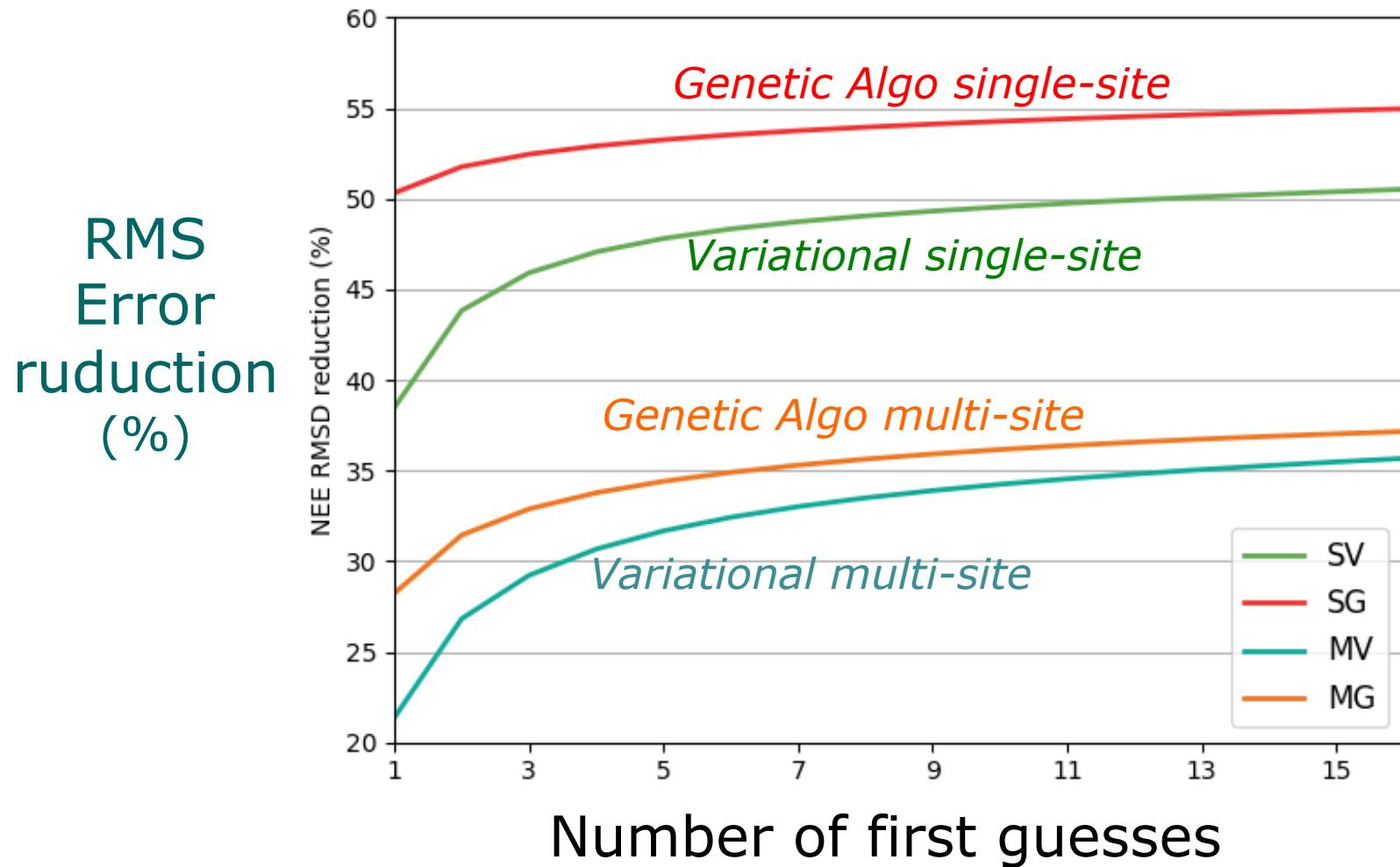
## Example:

NEE for an  
Oak Forest  
(Fontainebleau  
site)



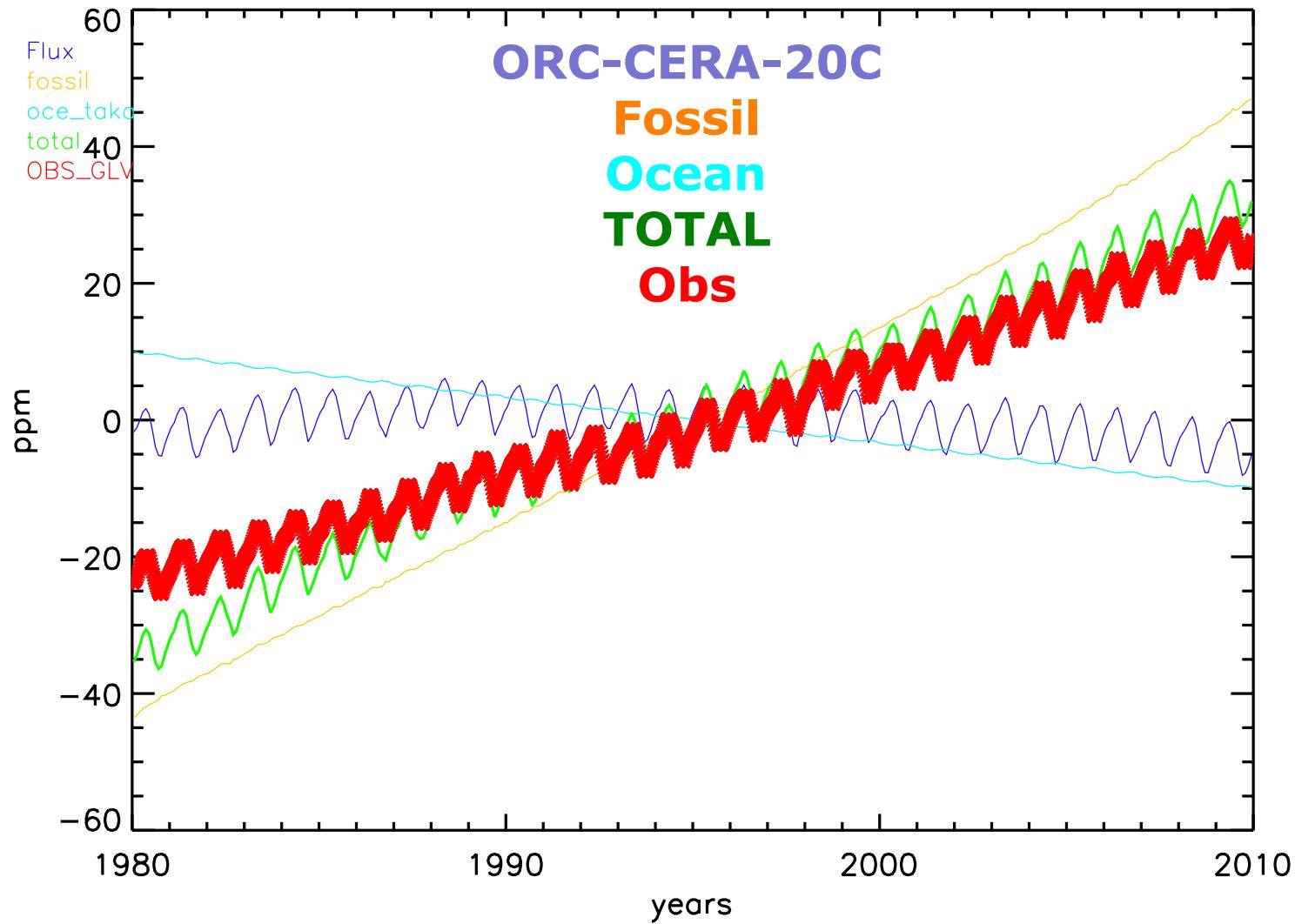
# Fluxnet : Variational vs Monte Carlo

Variational vs Genetic Algo; 72 FluxNet sites



# Atmospheric constraint

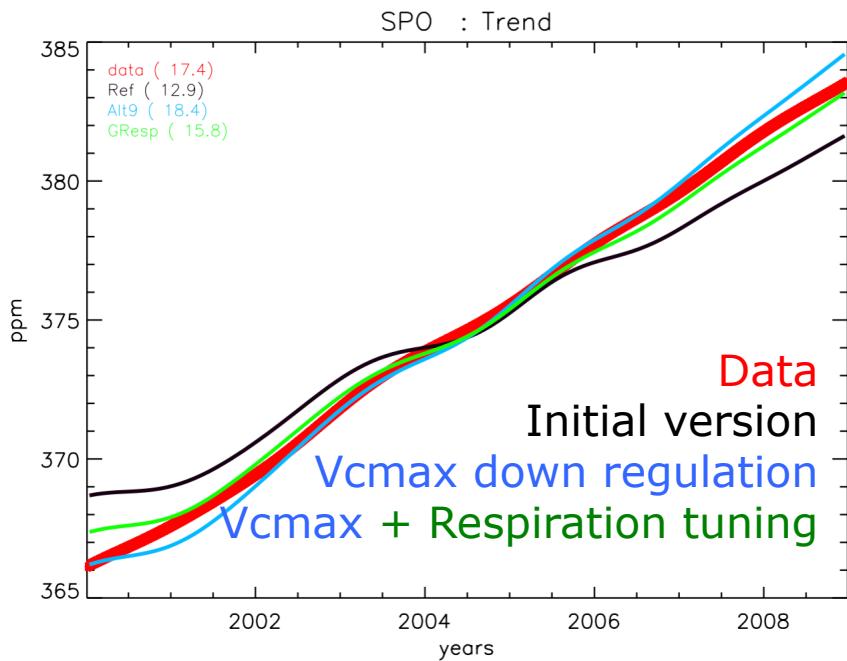
Mauna Loa site



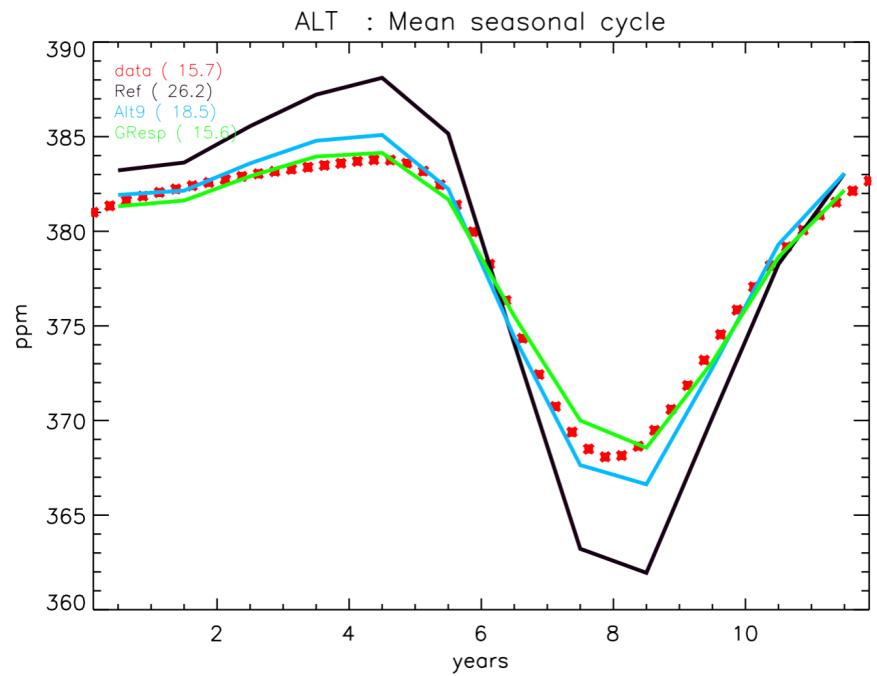
# Atmospheric constraint

→ Optimization of key parameters ( $V_{cmax}$ ,  $LAI_{max}$ , ...) using trial-error approach with atm. CO<sub>2</sub> concentrations (LMDz)

Trend (SPO)

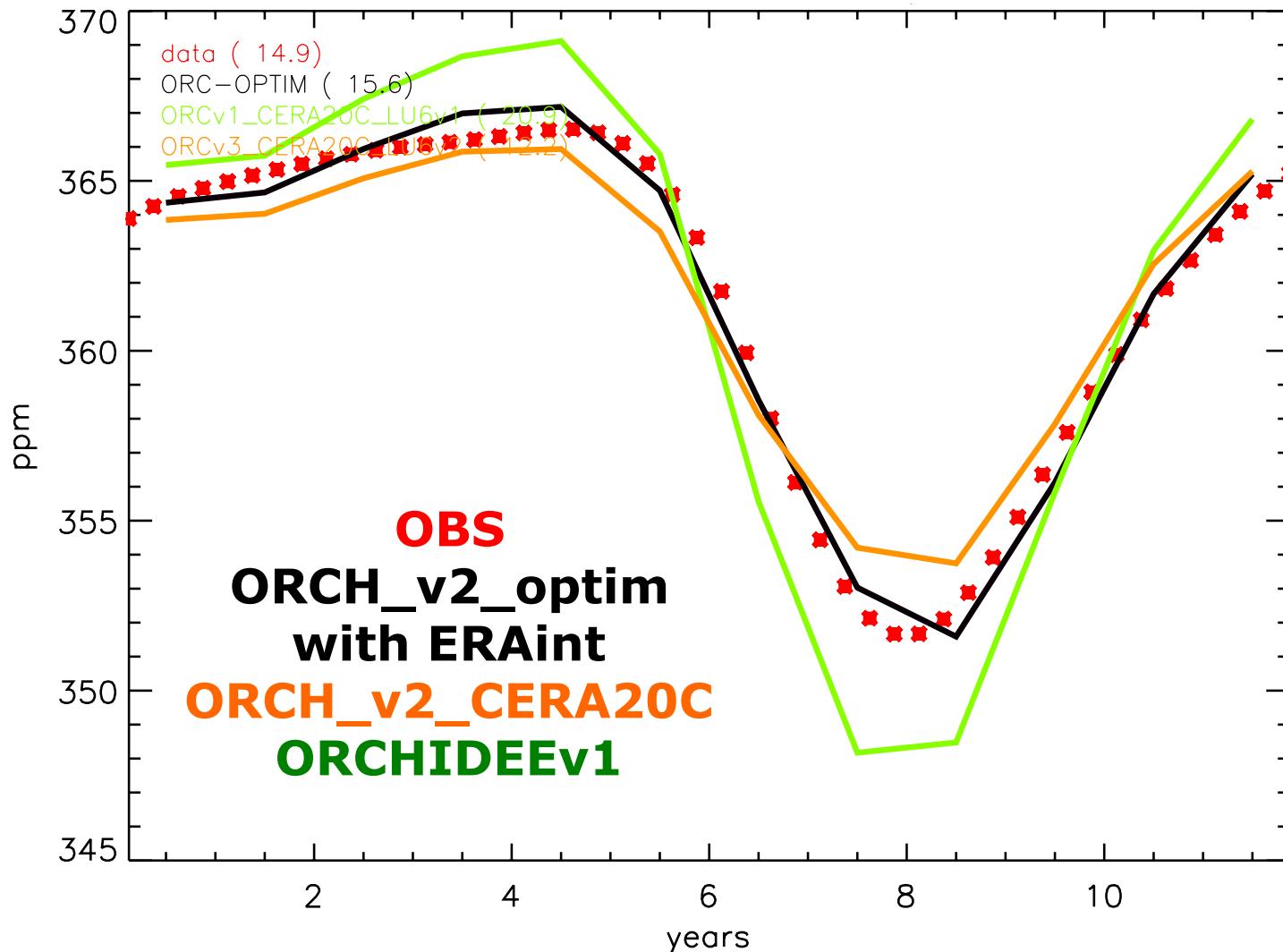


Mean seas. Cycle (ALT)



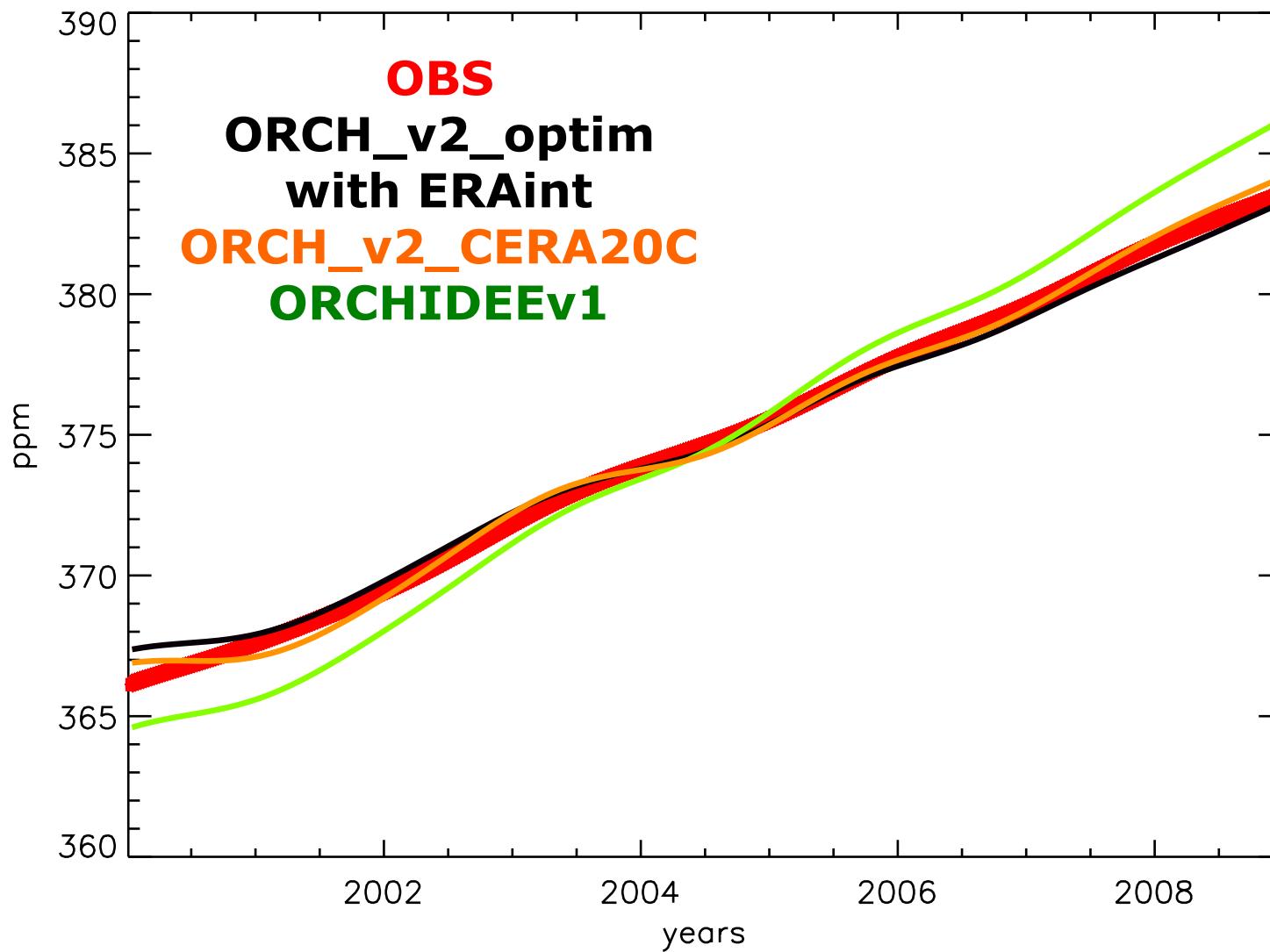
# Atmospheric constraint

Alert station : means seas. cycle



# Atmospheric constraint

South Pole station : trend



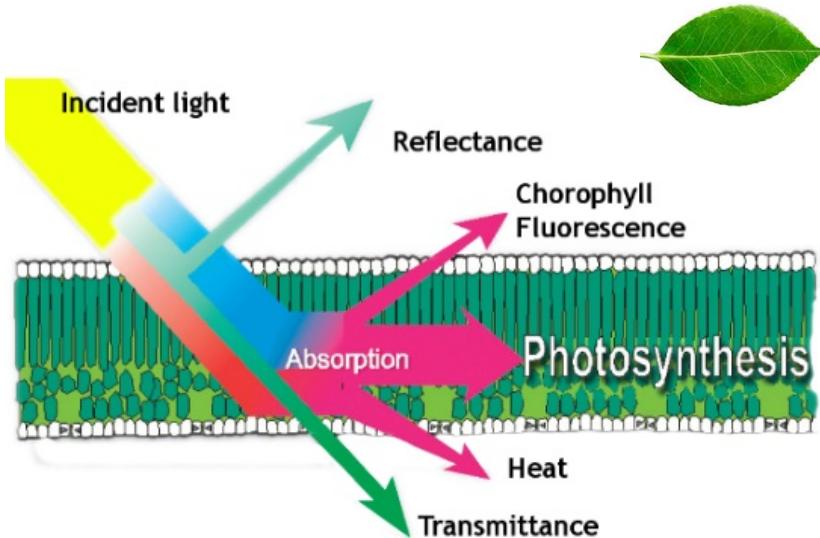
# Carbon cycle constraints

A Promising futur..

Assimilation of new recent observations  
to better constraint gross C fluxes

# Solar Induced Fluorescence data

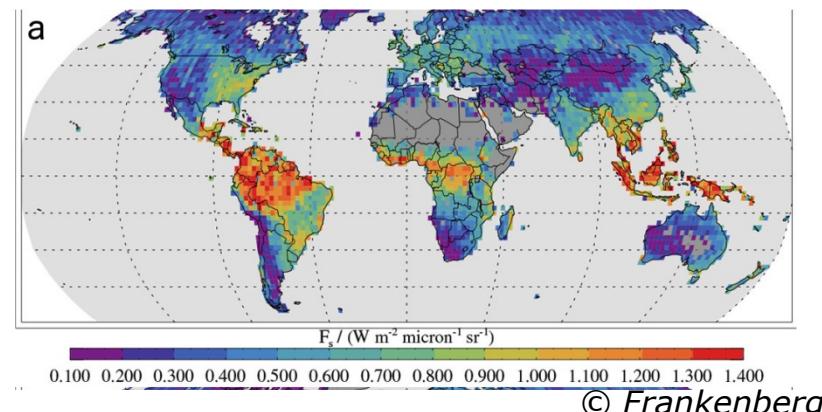
## Solar Fluorescence (SIF)



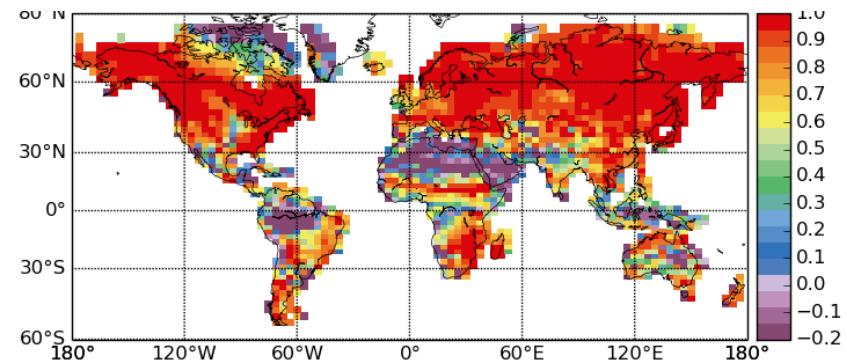
$SIF = \text{function} (GPP, T, \dots)$

→ Use SIF satellite data  
 (GOME-2 from Köhler et al., 2015)

GOME-2 SIF



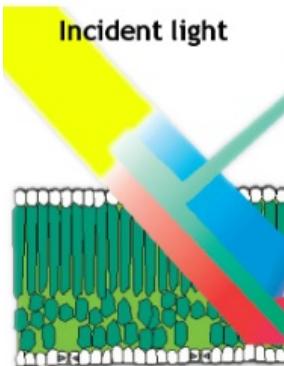
Correlation: ORCHIDEE-GPP vs SIF



→ Regional scale information  
 for phase (& synoptic events)

# Solar Induced Fluorescence data

Solar Flu



RESEARCH | REMOTE SENSING

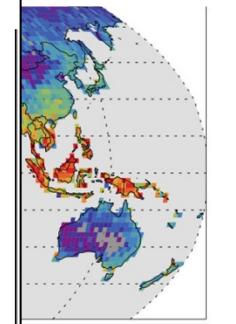
RESEARCH ARTICLE

CARBON CYCLE

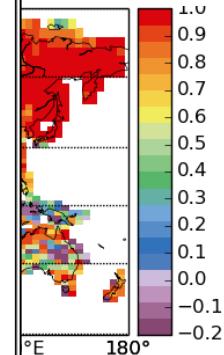
## Contrasting carbon cycle responses of the tropical continents to the 2015–2016 El Niño

Junjie Liu,<sup>1,\*</sup> Kevin W. Bowman,<sup>1</sup> David S. Schimel,<sup>1</sup> Nicolas C. Parazoo,<sup>1</sup> Zhe Jiang,<sup>2</sup> Meemong Lee,<sup>1</sup> A. Anthony Bloom,<sup>1</sup> Debra Wunch,<sup>3</sup> Christian Frankenberg,<sup>1,4</sup> Ying Sun,<sup>1,†</sup> Christopher W. O'Dell,<sup>5</sup> Kevin R. Gurney,<sup>6</sup> Dimitris Menemenlis,<sup>1</sup> Michelle Gierach,<sup>1</sup> David Crisp,<sup>1</sup> Annmarie Eldering<sup>1</sup>

The 2015–2016 El Niño led to historically high temperatures and low precipitation over the tropics, while the growth rate of atmospheric carbon dioxide ( $\text{CO}_2$ ) was the largest on record. Here we quantify the response of tropical net biosphere exchange, gross primary production, biomass burning, and respiration to these climate anomalies by assimilating column  $\text{CO}_2$ , solar-induced chlorophyll fluorescence, and carbon monoxide observations from multiple satellites. Relative to the 2011 La Niña, the pantropical biosphere released  $2.5 \pm 0.34$  gigatons more carbon into the atmosphere in 2015, consisting of approximately even contributions from three tropical continents but dominated by diverse carbon exchange processes. The heterogeneity of the carbon-exchange processes indicated here challenges previous studies that suggested that a single dominant process determines carbon cycle interannual variability.



P vs SIF



SIF = fun

→ Use S  
(GOME-2 fro

mation  
events)

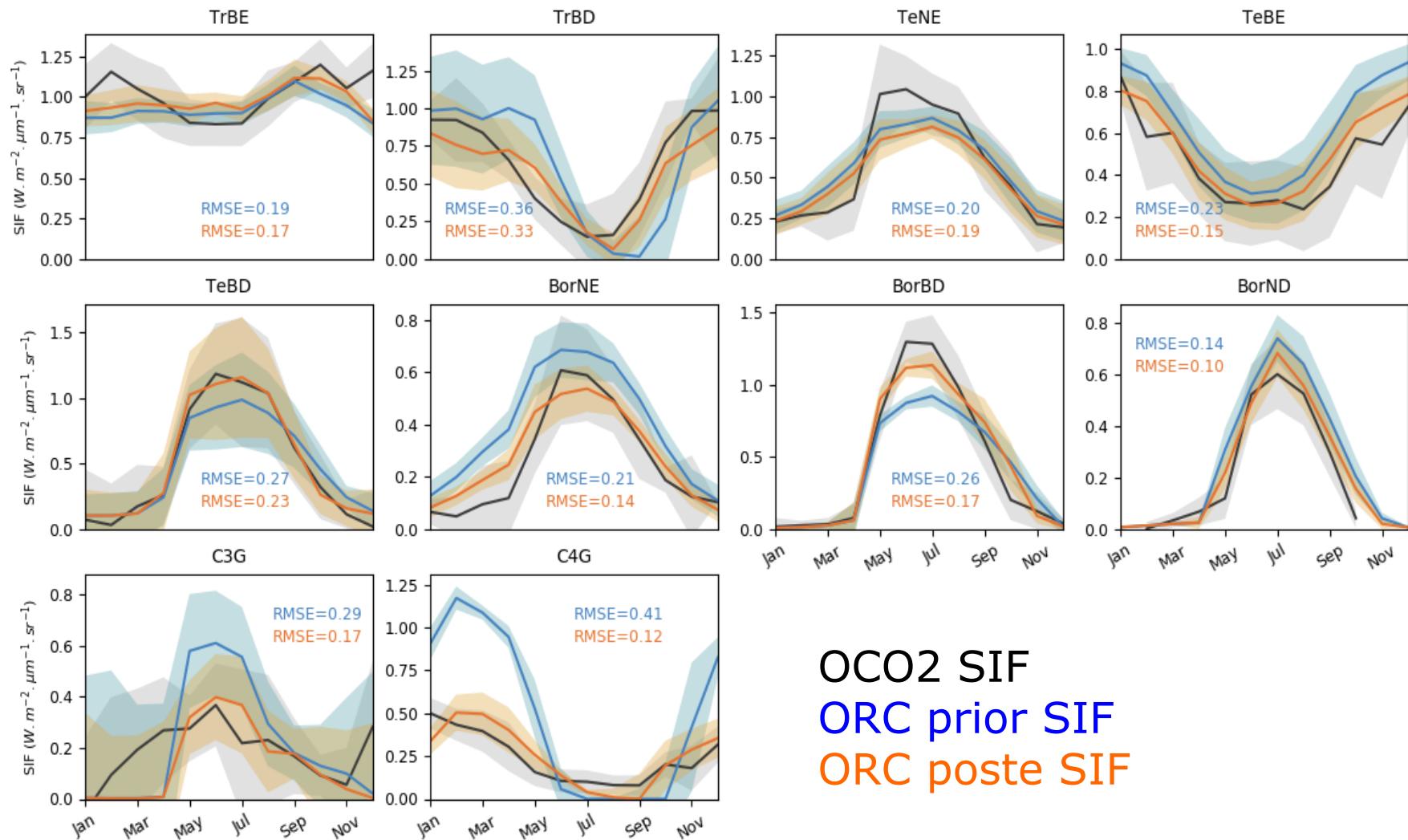
# Optimisation set-up

- Simple linear relationship between GPP and SIF:  
$$SIF = a \, GPP + b$$
- Constrain 'a' and 'b' (slope and offset) parameters in addition to photosynthesis and phenology parameters for ALL vegetated PFTs
- Use GOME2 SIF data (Köhler et al., 2015)
- 15 grid cells chosen randomly per PFT
- 12-16 parameters per PFT
- Multi-site optimisation performed for each PFT
- 4D – variational/finite difference data assimilation system

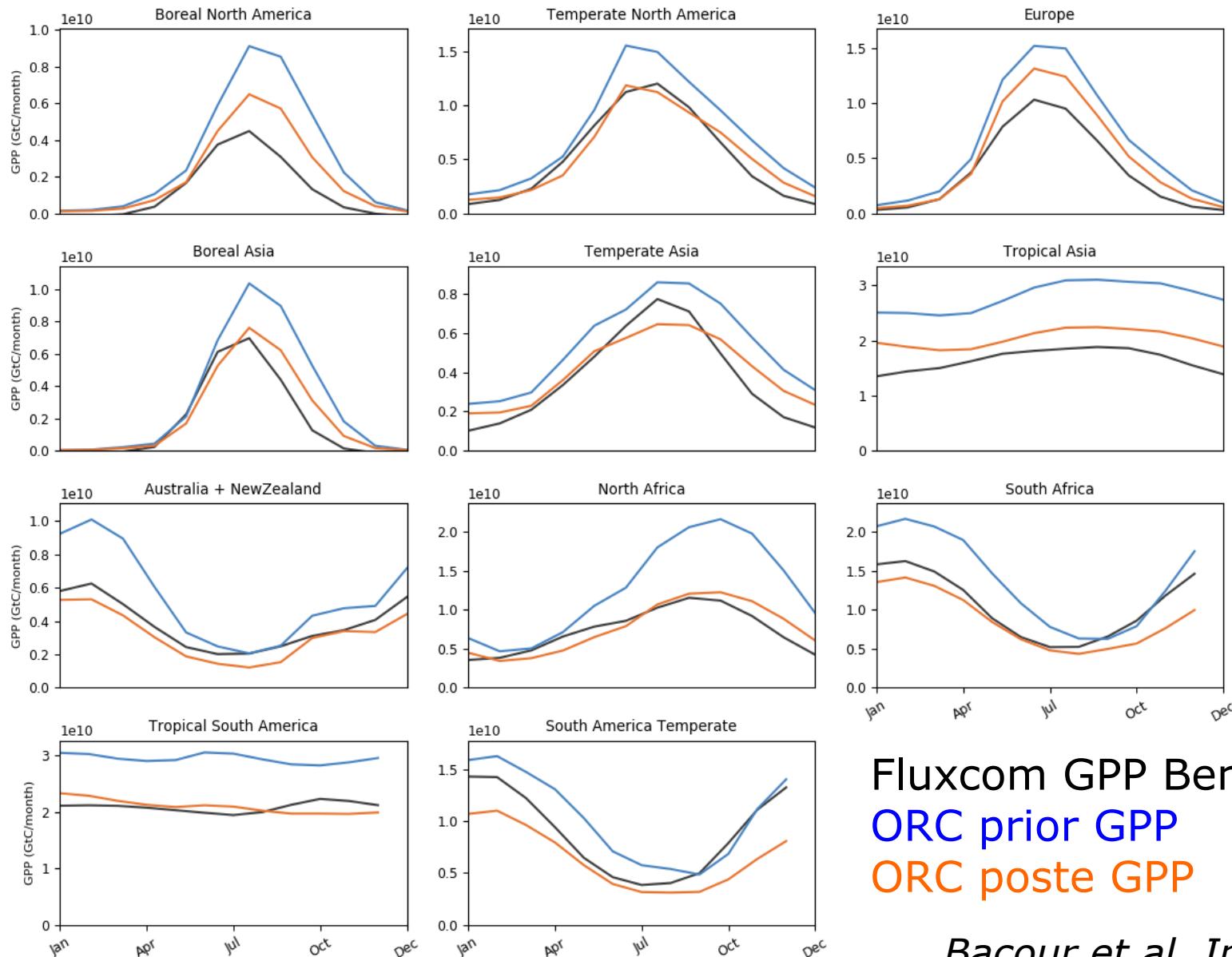
# Optimisation set-up

- New mechanistic GPP – SIF relationship:  
*SCOPE model f(GPP, Temp, Vcmax, ...)*
- Constrain several parameters of SCOPE and the photosynthesis & phenology model for ALL vegetated PFTs
- Use OCO2 SIF data
- 15 grid cells chosen randomly per PFT
- 12-16 parameters per PFT
- Multi-site optimisation performed for each PFT
- 4D – variational/finite difference data assimilation system

# OCO2 fluorescence assimilation



# OCO2 fluorescence assimilation



Fluxcom GPP Benchmark  
 ORC prior GPP  
 ORC poste GPP

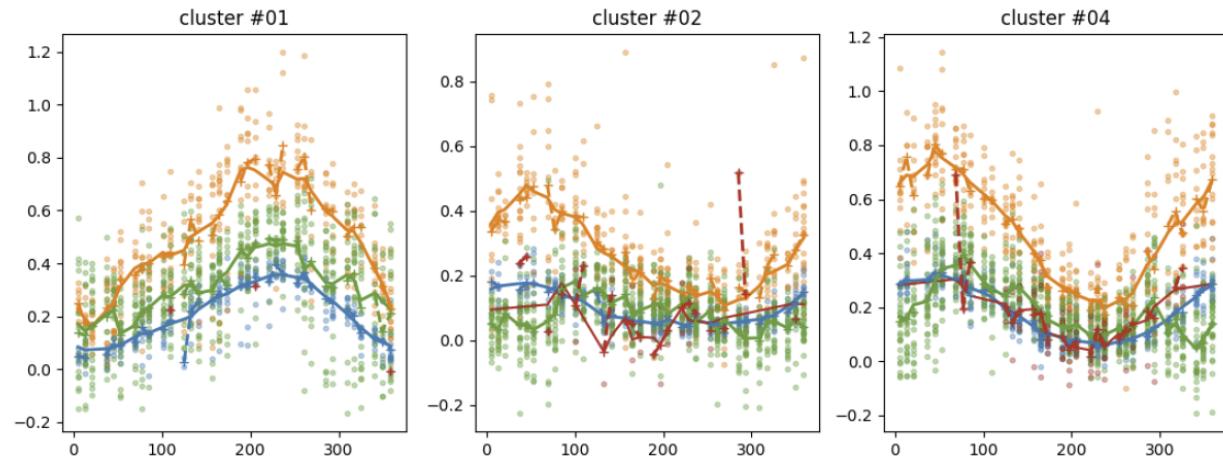
*Bacour et al. In prep*

# But cautious with existing biaises..

Solar  
Induce  
Fluorescence

## TrBD

Tropical Broadleaf Deciduous

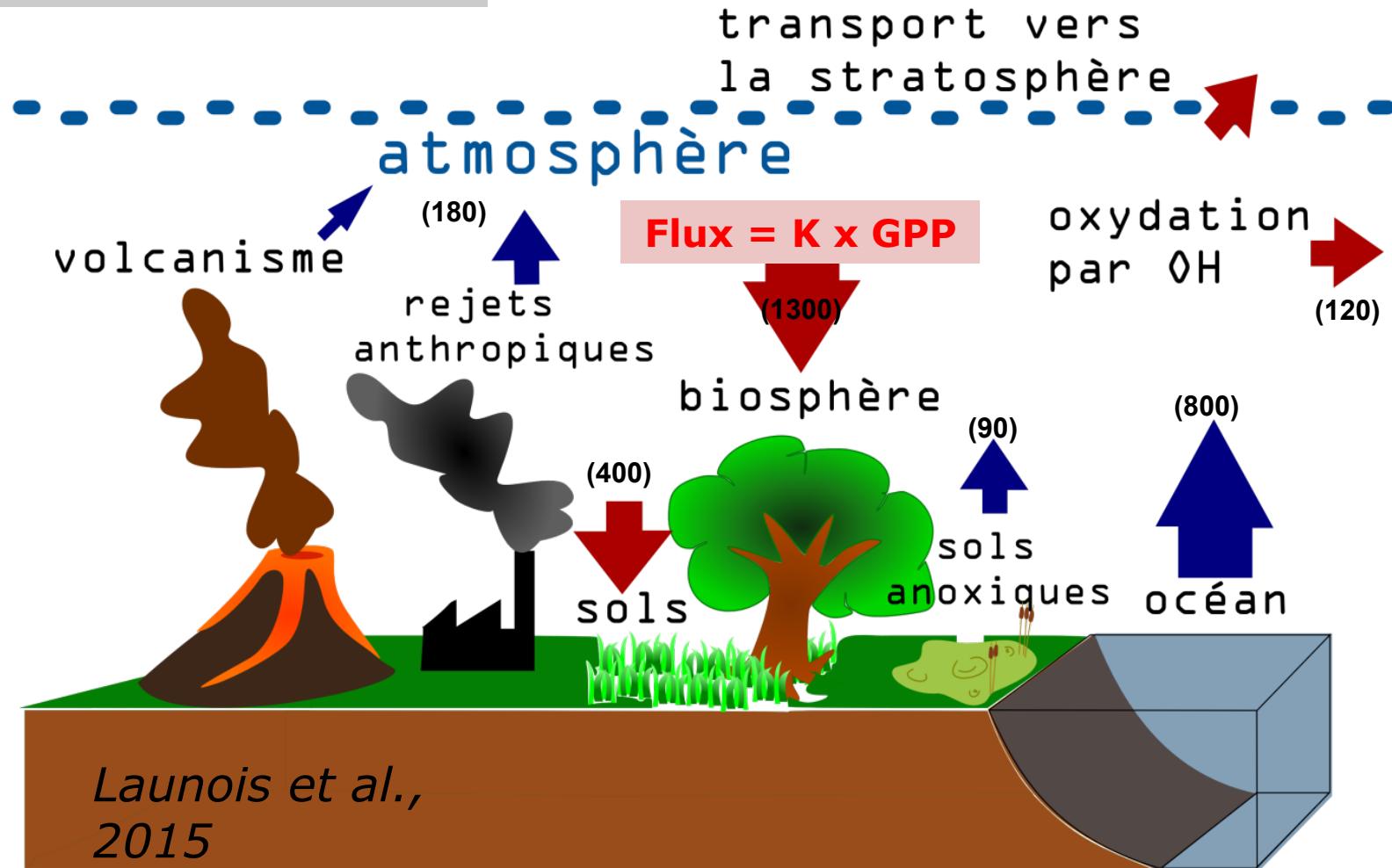


GOME2-1 GOME2-2 OCO2 GOSAT

- Large differences between product
- Data assimilation should be very done carfully possibly solving for biaises..

# Atmospheric [COS]: potential tracer of Photosynthesis (GPP) budget

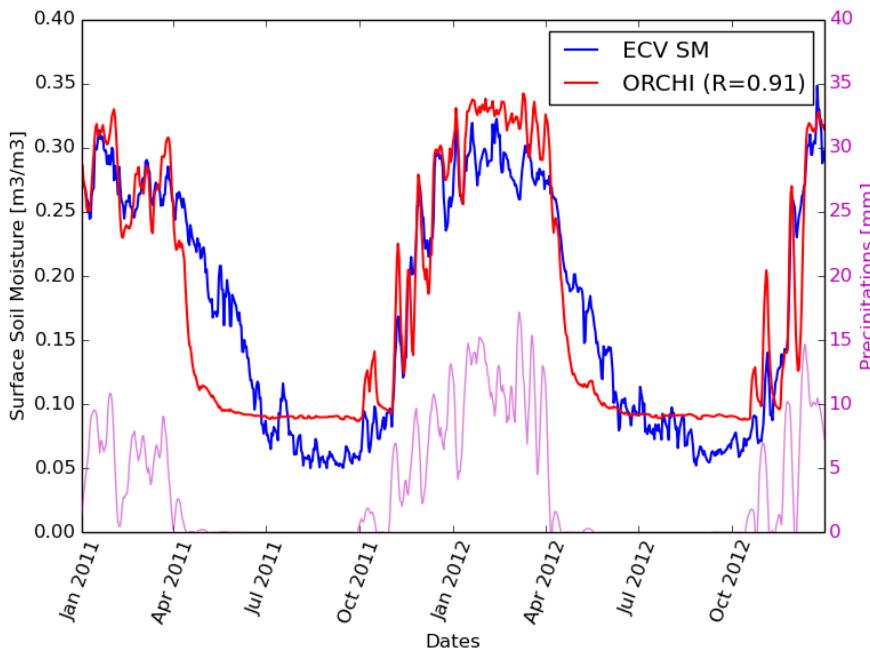
COS cycle (GgS/yr):



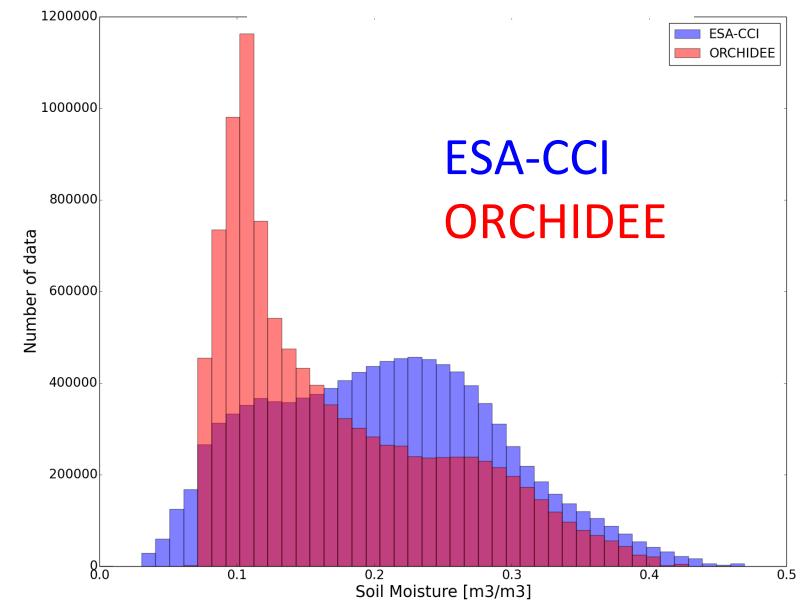
# Potential of Surface Soil Moisture data

- Using the ESA – CCI surface moisture product (35 years)
- Comparing with ORCHIDEE surface soil moisture

Ex: Brazil site



Global distribution



ESA-CCI  
ORCHIDEE

- Drying in ORCHIDEE after the rain even is too rapid !
- large potential to optimize soil moisture parameters

# Outlook: Strategy -1...

→ “Investigation” for an homogeneous earth system reanalysis including Carbon-cycle.

- Objective for the Land: Apply a “Carbon Cycle Data Assimilation System” over the 20<sup>th</sup> century using:
  - Atm CO<sub>2</sub>: **in situ recent data + Ice core data**
  - Satellite NDVI: **GIMS (AVHRR) long record**
  - FluxNet data: **(NEE, LE)**
  - Possibly forest age : **Age reconstruction**
  - New satellite data : **SIF**
  - Atmospheric tracer : **COS ?**
  - **Surface soil moisture data**

→ **Challenging to optimize model over long period**

# Outlook : strategy - 2

---

- State optimization within IFS:
  1. Need to improve CTESSEL land surface model
  2. Global coverage observations are only “indirectly” related to model carbon variables.. (NDVI, SIF, XCO<sub>2</sub>, ....)
  3. In situ data are still very scare !!

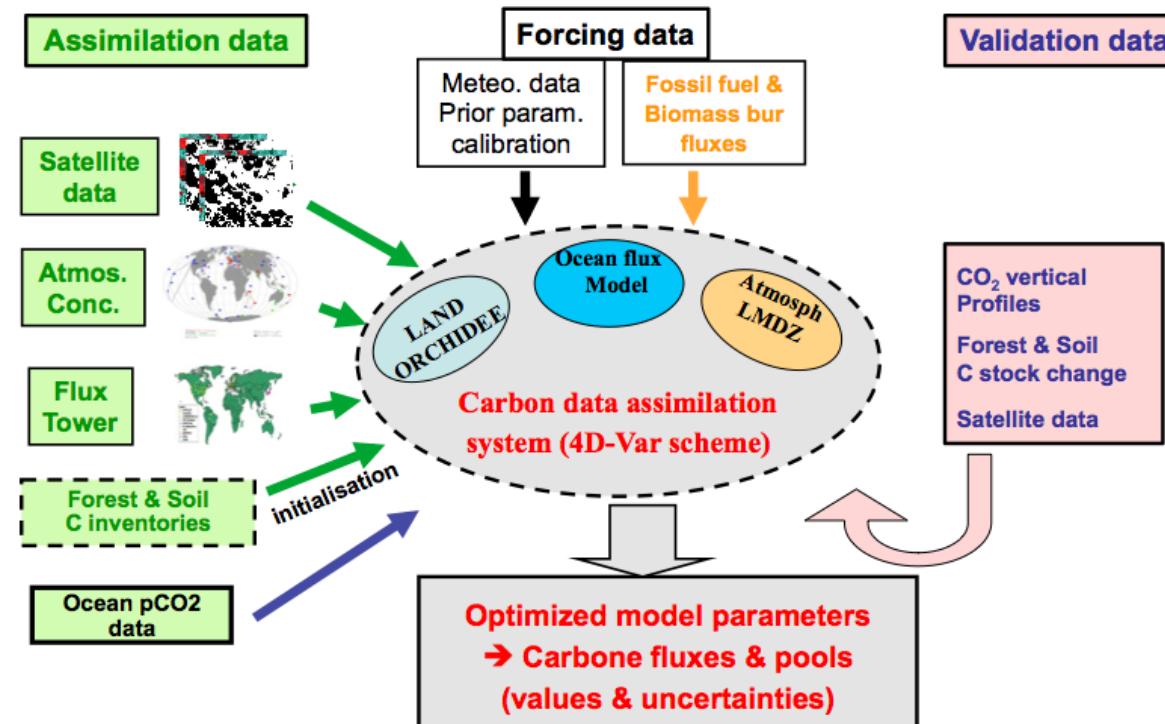
→ No obvious best strategy !

Thank you...

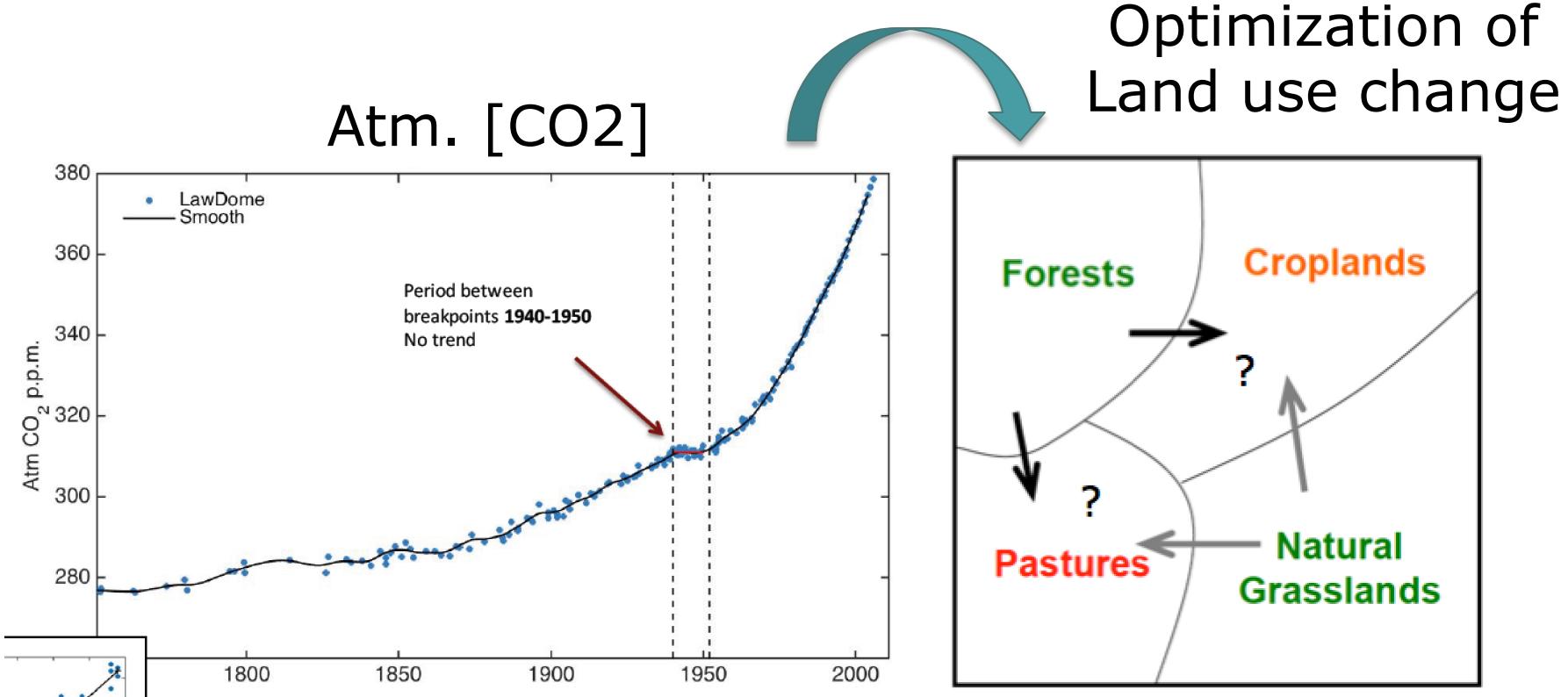
# Optimizing ORCHIDEE model parameters

- Optimization using
  - Atmospheric CO<sub>2</sub> data
  - MODIS – NDVI measurements
  - FluxNet (NEE, LE) measurements

## Carbon Cycle Data Assimilation System



# Use the full Atmospheric CO<sub>2</sub> record.. to correct for Land Use Change..

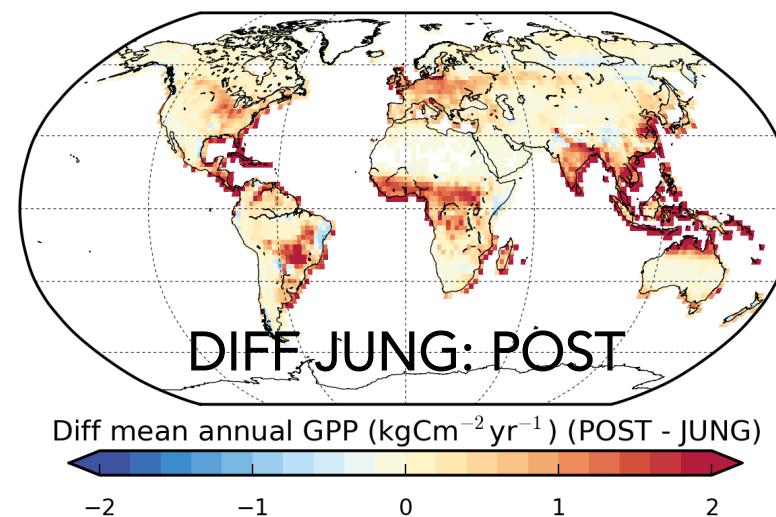
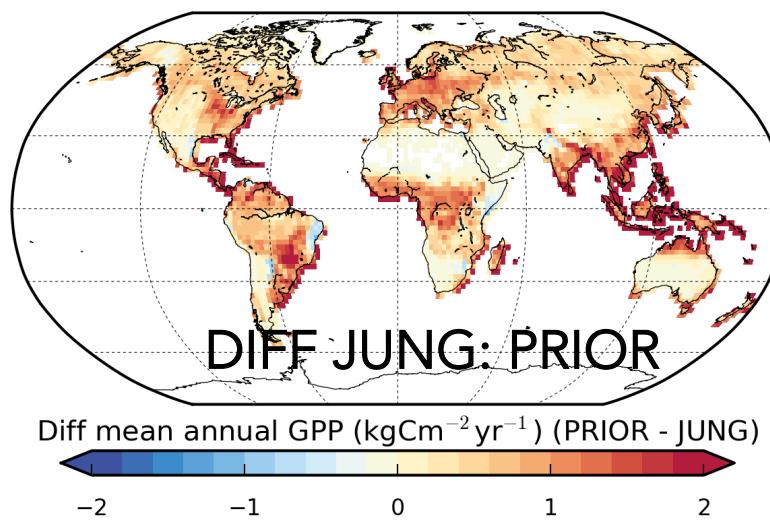
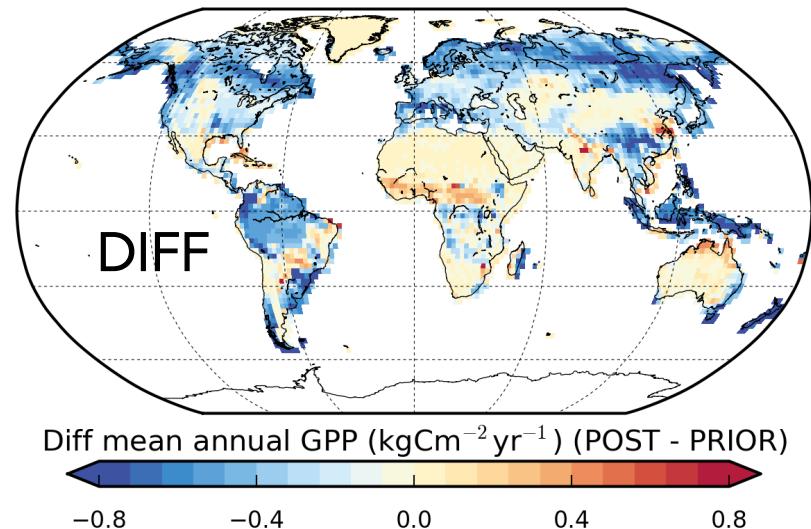
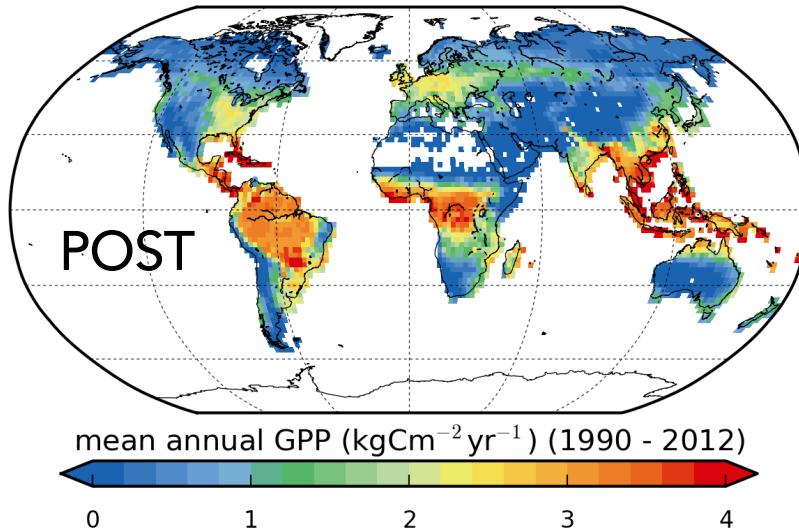


Optimization of  
Land use change

→ Difficult process as Land Cover is an input  
of the model and given the need to have a  
“large assimilation time window”

# Spatial distribution

- Dramatic reduction in global annual mean GPP (1990-2010):  
prior: 172PgC; posterior: 147PgC; (cf. JUNG MTE: 132PgC)



# Spatial distribution

- Dramatic reduction in global annual mean GPP (1990-2010):  
prior: 172PgC; posterior: 147PgC; (cf. JUNG MTE: 132PgC)

