

Newsletter

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A coupled sea-surface temperature analysis

Using ECMWF forecasts for observation targeting

The A-LAEF ensemble prediction system

ECMWF's new network infrastructure





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editorial

Towards greater resolution

Over the years, ECMWF has vastly increased the resolution of its global forecasts. In our first operational medium-range forecasts in 1979, predictions were made for grid points separated by up to about 200 km. The grid point spacing has since gone down dramatically: it was for example about 60 km in 1991, 25 km/50 km for high-resolution/ ensemble forecasts in 2006, and 9 km/18 km for highresolution/ensemble forecasts in 2022. The main reason for the increases in resolution has been to resolve weather developments that take place on smaller scales. This requires great computing power, and it is one of the reasons why greater resolution has gone hand in hand with new supercomputers. Our new Atos BullSequana XH2000 highperformance computing facility (HPCF) in Bologna is expected to go into operations later this year, and for 2023 another increase in resolution is planned. This will halve the grid spacing of our ensemble forecasts to about 9 km. But we do not intend to stay there.

For example, as described in this Newsletter, further reducing the grid spacing to 4.5 and 2.8 km markedly improves predictions of tropical cyclone intensity. An ECMWF team tested those resolutions with US Oak Ridge National Laboratory scientists and found better agreement of forecasts with observations. They also established that the benefits can already be achieved at 4.5 km. Another group of scientists found that water and energy are not conserved in current high-resolution forecasts at 9 km, and even less so at 4 km. They developed a solution that reduces the water imbalance to practically zero for both 9 km and 4 km resolutions. This change leads to better precipitation forecasts even at 9 km. Other news articles describe an internal project focusing on understanding systematic error growth in the Integrated Forecasting System; a review of the



application of weak-constraint 4D-Var data assimilation in the stratosphere; and the monitoring of satellite aerosol optical depth products. The feature articles include two external contributions: one sets out how ECMWF ensemble forecasts are used to help plan operational reconnaissance flights for tropical cyclones and atmospheric rivers, and another outlines a mesoscale ensemble prediction system operated on ECMWF's HPCF by a consortium of countries in Central Europe, including some ECMWF Member States. There is also a description of our new network and security infrastructure created to enable our supercomputing activities to take place in Bologna. A fourth article gives insights into an innovative project developing a new coupled sea-surface temperature analysis, as a step towards our strategic objective of better using observations at the interface between different components of the Earth system. As part of this project, the ocean model trajectory is to be upgraded from 1/4 degree spatial resolution to 1/12 degree resolution, in particular to provide a better representation of the Gulf Stream. This shows that the issue of higher resolution is not just confined to the atmosphere but concerns the ocean, too.

Florence Rabier

Director-General

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Spring heatwave in India and Pakistan

Linus Magnusson, Rebecca Emerton, Adrian Simmons

During the period March, April and May (MAM) 2022, Pakistan and northwest India experienced temperatures much above normal. All weeks starting between 7 March and 9 May had a strong warm anomaly. The prevailing hot conditions also led to worse-than-normal air quality due to ongoing fires, and drought conditions hampered food production.

An exceptional heatwave

To evaluate the heatwave from a climatological perspective, we use the 2-metre temperature product from the ERA5 reanalysis. The product is based on land-data assimilation in ERA5, which assimilates 2-metre temperature observations. For data dense regions, it typically means that the product is close to the observed values despite the background forecast having a cold bias for hot periods. Here we consider the land area in the region 20°N-37°N and 61°E-78°E, which includes not only northwest India and virtually all of Pakistan, but also most of Afghanistan and small parts of neighbouring countries. For this area, MAM 2022 was the hottest in the ERA5 record in terms of daily maximum and minimum temperature, though by a larger margin for maximum temperature than for minimum temperature (see the temperature anomaly time series). These results are consistent with the monthly climate reports based on averages of station observations issued by the India Meteorological Department and the Pakistan Meteorological Department.

The UTCI heat stress index

While extreme temperatures are often the focus, other factors are important in understanding the impact of heatwaves on health. A comprehensive and widely used heat stress index is the Universal Thermal Climate Index (UTCI), which is computed using ERA5. The UTCI is available through the data store of the EU-funded Copernicus Climate Change Service operated by ECMWF.



March–May temperature anomalies for Pakistan and northwest India. The chart shows time-series for MAM averages of anomalies of the daily maximum and minimum surface air temperature from ERA5 for the land area in the box shown in the second figure.



Maximum heat stress. The chart shows maximum heat stress as given by the UTCI in ERA5-HEAT on 14 May 2022, at the peak of the heatwave.

It is a biometeorological index that takes into account temperature, dewpoint temperature (and therefore humidity), wind speed, and the incidence of thermal and solar radiation on a reference individual (known as the mean radiant temperature), alongside modelling how the human body responds to different thermal environments.

UTCI is given in °C as an indication of a 'feels-like temperature'. When UTCI exceeds 26°C, there is moderate heat stress, and values exceeding 46°C indicate extreme heat stress. At that point it is imperative to cool down immediately and take action to avoid



Two-metre temperature anomalies. Composites of weekly 2-metre temperature anomalies for the weeks commencing 28 February to 23 May from the ERA5 reanalysis (top), the ensemble mean from week-2 forecasts (middle), and the ensemble mean from week-6 forecasts (bottom).

heat stroke. The maximum heat stress map shows a map of the maximum UTCI from ERA5 on 14 May 2022 at the peak of the heatwave, which saw UTCI values of up to 55°C. This exceeds the average maximum UTCI (based on a climatology from May 1991–2020) for the time of year by up to 10°C in central Pakistan and up to 6°C in northern India.

The heatwave's predictability

How well predicted was the warm anomaly during MAM in extendedrange forecasts? To answer this question, the third figure shows the mean anomaly for the period 28 February to 29 May 2022 from ERA5, and composites of ECMWF extended-range week-2 and week-6 forecasts with the same valid time. For ERA5, the warm anomaly over the affected region is very clear as expected. The warm anomaly was also well predicted in the mean from all week-2 forecasts, together with other anomalies around the northern hemisphere.

Albeit with lower amplitude, a warm anomaly is also present over Pakistan and northern India in the mean from the week-6 forecasts, indicating a high predictability for the heatwave. However, the same cannot be said for other anomalies around the northern hemisphere. For example, the predicted warm anomalies over eastern Europe, eastern Asia and the Arctic verified with the opposite sign. This raises the question about the mechanism behind the prediction of the India/Pakistan heatwave on this timescale. If the predictability was due to a guasi-stationary extra-tropical Rossby wave, one would have expected the other nodes to be captured as well. But it is also possible that the forecast anomaly bears the imprint of more long-lived predictability drivers and an imprint of the climate trend over the 20-year re-forecast period. The amplitude of the trends in the re-forecasts at different lead times is currently under investigation in the internal ECMWF project UGROW-Trend.

UEF2022: Visualising meteorological data

Becky Hemingway

This year's Using ECMWF's Forecasts event (UEF2022), after two years of being fully virtual, welcomed over 40 in-person attendees to ECMWF's headquarters in Reading between 7 and 10 June, with up to 85 participating online at any one time. UEF2022 had a record number of registrations, with 450 people registering from 83 countries.

The aim of UEF events is to exchange ideas and experiences on the use of ECMWF data and products. This year's theme of 'Visualising Meteorological Data' focused on four areas: presenting and visualising meteorological data; communicating forecast and climate data; technology to display and process meteorological data; and data visualisation and understanding in other fields. Several high-profile and inspiring speakers gave presentations on these issues.

Meeting highlights

Florian Pappenberger, Director of Forecasts at ECMWF, gave an update on the Bologna Our New Datacentre (BOND) timeline and showcased 4 km and 2.5 km storm- and eddy-resolving simulations, using ECMWF's Integrated Forecasting System (IFS) on the Atos supercomputer in the nextGEMS project. ECMWF's role in the EU's Destination Earth initiative, which aims to develop a digital model of Earth, was also presented. David Richardson, Head of Evaluation at ECMWF, gave an overview of the most recent model upgrade to IFS Cycle 47r3. This included improved visibility, revised wind gusts and many new products and data fields as requested by Member States. Andy Brown, Director of Research at ECMWF, showed positive IFS scorecards for various model fields and provided plans for future upgrades. Presentations also highlighted the vast array of open data and products available, including OpenCharts and its Jupyter Notebook integration.

The theme of visualising meteorological data dominated many of the presentations. Ed Hawkins (University of Reading, UK), the



creator of the Climate Stripes (#ShowYourStripes), gave an inspiring presentation on the importance of good graphics in science communication. He provided examples of different ways to visualise data and convey messages, including the importance of appropriate colour palettes. Ed discussed how the Climate Stripes 'break the rules' of design as they include no numbers or text. However, they do start a conversation and open a door to talking about climate change.

Tim Hewson (ECMWF) presented the 'User Requirements Matrix', a fascinating way to determine what visualisations of forecast data need to include based on the target audience. He also gave many tips and recommendations for visualising meteorological data.

Marie Boisserie (Météo-France) presented a new tool, called the automated surveillance system, which provides weather warnings based on thresholds. Guy Shalev (Google) gave an overview of their Flood Forecasting Initiative, which uses a machinelearning-based hydrological model and communicates emergency messages to users.

Josh Dorrington (Karlsruhe Institute of Technology, Germany) highlighted the need to add narratives to forecasts to translate science into impacts and useable products.

Emma Pidduck (ECMWF) gave a special talk on 'The Open Data Pathway'. She presented the

Ed Hawkins.

Professor Ed Hawkins' opening slide stressed the importance of science communication and good visualisations.

challenges of ECMWF moving to open data, which includes changes to data volumes and data revenue.

User survey results

Two sets of survey results were presented at UEF2022. The first was the outcomes of a user consultation for the SEAS6 upgrade to ECMWF's long-range forecasting system, which will be made operational in 2024. The consultation asked users for their preferences for enhancements to the SEAS system, including more ensemble members, more initialisation dates, more re-forecasts, and increased forecast range. Forty responses were received from a range of user backgrounds. The strongest support was for enhanced ensemble size and increased frequency of runs of the seasonal forecast.

The second was the User Voice Corner survey, which is sent annually to all registered UEF2022 participants. The aim of the survey is to gather feedback on ECMWF forecasts and forecast products. This year's survey had 45 respondents, including 58% from national meteorological and hydrological services and 24% from private companies. Montenegro showed a notably good forecast of heavy rainfall at a lead time of three days, and Greece reported a 'great forecast, days in advance', for a snow and thundersnow event. On the other hand, Georgia highlighted issues with rainfall in spring/summer, and Croatia provided an example of a poor regime transition forecast. Participants were

also asked about the next version of the IFS, Cycle 48r1, and for comment on the planned changes. Generally comments were supportive, but the increased data volumes are seen as a potential issue. In general, satisfaction with ECMWF forecasts and products is very high. The results of the survey will allow us to investigate issues seen by users to further improve the forecasts.

Interactive visualisation

Using what they had learned during UEF2022, participants were invited to provide feedback on the visualisation of ECMWF products. Fifteen posters were shown with various products on them, including the Extreme Forecast Index (EFI), tropical cyclone products, the Global Flood Awareness System (GloFAS) and seasonal forecasts. Attendees were invited to make comments on post-it notes about what could be improved and what they liked about the forecast visualisations. The session made UEF2022 participants think about ECMWF product visualisation, and ECMWF staff discussed their own products and how they could be improved in the future.



Emma Pidduck. ECMWF's Emma Pidduck set out the challenges of data volumes during her 'Open Data Pathway' presentation.

Feedback has suggested that the theme of UEF2022 has made participants really think about their visualisations and how they can be made to be more useful to users. Feedback received included "the presentations were directly useful and inspiring" and "the theme itself gave importance to users and applications of model data". The aim is for the visualisation ideas and concepts to filter though to operational products in the near future. Other feedback comments thanked UEF2022 organisers for the event. The hybrid format was much appreciated and the quality of the speakers was positively commented on.

All talks and some posters can be accessed on the UEF2022 page: https://events.ecmwf.int/event/296/



UEF2022 attendees. The event welcomed in-person attendees as well as online participants.

New observations since April 2022

The following new observations have been activated in the operational ECMWF assimilation system since April 2022.

Observations	Main impact	Activation date
Extra SYNOP stations from France (2 m temperature, relative humidity, snow)	Regional near-surface temperature/ humidity; snow analysis	11 April 2022
Meteosat-9 atmospheric motion vectors and all- sky radiances (replacing equivalent Meteosat-8 observations, which were retired on 2 June 2022)	Upper tropospheric wind and humidity	7 June 2022
Radio occultation bending angles from SPIRE satellites	Temperature and winds in upper troposphere/lower stratosphere	7 June 2022

Hackathon 2022: 37 participants, 9 projects

Becky Hemingway

Over the weekend of 11 and 12 June 2022, ECMWF welcomed 37 participants to its headquarters in Reading to take part in Hackathon 2022: Visualising Meteorological Data (#VisMetData). Following on from UEF2022, which had the same theme, the aim of Hackathon 2022 was to further explore how weather and climate data can be visualised to be more useable, understandable and impactful for users and the broader public.

Not your usual hackathon

While most hackathons aim primarily to attract coders and computer programmers, Hackathon 2022 was different. It looked to gather not just coders but designers, data wranglers, meteorologists, storytellers, journalists – anyone with an interest in meteorological data and visualisation – to work on a variety of challenges. Indeed, we were fortunate to welcome participants from a great range of diversity and backgrounds.

Three challenges were proposed as part of Hackathon 2022: visualising

data (#VisData); telling stories with data (#StorytellingData); and data processing (#101MemberEnsemble). There was also an open challenge (#OpenHack). Within each challenge, projects were proposed by ECMWF staff for participants to work on or to help inspire their projects. A lot of data was also made available to attendees in addition to all the open data currently available from ECMWF.

And so the weekend begins ...

Excited participants and ECMWF volunteers began arriving early on Saturday morning. The event began and, as the lead organiser, I explained why the theme of Visualising Meteorological Data is so important and how the weekend would work.

ECMWF volunteers then presented their project ideas under each challenge. After coffee and much networking and idea development, participants pitched their ideas and put together teams to work on them. Nine teams were established, working across all challenges on a variety of projects. All participants were thrilled to be given a supercomputer hall tour by ECMWF's Director of Computing, Martin Palkoviç, who also got a lot of questions!

At 2 pm, teams and volunteers assembled in the Weather Room for the countdown to the 24-hour hackathon. As the clock started, work immediately began with a flurry of typing and a buzz of discussion. Volunteers assisted teams with data access and processing and project ideas throughout. Many participants and some volunteers got very little sleep, working late into the night and starting early Sunday morning. Just before 2 pm on Sunday, excited tension built up in the Weather Room as teams frantically tried to finish their work. A 10-second countdown finished the hackathon followed by an outburst of clapping and cheers and group photos.

Show & Tell time

An esteemed panel of judges were invited to judge the nine projects: Liz Bentley, President of the Royal



Hackathon 2022. The nine teams working on their projects.

Meteorological Society (UK); Scott Duncan, meteorologist and wellknown designer of weather and climate graphics on Twitter; Elín Björk Jónasdóttir, Head of Forecasting at the Icelandic Meteorological Office; Rachel Warner, a lecturer of typography and graphic communication from the University of Reading (UK); and our very own Florence Rabier, Director-General of ECMWF.

Each team had 10 minutes to present their project and what they had done. This was followed by two minutes for questions from the judges. Each project was evaluated based on four criteria: originality, impact, impressiveness and use of data. The judges were astonished by the quality and variety of work which was done in just 24 hours. Audible 'wows' could be heard during team High Res TC's visualisation of 1 km data showing tropical cyclone development, and everyone got hungry when team Parallel Universes named each visualisation after food with lasagne, tomato and bolognaise plots, to name just a few. After much discussion, the judges chose team #isitnormal as the winners of Hackathon 2022. The team were awarded with certificates, data visualisation books and gift vouchers during the prize-giving ceremony.

Hackathon 2022 ended with cake and tired satisfaction looking back at a fun and enjoyable event. Feedback has been overwhelming: "Quite simply the best example of a hackathon or sprint challenge that I have encountered – by a wide margin", "I had a wonderful time ... thank you for organising" and "Thank you so much for organising such a great event".



Presenting the results. The team #isitnormal showcased violin plots they developed showing the temperature from 27 April 2022 (white dotted line) in the Indian city of Prayagraj compared to historical temperatures.

Winners of Hackathon 2022

Team #isitnormal with members Lisa Lam, Emiliana Myftari, Athulya Saiprakash, Thomas Turnbull, and Elizabeth Zhu were the winners of Hackathon 2022. Their project focused on the question "How does today's weather compare to the historical normal?" and targeted a general audience. They used data from ECMWF's ERA5 reanalysis and focused on temperature. They used the Climate Stripes as inspiration to plot clickable historical monthly temperature time series and innovative violin plots to showcase today's temperature compared to historical values and averages for different cities across the world. The team also created a map function to demonstrate changes of temperature across regions and locally.

The Show & Tell presentations from each of the projects were recorded and are now available on the Hackathon 2022 events page (https://events.ecmwf.int/

event/305/) along with other information about the event and each project.



Congratulations! All teams came together for a final photo at the end of the event.

Greater atmospheric resolution improves prediction of tropical cyclones

Inna Polichtchouk, Kristian Mogensen, Ioan Hadade, Sam Hatfield (all ECMWF), Valentine Anantharaj (Oak Ridge National Laboratory, US)

Coupled simulations reveal that increasing the horizontal resolution of the atmosphere from 9 km in ECMWF's operational Integrated Forecasting System (IFS) to 4.5 and 2.8 km markedly improves predictions of tropical cyclone intensity. It also improves the ocean response to the passing of a tropical cyclone. These findings come from the INCITE 2022 project, awarded to ECMWF and US Oak Ridge National Laboratory scientists to perform GPU-enabled, high-resolution simulations with the IFS on US Department of Energy (DOE) highperformance computing (HPC) resources.

cause a lot of damage and deaths. For example, in the US average TC damage amounts to \$20.5 billion/ event and the average death toll is 159/year. Accurate forecasting of TCs is thus critical for emergency preparedness and securing infrastructures, especially in densely populated regions. A key question in the INCITE 2022 project is whether a more accurate representation of TCs in numerical weather prediction models can be achieved with an increase in the atmospheric and oceanic horizontal resolution from what is affordable today. Extreme TCs Irma, Florence, Teddy and Ida were chosen for the investigation due to their high cost to the US





economy and the availability of ALAMO float observations of temperature and salinity across the TC track. The observations make it possible to validate the modelled ocean response to the passage of TCs.

Tropical cyclone intensity

The first figure shows the positive impact of atmospheric horizontal resolution increases from 9 km to 4.5 and 2.8 km on TC intensity predictions in 7-day IFS forecasts, coupled to a high-resolution, eddy-permitting 1/12 degree NEMO4 ocean model. The TCs are clearly more intense at higher horizontal resolution and in better agreement with observations (Best Track data). This result is expected, given that TCs are mesoscale features and therefore require high spatial resolution to be represented accurately. Interestingly, the benefit of increased horizontal resolution can already be achieved at 4.5 km, and increasing the horizontal resolution further to 2.8 km has little impact. Therefore, a future horizontal resolution upgrade to 4.5 km has the potential to significantly improve TC prediction at ECMWF. The preliminary analysis has so far shown little impact of ocean resolution to the core pressure and tracks (not shown).

Ocean representation

Accurately representing the ocean during the passing of TCs is important because sea-surface temperatures (SSTs) provide an energy source to the TC. Therefore, if SSTs are incorrectly represented in a forecast, erroneous predictions of TC intensity can occur. In addition to better TC intensity forecasts, an increase in atmospheric resolution is also found to result in a more accurate ocean response to the passing of a TC. In particular, the cold wake is stronger with an

Every year tropical cyclones (TCs)



Minimum sea-surface temperature for tropical cyclone Florence. The panels show the minimum sea-surface temperature for tropical cyclone Florence in forecasts starting on 8 September 2018 and running for 7 days, for the operational 9 km forecast with a 1/4 degree resolution in the ocean (eORCA025) (top left); an experimental 4.5 km forecast with the same ocean resolution (top right); an experimental 9 km forecast with a 1/12 degree resolution in the ocean (eORCA12) (bottom left); and an experimental 4.5 km forecast with the same ocean resolution (bottom right).

increase in both the atmospheric and oceanographic resolutions for some cases. An example is shown in the second figure, which shows the minimum SST over the whole integration period (7 days) for tropical cyclone Florence. Preliminary analysis also indicates that the stronger cold wake agrees better with sea-surface temperature observations (not shown).

Technical work

Performing such high-resolution atmosphere and ocean simulations requires not only a substantial scientific but also a technical effort from the INCITE 2022 team. For example, a substantial code adaptation needed to be undertaken to perform IFS spectral transform calculations on GPUs and to port the IFS model to DOE HPC platforms. Ultimately, this is part of the ECMWF-wide effort on scalability and code adaptation for future computing architectures.

Outlook

Next, the INCITE 2022 project will investigate what advantages, if any, arise for TC predictions from increasing the atmospheric spatial resolution further to 1 km. Another key question is whether the hydrostatic approximation, currently in use in operational forecasts at ECMWF, will need to be relaxed at this resolution in order to better forecast tropical cyclones. Preliminary analysis indicates that, at a grid spacing of 2.8 km, relaxing the hydrostatic approximation has a negligible effect on TC intensity forecasts (compare the dashed dark green line to the solid green line in the first figure). Future work will take place in the wider context of high-resolution modelling initiatives at ECMWF, for example in the EU-funded nextGEMS project (see the article on the water budget in this Newsletter) and the EU-funded Destination Earth initiative.

As part of the INCITE project, various hackathons are taking place to allow external users to explore the large volume of data. Recently, 1 km data was used in the Visualisation Hackathon 2022 held at ECMWF in conjunction with the Using ECMWF's Forecasts (UEF2022) event. The next INCITE open science hackathon, organised jointly by ECMWF and the Oak Ridge Leadership Computing Facility (OLCF), will be held virtually from July to December 2022.

Understanding systematic error growth across timescales

Magdalena A. Balmaseda, Linus Magnusson, Frédéric Vitart, Michael Mayer, Stephanie Johnson, Rebecca Emerton, Irina Sandu

Since 2021, ECMWF has been running an internal project (UGROW) that focuses on understanding systematic error growth in the Integrated Forecasting System (IFS) from hours to seasons ahead. Characterising the dominant forecast errors that currently limit predictive skill is the first step towards model improvement. Three subtopics were selected: a bias in the winter North Pacific jet stream; a bias in northern hemisphere summer tropospheric temperature; and Indian Ocean surface biases. Below we offer a summary of the main findings. More details can be found in ECMWF Technical Memoranda No. 889, 891 and 898 (https://www.ecmwf.int/ en/publications/technicalmemoranda).

Bias in the North Pacific subtropical jet

The eastern edge of the subtropical jet in the North Pacific moves westward with lead time in extended-

range forecasts (weeks 1 to 4), as illustrated in the first figure for IFS Cycle 47r1. This error is present in most sub-seasonal to seasonal (S2S) models, and it is thought to affect the representation of teleconnections originating from the Madden–Julian Oscillation (MJO). The bias increases with lead time up to week 4, and it exhibits a strong seasonality, being strongest in January.

This bias in the extended-range forecasts seems to be linked to errors at day 1 over the Sea of Japan. It is significantly larger in the control ensemble forecast than in the perturbed ensemble extended-range forecasts. This difference is due to the impact of the stochastic physics scheme in the tropics, which heats the lower latitudes and decreases the thermal wind. A series of sensitivity experiments, where part of the atmospheric circulation is nudged towards ERA5, suggests that there is not a unique source for this bias. However, model errors in the high

latitudes seem to be a major contributor. The bias has been considerably reduced in IFS Cycle 47r3, which became operational in October 2021.

Bias in the Eastern Indian Ocean

Operational seasonal forecasts (SEAS5) exhibit a cold sea-surface temperature (SST) bias and an easterly wind bias over the Equatorial Eastern Indian Ocean, which is most pronounced over boreal summer and autumn. This region, the eastern node of the Indian Dipole Index, plays an important role in intraseasonal and interannual variability. It interacts with El Niño and is a key region for tropical-extratropical teleconnections.

The error first manifests as an easterly wind bias, which is already visible at week 1 (see the figure for extendedrange forecasts). In SEAS5 this bias amplifies with time via coupled feedbacks and eventually manifests

Climatology of zonal wind at 300 hPa in IFS Cycle

47r1. The climatology is computed from 20 years of re-forecasts using IFS Cycle 47r1 and verifying in January for week 4. The vertical lines represent the position of the eastward extension of the Pacific subtropical jet, identified as the most eastern location with a wind speed larger than 40 m/s, for the ERA5 reanalysis (black), IFS Cycle 47r1 (blue) and IFS Cycle 47r3 (red).





Errors in SST and the eastward component of 10 m wind over the Eastern Equatorial Indian Ocean (0–10°S, 90–110°E). The errors are shown for extended-range re-forecasts (2000–2019) at week 1 and at weeks 2–4 (left-hand chart); and for seasonal re-forecasts (1993–2015) initialised in May for the first month and for June–July–August for different experiments (right-hand chart). Comparison of SEAS5 with the equivalent uncoupled experiment (SEAS5–uncoupled) clearly shows the amplification of the wind error by the coupled feedbacks. In SEAS4 the coupled feedbacks acted to mitigate the error. Experiment SEAS5–SEAS4ic uses the ocean initial conditions from SEAS4, which play a role in the SST error growth. The SST error growth is amplified in the recent IFS Cycle 47r3.

itself in SST (see the figure for seasonal forecasts). Note that in the previous seasonal forecast system (SEAS4) the coupled feedback acted to reduce this error. We have studied the dependency of this error on ocean and atmosphere initial conditions, ocean and atmospheric resolution, and different model cycles. We conclude that there are two fundamental and independent sources of errors. The first one is atmospheric in nature and is largely related to an overly strong and stable trade wind circulation. This easterly wind bias has not improved in recent model cycles (see for instance the increased biases in IFS Cycle 47r3 shown in the figure). The second error is of oceanic origin, associated with the depth of the thermocline: a shallow (deep) thermocline in SEAS5 (SEAS4) will enhance (dampen) the SST errors arising from errors in the wind. Both ocean initial conditions and the ocean model contribute to the amplification of wind errors at seasonal timescales.

Warm temperature bias

In this subtopic of the UGROW project, we have investigated the warm bias in the lower to mid-tropospheric atmosphere during northern hemisphere summers. The bias was investigated across different time scales and with a range of diagnostic tools. The warm bias peaks at around 700 hPa and mainly grows over land masses in the first days of the forecast. While it is present over most of the northern hemisphere, it has a maximum over eastern Asia in early forecast ranges, and it is spreading to the northern Pacific later. Despite being robust both in terms of day-today and year-to-year variability, the investigations so far have not pointed to a clear model error source, and we cannot rule out the idea that the bias is a combination of several sources.

Summary and outlook

The errors in the three different UGROW subtopics have different characteristics: i) non-local propagating errors in the eastern edge of the subtropical jet, peaking at 2–4 weeks into the forecast, but hardly detectable in the first few days; ii) a flow-dependent error amplified by coupled processes in the Eastern Indian Ocean, which first appears as an easterly surface wind bias in the first forecast week and subsequently amplifies into strong SST errors at seasonal timescales; and iii) a robust fast local error growth peaking in the first forecast days which results in a pronounced warm summer temperature bias around 700 hPa. The different characteristics of error growth have obvious implications for the evaluation of model developments for a seamless forecasting system, in that the performance of the model at the medium range is not a trivial indicator of the performance at longer lead times.

There are plans to continue monitoring these errors in future model developments. The diagnostics developed in the first year of UGROW will be applied to upcoming system developments and model cycles. For 2022, we are continuing the cross-departmental collaboration with UGROW-Trend, which targets the ability of the forecasts to capture the observed long-term trends in surface variables and tropical cyclones at different lead times. The fidelity of model trends is particularly relevant for model developments targeting reanalyses, and it will affect skill at the extended and seasonal range. It will provide additional evaluating metrics to benchmark Earth system model developments.

Reviewing weak-constraint 4D-Var in the stratosphere

Patrick Laloyaux, Inna Polichtchouk, Mohamed Dahoui

There has been a renewed impetus in recent years to understand and improve the performance of ECMWF's Integrated Forecasting System (IFS) in the stratosphere. On 30 June 2020, ECMWF implemented a substantial upgrade with Cycle 47r1. In the atmospheric model, the advection of temperature and humidity was changed by increasing the order of the vertical interpolation in the semi-Lagrangian scheme from three to five (ECMWF Newsletter 163). In data assimilation, a modification of the standard 4D-Var algorithm, called weak-constraint 4D-Var, was implemented to correct for stratospheric residual biases when the model is used in the data assimilation system (ECMWF Newsletter 163). These two developments reduce forecast biases considerably and improve the quality of the analysis used to initialise operational forecasts. Here we look back at the last two years of operational data since the implementation of Cycle 47r1 to discuss how these developments performed over different seasons as well as during

some specific weather events.

Reduced overall bias

Atmospheric in-situ observations from radiosondes are important both for direct use in the ECMWF data assimilation system and for monitoring the quality of the model. It was found many years ago that the IFS suffers from a number of stratospheric temperature biases. In particular, the lower to mid-stratosphere is biased cold and the uppermost stratosphere is biased warm. The cooling that occurs is partly due to discretisation errors in the vertical advection associated with an inadequate representation of resolved gravity waves in the vertical direction. This can be seen in the first figure before 30 June 2020, where the difference between radiosonde temperature observations and model first-quess trajectories (our best estimate of the state of the atmosphere prior to the use of new observations) is larger than 0.5 K at around 50 hPa. The implementation of weak-constraint 4D-Var and quintic interpolation in Cycle 47r1 has alleviated this issue and the model first-guess trajectory is now much less biased.

The role of radio occultation measurements

For each assimilation cycle, weakconstraint 4D-Var estimates a temperature forcing term into the model to correct for the model bias which builds up in the model trajectory. The top panel of the second figure shows a time series over the past two years of model bias correction for pressure levels between 20 hPa and 30 hPa. To improve the verification of forecasts in the stratosphere, a tool has been developed to compare the model against temperature retrievals from radio occultation (RO). These highly accurate retrievals have a good vertical resolution with a globally homogeneous distribution of around 3,000 profiles per day, which makes it possible to study the structure of the model bias that develops during an



Radiosonde temperature observations vs. firstguess trajectories.

This chart of time series of the difference between radiosonde temperature observations and model first-guess trajectories from the ECMWF operational assimilation system at different heights shows a marked improvement from 30 June 2020.

uncorrected model integration. The bottom panel of the second figure shows this diagnostic after 12 hours between 20 hPa and 30 hPa. The result shows model bias that closely corresponds to the weakconstraint 4D-Var correction. It is important to note that, although quintic interpolation is active in the forecasting model, the weak-constraint 4D-Var

correction is only estimated and applied in the data assimilation system and not during the forecast.

The RO diagnostic illustrates the overall cold bias in 12-hour forecasts of the mid-stratosphere. Weak-constraint 4D-Var detects a large fraction of this bias and corrects the model used in 4D-Var accordingly. This correction





enables an improved assimilation of observations and the production of a more accurate analysis.

Three examples

On 31 December 2020, a Sudden Stratospheric Warming (SSW) event started over the northern hemisphere. These events usually generate a large warm model bias in the midstratosphere over a number of days (arrow A), although the physical processes behind this bias are not yet fully understood. Weak-constraint 4D-Var was able to detect this abrupt change and cooled down the model bias adequately.

There is a clear seasonal cycle in the model bias over the southern hemisphere with a sharp transition in early December 2020 (arrow B) and 2021 (arrow C). The warm bias in the southern polar stratosphere from May to November and the cold bias in other seasons is a robust feature in the IFS on all forecast timescales. The origin of this warm bias is not yet fully understood but could be related to parametrized gravity wave drag being too strong during this season. Once again, weak-constraint 4D-Var learnt that seasonal structure appropriately from all the observations sensitive to stratospheric temperature that are assimilated. In the northern hemisphere, which is much more variable from year to year due to stronger planetary wave activity, such seasonality in the polar bias can also be seen but is weaker and is not clearly present every year.

Conclusion

Accessing high-quality observations, such as radio occultation measurements, is critical for numerical weather prediction. On the one hand, they are used in the data assimilation system to estimate the best initial conditions possible. On the other, they can be used to diagnose model deficiencies and help to drive future model developments. They show that, over the last two years, weak-constraint 4D-Var has helped to produce more accurate initial conditions for temperature in the stratosphere.

Fixing water and energy budget imbalances in the Integrated Forecasting System

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This year, major progress has been made to significantly improve the conservation of water and energy in ECMWF's Integrated Forecasting System (IFS). The work was inspired by the first Next Generation Earth Modelling Systems (NextGEMS) project hackathon in October 2021, which quantified water and energy budget imbalances and showed they become considerably worse at the higher resolutions being tested for future applications. NextGEMS is an EU Horizon 2020 project involving participants from 12 of our ECMWF Member States. It aims to analyse up to 30 years of coupled simulations at 2 to 5 km resolution (in the atmosphere and ocean) with both the IFS and the German Icosahedral Nonhydrostatic Weather and Climate Model (ICON). Further investigation at ECMWF established that most of the energy imbalance in the IFS was due to water not being conserved. The causes were identified and solutions found.

Scope of the problem

In the 9 km configuration of the IFS used for ECMWF's operational high-resolution ten-day forecasts, the atmospheric energy imbalance is found to be 2.0 Wm^{-2} , and this increases to 6.4 Wm^{-2} at experimental resolutions of 4 to 5 km with no parametrization of deep convection. Analysis shows that most of the energy imbalance in the IFS is related to water non-conservation, and that this issue gets worse when spatial resolution is increased and when the parametrization of deep convection is switched off. The first figure shows that the initial round (Cycle 1) of NextGEMS simulations with the IFS have an artificial source of water in the atmosphere. This is responsible for 4.6% of total precipitation in the simulation with deep convection parametrization at 9 km, and for 10.7% without deep convection parametrization at 4 km.

The water non-conservation of the IFS had been known for a long time, given



Water imbalance in the IFS. The chart shows daily mean water non-conservation in the IFS, computed as the daily change in globally integrated total water in the atmosphere, taking account of surface evaporation and precipitation, as a fraction of the daily precipitation. Results are shown as a function of lead time for NextGEMS Cycle 1 and Cycle 2 simulations, with deep convection parametrization at 9 km and without deep convection parametrization at 4 km, started on 20 January 2020.

that interpolation at the departure point locations in the semi-Lagrangian advection scheme used in the IFS is non-conserving. However, while this issue was acknowledged to be detrimental for the accuracy of climate integrations, so far it was thought that it was small enough to not significantly affect the quality of numerical weather forecasts, which span timescales ranging from a few hours to seasons ahead. Further analysis after the hackathon by the modelling teams at ECMWF has shown that about 50% of this artificial atmospheric water source is created as water vapour. The additional water vapour not only affects the radiation energy budget of the atmosphere, but it can also cause energy non-conservation when heat is released through condensation. The other 50% of water is created as cloud liquid, cloud ice, rain or snow. The artificial source of water for cloud and precipitation is related to the higher-order interpolation in the semi-Lagrangian advection scheme introduced in IFS Cycle 47r3. This can result in spurious maxima and minima, including negative values, which are then clipped to remain physical.

It turns out that the spurious minima are in excess of the spurious maxima and by clipping them we effectively increase the condensate mass.

Finding a solution

To address the problem of water nonconservation in the IFS, global tracer mass fixers were activated for all moist species, including water vapour. Activating tracer mass fixers increases the computational cost of running a simulation. However, an accurate yet cost-effective setup was found that uses a finite differences approach rather than a finite elements approach to calculate the vertical integrals for the tracer mass fixers, and further code optimisations were introduced. Note that tracer mass fixers assure global mass conservation, so locally tracer non-conservation is still possible but is expected to have been reduced (the fixer can estimate locally where the mass conservation errors are larger and hence it inserts larger corrections in such regions always obeying a monotonicity constraint). The first figure shows that, with



Impact on forecasts. The chart shows scaled differences between forecasts with and without global water conservation with respect to the mean absolute error in precipitation against rain gauge measurements over the northern hemisphere, as a function of lead time. Positive values mean the forecasts with global water conservation are better. Forecasts are run at 9 km resolution for summer 2020 and winter 2021. The intervals show the 95% confidence level.

global mass fixers, global water non-conservation is essentially eliminated (about 0.1%) in our new NextGEMS simulations (labelled Cycle 2), while the global energy budget imbalance has reduced to less than 1 Wm⁻² (not shown).

Better forecasts

Importantly, global water conservation

turns out to be beneficial not only for long integrations, but also for the quality of ECMWF's medium-range weather forecasts. Preliminary results suggest that the model changes performed to fix the water and energy imbalances reduce the overestimate of mean precipitation at different timescales and improve the skill scores for the upcoming resolution upgrade of medium-range ensemble weather forecasts. The second figure shows that the mean absolute error of precipitation against rain gauge measurements is about 2–3% smaller in 9 km forecasts that ensure global water conservation compared to the default 9 km forecasts. The tracer mass fixers are expected to be activated, with improved water and energy conservation, in Cycle 48r1.

Monitoring multiple satellite aerosol optical depth products over the ocean

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Satellite aerosol optical depth (AOD) observations, which measure the extinction of light by aerosols from the surface to the top of the atmosphere, are used to constrain the initial conditions of global aerosol forecasts through data assimilation. Most global aerosol data assimilation systems have been relying on the Moderate **Resolution Imaging Spectroradiometer** (MODIS) instruments onboard the NASA EOS Agua and Terra satellite platforms, which have been providing AOD over land and ocean since 2000. However, the retrieval of AOD from satellite radiances is a challenging task due to the weak aerosol signal, which needs to be separated from larger cloud and surface reflectances and can be affected by various sources of uncertainties.

The EU-funded Copernicus Atmosphere Monitoring Service (CAMS) implemented by ECMWF provides global reanalysis and 5-day global forecasts of aerosols by using the COMPO configuration of ECMWF's Integrated Forecasting System (IFS) and its 4D-Var data assimilation scheme. For this purpose, near-realtime (NRT) AOD observations from MODIS and PMAp (a product provided by EUMETSAT exploiting the synergy between multiple instruments onboard the Metop satellites) are operationally assimilated in the COMPO suite. Implementing new satellite AOD observations is an important aspect of the IFS development within CAMS to maximise the spatial and temporal coverage of the assimilated observations, to enhance the accuracy

of the analysis, and to increase the resilience of the data assimilation system against instrument failures or disruption (e.g. loss of MODIS). Here we report on monitoring two new NRT AOD products in preparation for their future assimilation in the IFS: one from the SLSTR instrument onboard the Sentinel-3 satellites (Collection 1), produced by EUMETSAT, and one from the VIIRS instrument onboard the SNPP and NOAA20 satellites (v2r1), produced by the US National Oceanic and Atmospheric Administration (NOAA).

Experiment design and methodology

The experiment was run for the period 1 December 2019 to 30 May 2020 using the operational COMPO configuration. VIIRS and SLSTR AOD



First-guess departures by latitude. The chart shows a latitude cross-section of the first-guess departure (the mean difference between the satellite AOD and its model-simulated equivalent) computed over the period December 2019 to February 2020 over the ocean for four satellites currently assimilated in the COMPO suite (MODIS on TERRA/AQUA and PMAp from Metop-A/Metop-B) and four satellites passively monitored in the COMPO suite (SLSTR on Sentinel-3A/Sentinel-3B and VIIRS on NOAA20/SNPP).

observations were passively monitored while MODIS was assimilated over land and ocean and PMAp was assimilated over the ocean. The diversity between the AOD retrievals is investigated by looking at systematic and random differences between the satellite observations and their modelsimulated equivalent.

Sources of differences between AOD retrievals

The figure shows the latitude cross section over the ocean of the mean first-guess departures, which represent the differences between the satellite AOD values and the model-simulated values based on short-range forecasts. The main sources of diversity between retrievals over the ocean background aerosol, which is generally characterised by a low aerosol burden, arise from uncertainties in cloud detection, radiometric calibration and the ocean surface reflectance models used in the retrieval algorithms. For instance, the very stringent cloud mask used in the SLSTR product explains part of the significantly smaller AOD values of SLSTR at the model grid spatial resolution compared to the rest of the products. Geometry characteristics of the instrument (swath, spatial resolution, view angle), which drive the range of

scattering angles sampled by the instrument, can also explain an important part of the differences between retrievals, such as the positive offset between PMAp from Metop-B and Metop-A. Uncertainties in the radiometric calibration represent a major source of differences between retrievals from the same instrument onboard different platforms. This is shown by the positive offset over the ocean between TERRA/MODIS and AQUA/ MODIS retrievals due to the uncorrected radiometric calibration degradation of TERRA/MODIS, and between SNPP/VIIRS and NOAA20/ VIIRS retrievals related to the positive bias in the solar reflective bands of SNPP/VIIRS. Finally, the figure shows that VIIRS AOD is lower than MODIS over the ocean, which can be related to differences in instrument geometry, cloud filtering and radiometric calibration.

Implications for data assimilation

The assessment of the AOD product characteristics within the CAMS data assimilation system provides meaningful information to implement an accurate multi-satellite AOD data assimilation system. While the magnitude of the mean deviations between retrievals is relatively small over the ocean (and certainly much smaller than over land), the low AOD

value of the ocean background aerosol means that a slight difference in mean AOD values between products will have a significant impact on the data assimilation. For instance, since VIIRS has lower values than MODIS over the ocean, its assimilation will likely decrease the analysis values over the ocean, which are currently known to be too high due to the positive offset of TERRA/MODIS, if no bias correction is applied. Therefore, a careful assessment of the departures between products retrieved from different instruments or different platforms informs how bias correction needs to be applied within the system. For instance, the monitoring results suggest that it would be preferable to use NOAA20/ VIIRS as an anchor and apply bias correction to SNPP/VIIRS. The results also highlight the role of geometry in retrieval uncertainties that can lead to systematic differences between products. Adding the scattering angle in the current variational bias correction scheme implemented in the CAMS data assimilation system could help to represent any geometrydependent biases in the retrieval.

Feedback to space agencies

Another important use of the described monitoring experiment with various satellite AOD products is to provide feedback to data providers. This can be used to improve the AOD retrievals themselves. The monitoring of multi-satellite AOD observations within the IFS COMPO configuration enabled us to identify initial issues with the SLSTR Collection 1 product, which shows much lower values than the rest of the satellite retrievals and the model background. This was reported back to EUMETSAT, who confirmed that the original stringent cloud mask applied to SLSTR radiances is inappropriate for aerosol retrievals. EUMETSAT is now preparing a new collection of SLSTR AOD observations over the ocean with a new cloud and aerosol detection algorithm, which will then go through the same assessment as described above with the aim to operationally assimilate the product in the near future.

Progress on developing a new coupled seasurface temperature analysis

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n accurate knowledge of the ocean has a profound impact on our ability to forecast the weather over a variety of timescales, from just a few days out to many months. Energy is constantly being exchanged between the atmosphere and the ocean, and our coupled model, which includes the ocean model NEMO (Nucleus for European Modelling of the Ocean) in the Integrated Forecasting System (IFS), aims to capture these highly complex interactions. The ocean surface is clearly critical as it is where these physical interactions take place. In particular, the sea-surface temperature (SST) needs to be determined extremely accurately to make successful forecasts. Here we describe a new way of determining SST from coupled data assimilation.

Motivation

For many years ECMWF and other numerical weather prediction (NWP) centres have imported externally generated products of SST. ECMWF previously used the Reynolds SST from the US National Oceanic and Atmospheric Administration (NOAA) and more recently the OSTIA SST from the UK Met Office. These 2D fields are produced by blending satellite information (mostly infrared) with in-situ ocean observations from ships and buoys. However, the production of such SST fields is an extremely challenging science problem. Firstly, assumptions must be made about how significantly the composition of the atmosphere (e.g. clouds, humidity and aerosol) has affected the satellite radiation measurements being used to estimate SST. This requires a highly accurate knowledge not only of the atmospheric state but also of the radiative impact of constituents such as humidity. Secondly, at times and/or locations when there are no observations at all (for example away from buoys and where clouds completely obscure the ocean surface), crude persistence assumptions must be made about how the ocean surface has changed since it was last viewed. Clearly, in dynamically evolving situations such as tropical cyclones, where the ocean surface may be changing rapidly and having a significant impact on the storm's development, such assumptions could prove literally fatal.

These two challenges prompted research teams at ECMWF to investigate the possibility of estimating SST

inside our coupled atmosphere–ocean data assimilation system. Information on the ocean surface could be extracted directly from a large network of satellite radiance measurements already present in the atmospheric 4D-Var data assimilation system, where the most accurate up-to-date knowledge of atmospheric composition and its radiative impact is available. And when there are no observations available, the time evolution of SST in the data assimilation system is controlled by the coupled atmosphere–ocean model. This encapsulates our best knowledge of the physical factors that cause changes in the ocean surface (e.g. heat exchange, radiation, wave forced mixing etc.) and should be significantly more accurate than assuming persistence.

Outer-loop coupling between atmosphere and ocean

The prototype system currently being tested is based upon an approach known as outer-loop coupling. The atmospheric 4D-Var assimilates only atmospheric observations (satellites and in-situ data), while the ocean NEMOVAR data assimilation system assimilates only ocean observations (in-situ and satellite altimeters). However, at each outer loop (update) a coupled



FIGURE 1 A schematic showing how ocean temperature can vary from the subsurface to the surface skin that is measured by satellites. An assumption in RADSST is that any mismatches between the parametrization of temperature in the surface skin (SKT) and the values sensed by radiance observations is attributed to an error of the same magnitude in the bulk water temperature below (SST).

atmosphere–ocean short-range forecast is run. In this way, any changes to the ocean (forced by the assimilation of ocean observations in NEMOVAR) propagate into the atmosphere. Likewise, any changes to the atmosphere (forced by the assimilation of observations in 4D-Var) propagate into the ocean. With this approach, an observation located in the atmosphere (e.g. a scatterometer wind speed measurement) can influence the ocean state and an ocean observation (e.g. an ARGO float measurement) can similarly influence the atmosphere during each analysis cycle.

The RADSST system

a 1 January to 7 March 2022

The new system that has been developed to estimate SST from satellite radiances is called RADSST. In this method, the outer-loop coupled data assimilation approach has been modified to additionally communicate information on the ocean surface from satellites in the atmospheric component of 4D-Var to the ocean. Infrared sensors such as IASI and CrIS have many hundreds of channels which are acutely sensitive to radiation emitted from the top few microns of the ocean surface. As such these spaceborne infrared sensors can detect changes in what is usually termed the surface skin temperature of the ocean. Depending on the time of day and sea state, this surface skin temperature (SKT) can differ from the bulk SST of the water just below by up to one degree kelvin. A model parametrization of the so-called cool skin effect is used to estimate the former from the latter. In RADSST, an estimate of the bulk water SST from the top layer of the ocean is converted to SKT (using the coolskin parametrization) and provided to 4D-Var. A critical assumption in this process is that the model parametrization is correct as it is not yet possible to constrain this by observations. This means that we effectively attribute any mismatches between the parametrization and the values sensed by satellites in SKT to errors in the bulk water temperature from the





ocean model (Figure 1).

Performance of RADSST

The prototype RADSST system has been run over two extended experimental periods to gain some preliminary insights into its performance. During the first period (June 2020), the system used IASI radiances from three satellites (Metop-A, -B and -C), CrIS radiances from two satellites (NPP and NOAA-20) and AIRS radiances from the AQUA satellite. In a second and more recent period (January to March 2022), the Metop-A satellite had been decommissioned by EUMETSAT and was not used. The control experiment against which the RADSST performance is measured is identical (in employing the outer-loop coupling approach), but in the control experiment no SKT increments from 4D-Var are passed to NEMOVAR as SST increments. The comparison between RADSST and the control experiment is thus showing the impact of forcing the NEMOVAR ocean

SST with information from satellites in 4D-Var. Figure 2 shows the mean change to SST when the infrared satellites are assimilated for the two periods. Some seasonal differences can be seen, in particular the changes to the Gulf Stream in the North Atlantic and to the Kuroshio Current on the western side of the North Pacific, but also some large positive changes in the Southern Ocean east of South America are common to both periods. It is perhaps reassuring to note that the largest changes resulting from the assimilation of radiances occur in regions of the ocean known to be highly dynamic and variable.

To get a first order indication of the realism of the RADSST radiance assimilation, we can compare both RADSST and the control to the OSTIA SST analysis. While OSTIA cannot be regarded as the truth (for the reasons discussed above under 'Motivation'), it is a mature operational product incorporating a huge volume





FIGURE 3 Mean SST difference between (a) OSTIA minus the control and (b) OSTIA minus RADSST for the period of 2 January to 7 March 2022.



FIGURE 4 The coverage of Drifter SST observations, with the colour marker indicating the difference between the measured SST and that from the control analysis, from 1 January to 7 March 2022. Note that some of the differences in extreme cases exceed 40 kelvin and are a result of sensor malfunction.



FIGURE 5 The difference in mean potential temperature between observations and the control assimilation system (in red) and the difference between observations and the RADSST assimilation system using IASI radiances (in black), in an experiment covering 1 January to 18 March 2022.

of in-situ and satellite observations and should be more accurate than the control and RADSST, at least in the current prototype development phase of RADSST. For the winter period shown in Figure 3, it can be seen that RADSST is clearly closer to OSTIA in high-latitude regions of the Southern Ocean (east of South America). In the tropics, there are smaller and more mixed changes, with perhaps an improvement of the West Africa Guinea Current in RADSST. However, in the vicinity of the Gulf Stream and the Kuroshio Current very large differences to OSTIA persist in both the control and RADSST – an issue that will be covered later in this article.

To verify the changes in a more quantitative manner, we have begun to look at how well the modified SST agrees



FIGURE 6 Normalised differences in the standard deviation of departures between IASI radiance observations and short-range (background) forecasts across the globe. The plot shows departures while RADSST is in use minus those of the control system, such that values below 100% are an improvement. The dots indicate 5% statistical significance errors. Statistics are averaged from 2 January to 18 March 2022.

with in-situ ocean drifter observations. There is a huge network of these measurements (as seen in Figure 4), but also a huge variety in sensor technology employed, with varying reliability. Unfortunately, many sensors are known to suffer significant quality issues since they employ different sensor technologies with varying reliability. Drifters can also be damaged or become entangled with shipping activity, with little or no information in the data report to distinguish good from extremely bad measurements. Initially, in collaboration with EUMETSAT, we will focus on using a special sub-sample of reference quality drifters deployed by the Copernicus Marine Service.

A crucial aspect of the outer-loop coupling approach is

that, while the satellite radiances in 4D-Var only directly force the ocean surface, changes at the surface are propagated into the sub-surface by NEMOVAR (in a single analysis cycle) and (over time) by the ocean model dynamics (e.g. mixing, downwelling, etc). To verify these indirect changes below the surface, we have compared the RADSST and control ocean analyses to ARGO float observations in Figure 5. It can be seen that the cold bias compared to ARGO evident in the control system is significantly less in RADSST. There is also a small reduction of standard deviation not shown here. It is interesting to note that the indirect changes in the sub-surface ocean shown in Figure 5 can be just as large as the direct changes at the surface.

We expect changes in the ocean to feed back via outer-loop coupling into the atmospheric data assimilation system. This effect is seen most clearly by the fit to infrared satellites themselves. All three satellite systems show a significantly improved fit when they are allowed to force changes in the ocean (Figure 6). By doing so, in RADSST the ocean bulk SST and sub-surface retain a memory of the skin information from the satellites, which in the control is essentially discarded at the end of each 4D-Var analysis cycle.

Finally, the whole purpose of this project is that we believe an improved ocean will allow us to produce better weather predictions. While the project is still at an early stage and RADSST is very much a prototype, we can already see some promising signals of benefits of ocean changes in atmospheric forecasts. In Figure 7, we see that the SST changes forced by radiances in the high-latitude Southern Ocean propagate into significantly improved temperature forecasts for the lower and mid-troposphere.



FIGURE 7 Zonal cross section of normalised differences in root-mean-square (RMS) temperature forecast error after 72 hours. Errors of RADSST minus those of the control are shown such that negative values are an improvement. Statistics are averaged from 1 January to 31 March 2022.

Next steps

While the performance of the first ever trial of this novel approach is very encouraging, two significant developments are required before the system can be considered ready for pre-operational trials.

Firstly, in the current NEMOVAR assimilation system the NEMO ocean model trajectory is run at 1/4 degree spatial resolution. Many large-scale features of the ocean are accurately captured at this scale, but important boundary currents such as the Gulf Stream have significant systematic errors. The current is too weak to the south and incorrectly propagates warm water to the north of Cape Hateras instead of deflecting to the northeast (Figure 8). In RADSST, the infrared satellites try to apply increments to



FIGURE 8 Model representations of the Gulf Stream with NEMO run at (a) 1/4 degree resolution and (b) 1/12 degree resolution. The maps show a 72-hour forecast of SST from 00 UTC on 6 September 2017.



FIGURE 9 Results from assimilating a single observation of the uppermost NEMO-level SST located in the Gulf Stream in NEMOVAR. The new background error covariances, which combine vertical flow-dependent information from an Ensemble of Data Assimilations (EDA) with horizontal information from climatology, lead to (a) horizontally sharper spatial increments (left panel: old; right panel: new) and (b) a deeper propagation of surface increments to the ocean below (left panel: old; right panel: new), compared to the existing parametrized configuration.

improve the representation, but the resulting analysis is overwhelmed by the model's systematic biases. At the higher 1/12 degree resolution, the Gulf Stream is significantly better represented by the NEMO ocean model, and we plan to upgrade the outer-loop coupled RADSST system to this as soon as possible.

Secondly, an area where a significant upgrade is planned is in the NEMOVAR background error formulation. In these experiments, background errors were used as specified in the OCEAN5 reanalysis system and not reconfigured/optimised for dense surface observations from satellites (which we have in RADSST). During certain periods and at certain locations, we have observed the use of satellite information at the surface has degraded the ocean below (e.g. in comparison to ARGO). NEMOVAR background errors based on a hybrid Ensemble of Data Assimilations (EDA) have been developed which should significantly improve the horizontal and vertical propagation of satellite information from the surface to deeper layers below (Figure 9).

When these two major upgrades have been incorporated, we will re-evaluate the RADSST system performance and expect to see significant improvements in the resulting ocean analyses. Further into the future, the next priority is to investigate the exploitation of low-frequency microwave radiance observations. These have a slightly reduced ocean surface sensitivity compared to infrared data, but they are significantly less affected by clouds and could thus provide highly complementary information in regions of persistent cloud cover.

Further reading

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Using ECMWF ensemble forecasts for operational observation targeting

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Skilful forecasts of high-impact weather events depend on having an accurate estimate of the atmosphere in regions where errors can grow quickly by taking observations in those locations. Since 2019, the ensemble-based sensitivity method has been applied to ECMWF ensemble forecasts to provide guidance for operational reconnaissance flight planning for both tropical cyclones and atmospheric rivers. This article provides an overview of the technique and examples of how it has been applied to these two phenomena.

The ensemble-based sensitivity method

Accurate forecasts from numerical weather prediction models depend on having the best estimate of the atmosphere at the time when the model is started. This best estimate is obtained via data assimilation, which corrects a previous forecast based on the new information that comes from the global observation network. Most of the direct observations are concentrated over highly populated landmasses. In addition, there are numerous satellite-based sources of indirect observation information. such as satellite radiances and atmospheric motion vectors, that provide nearly global coverage. Despite this, there are numerous gaps in the observation network, which can result from the complexity of utilising radiances in the presence of clouds. This limits the ability to collect satellite observations in active weather regions. Moreover, atmospheric motion vectors are difficult to derive below the top layer of clouds, which in turn tends to yield many vectors either in the upper or lower troposphere.

The lack of comprehensive observation coverage means there can be many places in the atmosphere that are characterised by large uncertainty in the model initial conditions, which in turn will impact the skill of subsequent forecasts. These high uncertainty regions are not consistent from one forecast to another; therefore, it can be prohibitively expensive to deploy new observation platforms that would fill in these observation gaps. Consequently, it is worthwhile to develop 'observation targeting' techniques that can identify locations where taking additional observations would yield the biggest change in a subsequent forecast of a high-impact event, and to direct observation assets, such as an aircraft, to those locations so this information can be added to the global observation network. There are multiple techniques that have been developed to provide this kind of guidance, all of which use some form of sensitivity analysis. In essence, sensitivity analysis describes how changes to a forecast field at some earlier lead time impact a forecast outcome at some later time. One such method is the ensemble-based sensitivity technique, which utilises the statistics of ensemble forecasts, such as the ECMWF ensemble, to derive forecast sensitivity. At its core, this technique involves computing the univariate linear regression between ensemble estimates of a forecast metric and the ensemble estimate of a forecast field at a given location at an earlier time. Here, the forecast metric can be anything that is a function of the model output variables, such as areaaverage precipitation or wind, tropical cyclone (TC) track forecasts, or changes in the spatial distribution of precipitation. The regression calculation can be repeated for a number of different locations, fields, and times, which allows the user to create sensitivity maps. Locations characterised by large sensitivity are locations where observations should be collected. Consequently, this methodology represents a post-processing of ensemble forecast output. As such, it is both computationally inexpensive (it can run on a desktop computer) and flexible in its applications. Furthermore, the set of python-based software used to carry out the calculations can be readily ported to numerous computing systems.

Application to tropical cyclones

Since 2015, this technique has been utilised in conjunction with ECMWF ensemble output to produce sensitivity guidance for tropical-cyclone-related field campaigns and operational reconnaissance. During 2015 and 2016, the United States National Oceanographic and Atmospheric Administration (NOAA) sponsored the Sensing Hazards with Operational Unmanned Technology (SHOUT) experiment, which was designed to test the utility of unmanned aerial vehicles (i.e. Global Hawk) for collecting TC observations, particularly in the event of a satellite outage. Flight plans were developed with Hurricane Weather Research and Forecasting (HWRF) and ECMWF sensitivity information for TC track-based metrics. Retrospective experiments with and without additional dropsonde data showed a statistically significant reduction in position error when dropsondes were assimilated, which is at least partially attributed to the flight tracks that were drawn based on sensitivity guidance.

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Starting in 2019, ECMWF ensemble-based sensitivity information has been used by the United States National Hurricane Center (NHC) to develop flight tracks with the NOAA Gulfstream-IV (G-IV) aircraft, which is the focus of a NOAA Joint Hurricane Testbed (JHT) project (NOAA Award NA19OAR4590129). The purpose of these missions is to reduce the uncertainty in TC track forecasts that could impact either mainland US or US territories. Each mission deploys roughly 30 dropwindsondes in geographical locations that are expected to reduce TC track forecast uncertainty over a period of time, including at least one circumnavigation of the TC. These observations are subsequently assimilated by operational centres, including ECMWF. Given that TC motion is primarily determined by the TC steering flow, typically defined as the 300-850 hPa vertically-averaged wind, the main focus of these flights is to reduce the uncertainty in this field. At the beginning of this project, the sensitivity guidance was produced for selected storms; however, since 2020, ECMWF ensemblebased guidance has been produced for every storm in the Atlantic and Eastern Pacific basin present at 00 UTC.

From 2019 to 2021, ensemble-based sensitivity has been utilised to plan 58 individual G-IV missions for 16 different TCs. All missions require submitting a flight plan at least 24 hours prior to takeoff; therefore, the sensitivity of the track forecast is computed with respect to either a 36- or 48-hour forecast. Figure 1 shows two examples of sensitivity output that was submitted to NHC for two prominent storms during this period, and the subsequent dropsonde locations. In both panels, the ensemble-mean steering wind is shown in wind barbs, while the shading denotes the sensitivity of the track forecast to the steering

flow at the time of the potential aircraft mission. Wind is a vector quantity, so it is not possible to represent it, and hence the sensitivity, as a scalar value; instead, the wind must be represented in two components, such as the zonal and meridional wind. Most TCs do not move in the zonal and meridional directions exclusively; therefore, we developed a natural coordinate framework for the wind based on the direction that is characterised by the largest ensemble position variability. This approach accounts for the many instances when the position forecast is sensitive to a combination of the zonal and meridional wind. In the case of the Hurricane Dorian mission at 12 UTC on 1 September 2019, the primary direction of position variability is in the southwest-northeast direction; therefore, the sensitivity pattern represents the sensitivity of the position forecast to the southwest-northeast component of the wind. Here, warm colours indicate places where increasing the southwesterly component of the wind at this time is associated with a more northeasterly position for Dorian in the subsequent forecast, while cold colours indicate the opposite.

Regions characterised by large absolute values in sensitivity are suggestive of places where ensemble variations in the steering flow yield the largest change in the subsequent track forecast and hence where you would want to sample with observations. For this Dorian forecast, the greatest sensitivity is centered on the predicted position of the TC and extending both to the southwest and northeast of the storm. This sensitive region corresponds with a developing weakness in the subtropical ridge associated with a stagnation point in the steering flow. Increasing the southwesterly



FIGURE 1 ECMWF ensemble-based sensitivity of (a) Hurricane Dorian's track forecast to the steering flow at 12 UTC on 1 September for the forecast initialised at 00 UTC on 31 August 2019 (shading) and (b) Hurricane Ida's track forecast to the steering flow at 12 UTC on 27 August for the forecast initialised at 00 UTC on 26 August 2021 (shading). The barbs denote the ensemble-mean steering wind at the respective times, the dots denote the predicted centres of the TCs, and the plus signs denote locations where dropsondes were deployed. Range rings are shown every 300 km.

component of the wind during this time would move the TC out of the stagnation point, into greater influence by the midlatitude westerlies. Based on this sensitivity guidance, a G-IV flight pattern was developed to deploy dropsondes in a circle around the storm at two different radii as well as dropsondes to the northeast of the storm within the weakness in the subtropical ridge.

The second example is for Hurricane Ida at 12 UTC on 27 August 2021. This was a destructive storm for the Gulf of Mexico coast, whose remnants led to substantial flooding in New York City days after landfall. Similar to the Dorian example above, the track variability for this case is primarily in the southwest-to-northeast direction; therefore, the sensitivity of the track forecast is computed for the southwest component of the wind. At this time, the largest sensitivity is within 300 km of the centre of the TC and along the western edge of the subtropical ridge up to 1,200 km to the northeast of the storm centre. During this time, Ida is moving around the western edge of the subtropical ridge; therefore, if the ridge has less amplitude (i.e. there is more of a southerly wind), the TC will curve more to the north and east in the forecast. For this mission, the dropsondes were deployed at two different radii and to the northeast of the storm in recognition of the sensitive area to the northeast.

Application to atmospheric rivers

Based on the success of this approach for identifying sensitive regions for TC forecasts, the ensemble-based sensitivity method was expanded to identify target regions for precipitation forecasts along the west coast of the United States during atmospheric river (AR) events, which are responsible for a substantial portion of winter precipitation and high impact weather in this region. Atmospheric rivers tend to be horizontally narrow (hundreds of km) and characterised by a lack of upstream routine observations. In response, the Atmospheric River Recon (AR Recon) field programme was developed. Its aim is to improve the forecast skill of landfalling atmospheric rivers and their impacts to better inform decision-makers who are responsible for water management and flood preparedness in the western United States. Since 2019, the AR Recon programme has utilised ECMWF ensemble-based sensitivity information to identify locations where additional observations might reduce the uncertainty of precipitation forecasts for different regions in the western United States.

For this application, we use a forecast metric that measures the variability of precipitation within a specified geographical region. Specifically, we compute the empirical orthogonal function (EOF) decomposition of the ensemble gridded accumulated precipitation for a specified time period (typically 24 hours). This demonstrates the pattern that explains most of the variability of the precipitation forecast within that domain. The result describes how well each ensemble member matches that precipitation perturbation pattern and is used as the forecast metric within the sensitivity calculations. The advantage of this approach is that it provides a measure of the precipitation variability in the model's framework, especially in regions of complex terrain, without being overly restricted by fixed geographic polygons, like rectangles. Figure 2 shows an example of this EOF-based metric for the ECMWF ensemble 24-hour precipitation forecasts ending at 12 UTC on 28 January 2021. This was the end of a six-day sequence of AR Recon flights that culminated in a significant flooding event in central California. Here, the highest ensemble-mean precipitation rates are associated with an AR that is nearly stationary along the central California coast. The EOF pattern, which explains 54% of the precipitation forecast variance over this domain, is characterised by a positive precipitation perturbation on the northern side of the AR along the California coast and a negative perturbation along the California coast to the south of the AR. In total, this precipitation perturbation pattern suggests that the leading mode of variability is a north-south shift of the precipitation along the California coast.

Similar to the TC application, these sensitivity patterns are computed on a daily basis based on 00 UTC ECMWF



FIGURE 2 Twenty-four hour ECMWF ensemble-mean precipitation ending at 12 UTC on 28 January for the forecast initialised at 00 UTC on 25 January 2021 (shading). The contours denote the precipitation perturbation associated with the first EOF of the ensemble precipitation forecast within this domain (mm).





ensemble output. They are utilised as part of the guidance suite for planning flight tracks for the NOAA G-IV (typically based in Honolulu, Hawaii) and the US Air Force C-130 (typically based along the US west coast) aircraft. The metric region is determined for each mission based on forecast precipitation in coordination with the AR Recon Mission Scientist and other forecast team members. Figure 3 shows the sensitivity of this precipitation forecast to the 850 hPa equivalent potential temperature and 250 hPa potential vorticity (PV) at the time of planning for Intensive Observation Period 7 (00 UTC on 27 January). These two fields are routinely used in flight planning because they sample upper and lower tropospheric features and are often characterised by the largest sensitivity based on previous cases. During this time, there is negative sensitivity on the eastern end of the equivalent potential temperature maximum making landfall over central California along 120°W, and positive sensitivity along the cold front along 36°N, such that shifting this feature to the north at this time is associated with a northward shift in precipitation later in the forecast. In response, the C-130 dropsondes sampled the region of positive sensitivity well. At 250 hPa, the main sensitive region is along the PV gradient associated with the ensemble-mean trough centered at 135°W, such that decreasing the PV on the southern end and increasing the PV on the western end of the trough is associated with the subsequent northward shift of the precipitation. Based on the distance from Honolulu to the sensitive location, it was difficult to completely sample the sensitive region, but the G-IV flight was able to capture the southern end of the positive sensitivity region.

Summary

Ensemble-based sensitivity is an ensemble post-

processing technique that can provide valuable insight into the dynamics and error growth associated with high-impact weather systems and guidance on where to take observations during these events. Applying this method to many TCs indicates that TC track forecasts tend to be most sensitive to the near-storm steering flow and to weaknesses in the subtropical ridge. For AR events, the main sensitivity appears to be associated with the position of lower-tropospheric fronts, and uppertropospheric shortwave troughs, which can subsequently impact the position of the lower-tropospheric fronts. This method will continue to be used as operational guidance for future target planning operations for both TCs and AR precipitation forecasts. Future work will focus on more impact-based forecast metrics, like TC wind, precipitation, and storm surge forecasts, as well as more explicit hydrological applications.

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The mesoscale ensemble prediction system A-LAEF

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n July 2020, a new mesoscale ensemble prediction system, A-LAEF (ALARO – Limited Area Ensemble Forecasting), became operational under the time-critical framework for Member State applications, running on ECMWF's high-performance computing facility (HPCF). A-LAEF is a successor of the former regional ALADIN-LAEF ensemble running at ECMWF as a common ensemble prediction system (EPS) project of the RC LACE (Regional Cooperation for Limited-Area modelling in Central Europe) consortium (Austria, Croatia, Czech Republic, Hungary, Poland, Romania, Slovakia, Slovenia) since 2011 (Wang et al., 2011).

A-LAEF is different from its predecessor, both technically and scientifically. It is built around a completely new scripting system under the ecFlow client/server workflow manager. Its spatial density of grid points is 14 times higher than in the version of 2011 and five times higher than in that version's last upgrade, which was operational until 2020. The process of transformation from ALADIN-LAEF to A-LAEF is documented in Belluš at al. (2019) and Belluš (2019).

A-LAEF makes use of native uncertainty simulations of the initial surface and atmospheric conditions, and of the model physics. The global ensemble of ECMWF prescribes uncertainties in lateral boundary conditions. The new A-LAEF system aims for reliable probabilistic forecasts of meso-synoptic scales up to three days ahead. Within that time range it can outperform deterministic models that have a similar or even higher spatial resolution. We demonstrate this statement by the statistical verification of A-LAEF against the operational deterministic model ALADIN/SHMU and the experimental non-hydrostatic ALARO model with 2 km horizontal resolution running in a dynamical adaptation. The benefit and quality of A-LAEF probabilistic forecasts will be illustrated with reference to some high-impact weather situations as well.

Uncertainty simulation in A-LAEF

The A-LAEF mesoscale ensemble is based on the ALADIN canonical model configuration ALARO (Termonia et al., 2018). A-LAEF and ALARO are being developed in the framework of RC LACE cooperation.

A-LAEF is being developed by the Slovak Hydrometeorological Institute (SHMU), and ALARO's development is led by the Czech Hydrometeorological Institute (CHMI), although the development and use are not limited to RC LACE members. ALARO multi-scale physics operates on horizontal grids between one and ten kilometres. It offers a wide range of tunable parameters and uses different parametrization schemes, which makes it an ideal solution for a mesoscale ensemble like A-LAEF. Four different ALARO setups of micro-physics, deep and shallow convection, radiation and turbulence schemes are used to account for model uncertainty. This multi-physics approach is further supplemented by the stochastic perturbation of physics tendencies (SPPT) of surface prognostic fields for each individual ensemble member (Wang et al., 2019).

The uncertainty of the initial conditions in A-LAEF is simulated by the ensemble of surface data assimilations (ESDA) (Belluš et al., 2016), where surface and soil prognostic fields are handled separately from the upper air. Each ensemble member has its own data assimilation cycle with randomly perturbed screen-level (near-surface) measurements of temperature and relative humidity.

Furthermore, uncertainty of the upper-air fields in the initial conditions is simulated by upper-air spectral blending by digital filter initialisation (Derková and Belluš, 2007; Wang et al., 2014). The spectral blending method allows a sophisticated combination of uncertainties for different scales. While large ones are well simulated by the driving EPS, small ones are natively resolved by the target mesoscale system. It also ensures consistency between the initial conditions and lateral boundary conditions (LBC). The first 16 members and a control unperturbed run of the global ECMWF ensemble are used both for upper-air spectral blending in the initial conditions, and to provide the uncertainties at the A-LAEF domain boundaries.

The system can be easily configured for the real-time or lagged coupling mode. The latter is used operationally to ensure the availability of probabilistic products in an acceptable time for use by operational forecasters, while retaining good forecast properties.



FIGURE 1 A-LAEF operational domains with the observation sites used in ESDA. The map shows the A-LAEF integration domain with model orography and all post-processed subdomains for LACE, Czech Republic (CZ), Türkiye (TR) and MSEA (to couple ocean models). The dots represent SYNOP observation sites used in ESDA fetched from the Observation Preprocessing System for RC LACE (OPLACE).

Time-critical application at ECMWF

The A-LAEF assimilation and production cycle runs twice a day for 00 and 12 UTC on ECMWF's HPCF, utilising 4,896 CPUs. It comprises 16 perturbed runs and one control run on a large domain with 4.8 km spatial resolution and 60 vertical levels. The A-LAEF integration domain and all post-processed domains (LACE, CZ, TR and MESEA) are shown in Figure 1, and system specifications are summarised in Table 1.

Code version	cy40t1
Horizontal resolution	4.8 km
Vertical levels	60
Number of grid points	1250x750
Grid	Linear
Time step	180 seconds
Forecast length	72 hours (00/12 UTC)
Members	16+1
Initial condition perturbation	ESDA (surface) + spectral blending by digital filter initialisation (upper-air)
Model perturbation	ALARO-1 multi-physics (4 clusters) + surface stochastic physics (SPPT)
LBC perturbation	ECMWF ENS

 TABLE 1
 A-LAEF system specifications.

The A-LAEF system has been running very smoothly and reliably on ECMWF's HPCF in nearly two years of operations, with products becoming available at about 4 and 16 UTC. A-LAEF multi-GRIB files are distributed to LACE partners (Slovakia, Slovenia, Romania, Czech Republic) and Türkiye by ECMWF's dissemination service ECPDS. Croatia processes A-LAEF outputs directly on ECMWF's computer, and Poland (which is not a Co-operating State of ECMWF) receives the files via SHMU's server. Examples of A-LAEF operational products generated at SHMU are shown in Figures 2 and 3.

The system runs under Option 2 of the time-critical applications framework, benefiting from a robust setup close to ECMWF's own operational production, high priority in the HPCF, and 24/7 monitoring.

The computational resources used by A-LAEF operations are drawn from the national allocations of participating ECMWF Member States, partly contributed by the RC LACE members' contributions (Slovenia 38%, Croatia 20%) and partly by the RC LACE cooperating country Türkiye (42%). The products of A-LAEF forecasts are available to all RC LACE members and other countries that contribute System Billing Units (SBUs) for computational resources of A-LAEF operational runs.



03 UTC on 22 April 2022.

A-LAEF performance

2

4

Ensemble spread (m/s)

0

In this section, we would like to present the long-term performance of the operational A-LAEF system as well as its capabilities to predict extreme weather situations, such as heavy precipitation events. The prediction of high-impact weather, which is traditionally the most difficult task for forecasters, is probably becoming even more challenging nowadays with respect to climate change.

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As the spatial resolution of numerical weather prediction (NWP) models increases, we can make predictions for much smaller scales. However, these scales can be subject to larger errors due to decreased predictability, especially for longer lead times. The appropriate simulation of uncertainty, both in the initial and boundary conditions and in the NWP model itself, can lead to a more reliable and beneficial weather forecast compared to a deterministic approach, which reflects only one scenario.

We document the above statement by four months of statistical verification (May–August 2021) for the A-LAEF ensemble system and what can be considered as its deterministic counterpart at the Slovak Hydrometeorological Institute – the operational forecast of ALADIN/SHMU (4.5 km). We also consider the experimental non-hydrostatic version of ALARO (2 km) running in dynamical adaptation. In Figure 4, one can see the relative scores for these systems during the summer convective season in 2021. More information about the configurations of these NWP systems used at SHMU can be found in Simon et al. (2021).

In 2021, several extreme weather events happened in Europe. Some of them were exceptionally well predicted by the operational A-LAEF system. To mention just the two most intensive ones, we will show the forecasts of catastrophic floods in Germany from July 2021 and of the European record rainfall in Italy from October 2021.

After several episodes of heavy rain, a cyclonic weather system (Bernd) caused persistent or recurring heavy rainfall between the 13 and 15 of July 2021 in Germany. The west of Rhineland-Palatinate and the southern half of North Rhine-Westphalia were largely affected. As a result, small rivers expanded locally and flash floods formed. In addition to immense property damage, over 160 people lost their lives.



FIGURE 3 An example of operational A-LAEF EPS-grams for Bratislava for the next 72 hours from 00 UTC on 22 April 2022. In the box-and-whisker diagrams, the intervals shown are from 0.35% to 25% to 75% and 99.65%, and the small circles indicate outliers.



FIGURE 4 The two charts show verification results for the convective season of 2021 (May–August) for root-mean-square error (RMSE) and bias for (a) A-LAEF vs ALARO (non-hydrostatic, 2 km), and (b) A-LAEF vs ALADIN/SHMU (4.5 km). Blue triangles represent better performance of A-LAEF over the deterministic ALARO and ALADIN/SHMU respectively, with the size of the triangle denoting the significance level. The verification was done using the HARP verification package using only the Slovak observation network.

The A-LAEF ensemble successfully captured the precipitation event, with well-localised patterns and unusually high probabilities of extreme precipitation amounts (Figure 5).

In the second example shown, on 4 October 2021, a European precipitation intensity record was broken in Northern Italy (Liguria region), where more than 740 mm of rain fell within a 12-hour period, causing floods and landslides. During this event, 178 mm of rainfall was measured in just 1 hour in Urbe Vara Superiore, and over 900 mm in 24 hours in Rossiglione. The localisation of such extreme precipitation amounts was exceptionally precise in the A-LAEF forecast (Figure 6), even though the ensemble mean was much lower in magnitude than the measurements. It was, however, still remarkably high for a numerical precipitation forecast. Looking at individual ensemble members, we can see several scenarios much closer to reality, with an ensemble maximum of 501 mm in 24 hours (Figure 7). A comparison with the operational deterministic forecast of ALADIN/SHMU is shown in Figure 8. The deterministic forecast failed to correctly predict the location of extreme precipitation or the amount.

Furthermore, as part of ECMWF's special project

SPCRALAE, an export version of A-LAEF was prepared in order to allow any standard ECMWF user to install and run it under their own environment. This version was also used to run a parallel experiment for the record precipitation event in Italy, where the operational A-LAEF forecast coupled to Cycle 47r2 of ECMWF's Integrated Forecasting System was compared to the new coupling to Cycle 47r3. Although the ensemble mean characteristics and the probabilities for given thresholds were not significantly changed, the unperturbed control run coupled to Cycle 47r3 was better at predicting the location of the main precipitation area (not shown).

Conclusions and outlook

The A-LAEF system has proven to be very useful and reliable within the first two years of its operational use. Its usage among forecasters has gradually increased over this period, particularly on the occasion of complicated weather situations. It is especially useful when weather warnings for strong winds and heavy precipitation events are to be issued. Unexpectedly, it also turned out that the multi-physics clusters of A-LAEF can be successfully used for the evaluation and understanding of deterministic model behaviour in some special situations.

a Precipitation estimate (OPERA)



b A-LAEF mean forecast

Without AT radar network 0 0.1 0.5 1 3 5 10 15 20 30 50 75 100 150 200 300 Precipitation (mm) C Probability of precipitation ≥ 100 mm



FIGURE 5 The charts show (a) the precipitation estimate by the OPERA radar network, (b) the A-LAEF ensemble mean forecast starting at 00 UTC on 13 July 2021, and (c) the A-LAEF forecast starting at the same time of the probability for a precipitation threshold of at least 100 mm, valid for the 48-hour accumulation period from 13 July 2021 until 15 July 2021 (06 to 06 UTC).



FIGURE 6 The A-LAEF forecast of probability for a precipitation threshold of (a) at least 150 mm, and (b) at least 200 mm, valid for the 24-hour accumulation period on 4 October 2021. The location in northwest Italy with observed record precipitation is marked by the red circle.

Despite its success, the A-LAEF system will receive several upgrades in the near future. After the migration to ECMWF's new Atos computer in Bologna, the A-LAEF model version is planned to be upgraded from cy40t1 to the more recent cy43t2 or cy46t1. Among other benefits, this will be a necessary technical step towards the operational implementation of a new upper-air assimilation and perturbation



FIGURE 7 Different scenarios for 24-hour precipitation on 4 October 2021 in northern Italy by the perturbed A-LAEF ensemble members, in forecasts starting at 00 UTC on the same day. A maximum of 501 mm was predicted by member 03.



b ALADIN/SHMU deterministic forecast

FIGURE 8 These plots show (a) the A-LAEF ensemble mean precipitation forecast, and (b) the ALADIN/ SHMU deterministic precipitation forecast, starting at 00 UTC on 4 October 2021 and valid for the 24-hour accumulation period on the same day.

method called ENS BlendVar. This will enhance the simulation of initial condition uncertainty for upper-air fields. However, this new method will require more testing and validation before becoming operational. A special emphasis must be placed on system reliability, because ENS BlendVar involves more observation sources, which increases the danger of odd behaviour or failure.

In parallel, there is still an ongoing discussion within the RC LACE consortium about the future common ensemble system. The desired goal is to move towards convectionpermitting resolutions. Although the system is going to be transferred to a new HPCF with considerably larger computational resources, these would not be sufficient without introducing other changes. One of the solutions is to use single precision in the forecast model and/or to make other acceptable compromises.

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ECMWF's new network and security infrastructure

Ahmed Benallegue, Stanislav Burlakov, George Margaritis, Hassan El Ghouizy, Michele Di Mascolo

n September 2021 ECMWF officially opened a new data centre in Bologna (Italy), while its data centre in Reading (UK) is due to close at the end of 2022. As part of the Bologna Our New Datacentre (BOND) programme of activities, the main aim of the Network and Security (N&S) workstream was to deliver the most reliable and performant access to ECMWF's information and communications technology (ICT) infrastructures to ECMWF Member and Co-operating States, other services and applications, and end users. These infrastructures include the high-performance computing facility (HPCF), the Data Handling System (DHS), the Climate Data Store (CDS) and the forthcoming operational European Weather Cloud.

Whilst most of the BOND activities relate to the design, procurement and deployment of a new N&S infrastructure in Bologna, substantial complementary activities also had to be undertaken at the Reading data centre (DC) site to transform the operational network infrastructure. The aim was to introduce the necessary improvements for a smooth and effective interconnection of the DCs in Reading and Bologna through 100 Gbps site-to-site connectivity as well as to prepare for the migration of services and data from Reading to Bologna.

This article details the origins of the N&S infrastructure design and describes the various necessary transformational activities that took place at the Reading DC site.

Design drivers

The first step towards the creation of the N&S design was to ensure compliance with ECMWF's ICT design principles through the definition of more specific N&S design drivers. The table below shows the translation of the design principles to clearer network-level drivers that were followed when producing the N&S design components.

High-level functional design

ECMWF's Technical Design Authority (TDA) was set up at the beginning of the BOND programme to manage the overarching technical governance for ECMWF in the context of BOND. One of the first actions of the TDA was to draw up a target high-level functional design that summarises the criticality of and the expectations from the ICT infrastructures and services provided by ECMWF in Bologna (see Figure 1).

Generic ECMWF design principles	Specific network and security design drivers
Start with user needs	Automation and self service
Strong development and operations Work on working practices	Physical layout considerations Remote access and operations
Focus on the core business	Address the needs of ECMWF's core businesses Focus on ECMWF's new HPCF in Bologna
Defence in depth	Network segmentation Security multi-layer approach Security ecosystem
Fail in place and fix later Be consistent (but not uniform)	Create a future-proof, scalable and flexible design Holistic design approach Reliability and resiliency Fault isolation and simplicity Modularity Reliable and manageable scalability
Minimise technical debt	Follow industry best practice
Enterprise in the cloud	Secure and reliable access to cloud-based services

TABLE 1 Translating generic computing principles to networklevel drivers was essential to guarantee a network and security design and its resulting operational infrastructure that are both innovative and future-proof. For instance, the "Work on working practices" principle translates to "Remote access and operations", meaning remote access to the network equipment's management interfaces, and the "Defence in depth" principle is better understood as "Network segmentation" and "Security ecosystem" when it refers to IT networks and network security, respectively.

35

computing



FIGURE 1 The functional design includes the following columns: TELECOM, which includes the Internet and the Regional Meteorological Data Communication Network (RMDCN); MCLOUD, which includes the virtualisation platforms needed to run ECMWF services; the EUROPEAN WEATHER CLOUD, which offers a cloud service to Member States and commercial users; HPC, which integrates the general-purpose batch and interactive cluster; and the DATA HANDLING SYSTEM, which includes the common online storage.



FIGURE 2 Apart from the data handling system network, for which there is no expectation of immediate support, all the other components of the N&S infrastructure are deemed critical for operations and require 24/7 immediate support. The Regional Meteorological Data Communication Network (RMDCN) is a highly available private data network interconnecting all of ECMWF's Member and Co-operating States used primarily to exchange critical meteorological data.

Inspired by and compliant with the TDA's design, a more specific N&S high-level functional design was put together. The major evolution compared with the Reading DC was the consideration of the two data halls as two separate data centres in which two independent instances of N&S infrastructure are deployed (see Figure 2).

Network and security design overview

With the N&S high-level functional design in mind, the N&S High-Level Design (HLD) activity was initiated. Preliminary workshops were held between the Networks and Security Team and ECMWF's network equipment

suppliers and vendors, with the aim of producing a design that was as standard as possible and that follows industry best practice. In parallel, multiple brainstorming and workshop sessions took place involving all the relevant teams in ECMWF to capture all the requirements for the infrastructures and services to be deployed in or migrated to Bologna.

The result of this substantial piece of work was an HLD document that was submitted to the TDA for peer review and approval. This was achieved in October 2018. This document was consequently used as reference during the next phase of the design, involving the definition of Low-Level Designs (LLDs) for specific infrastructure and services, and the procurement and deployment phases of the N&S workstream.

In summary, the resulting N&S design has a segregated data centre approach, in which two data halls (DHs) in Bologna are considered as two separate data centres as much as applicable. The setup is a simple and scalable solution of two independent data centres that can coexist in any location. This gives ECMWF the flexibility to easily deploy a new infrastructure at any other location. For smaller sites, the design stays the same, but the resulting infrastructure consists of fewer devices.

The main architectural evolutions introduced by the new design in Bologna, which will be detailed in the next

paragraphs, are as follows:

- IP Fabric architecture
- Dual-site topology
- Security layer
- · Branch office architecture

IP Fabric architecture

An 'IP Fabric' architecture, also referred to as 'Clos' or 'Leaf and Spine', is a state-of-the-art network topology for medium and large-scale data centres. It is a two-layer topology composed of leaf switches and spine switches. Different from the traditional three-layer topology, it minimises latency and bottlenecks whilst offering greater scalability, reliability and performance. It also improves the total available bandwidth, simplifies network configuration and facilitates management and monitoring (see Figure 3).

Dual-site topology

Two physically segregated IP Fabric networks were deployed in the new data centre: one in each data hall. The two data halls are regarded as two separate data centres, which creates two separate fault domains.



FIGURE 3 In an IP Fabric network, the total capacity is restricted by the number of ports available in the spine switches, which makes it very scalable. Furthermore, as long as a single spine switch is fully operational, the capacity of the network is degraded but uninterrupted.

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Security layer

The 'Defence in Depth', one of ECMWF's ICT design principles, is an information assurance concept based on the application of a multi-layered defence approach. It is intended to ensure the confidentiality, integrity, and availability of information assets. Defence in Depth entails multiple layers of protection so that, if one defensive measure fails, there are more behind it to continue protecting the assets. The aim of such an approach is to use security controls to reduce the risk and effects of vulnerabilities, attacks and intrusions. In the context of the BOND N&S design work, this resulted in the construction of a security ecosystem and network segmentation.

In particular, the segmentation of the data centre network into different security zones offers higher control and visibility of the traffic. In addition, it makes it possible to introduce new security defence controls to improve operational security. It thus boosts ECMWF's ability to prevent and react to internal and external threats. See Figure 4 for a representation of key security layers in Bologna.

Network segmentation: the case of the CDS

A practical use of network segmentation is illustrated by the deployment of the CDS infrastructure. As this infrastructure is managed by a third-party partner, CloudFerro, it is important to properly control traffic to and from it. This way the CDS, and other Bologna ICT infrastructures it exchanges data with, can be protected from external and internal network-level threats. This is done through the configuration of network segments and security zones, through which routing and packet filtering controls can be applied.

Branch office architecture

The new Bonn and Bologna offices network architecture is based on a branch office architecture with local survivability and total independence from the data centre network. In case of a complete data centre isolation, the branch office network can provide basic Internet connectivity and services to staff and visiting guests. The same architecture and its associated topology will be applied to the Reading offices network during the decommissioning phase of the Reading DC. For an overview, see Figure 5.

The transformation of the Reading network

To ensure an effective implementation of site-to-site connectivity, as well as to guarantee minimum disruption during the migration of services and data from Reading to Bologna, it was important to plan for the transformation of the operational N&S infrastructure in Reading. This will be referred to as the Reading Local Area Network (LAN) or the High-Performance Network (HPN) in the rest of the article.

The Reading LAN in June 2018

The Reading LAN has evolved organically over many generations of supercomputers and ever-changing ECMWF needs. Since it is constantly used for operational data transfers, hardware refreshes had to be limited in scope to minimise risk and impact on operations. Over the years, this resulted in a network topology that was suboptimal, with manually configured devices that relied on static routing. While redundancy was always a key aspect in ECMWF network designs, the static nature of the network meant that any failover procedures were generally manual and labour intensive. It was clear that the network as it stood would not be able to support the fast rate of change and dynamic adjustments that would be necessary during the period of migration from Reading to Bologna.

The Reading LAN transformation plan

The Reading LAN transformation took place during the period March 2019 to December 2020. It aimed to achieve the following high-level goals:

- Definition and enforcement of a new IP addressing scheme for all ECMWF sites
- · General simplification of deployed network services
- · Removal of inter-service dependencies, where possible
- Definition and adoption of standardised configuration and naming conventions
- Harmonisation and clean-up of key elements of the infrastructures and services
- Rationalisation of routing and introduction of dynamic routing protocols
- Increased network traffic segregation and adoption of a zone-based firewalling approach
- Improvements to the network's high availability and self-healing capabilities
- Collapse of the firewalling capabilities into a single cluster.

With these high-level goals in mind, an analysis of the network and security solutions implemented in Reading was carried out, concentrating on current service design and any apparent deficiencies. Designs for equivalent services to be implemented in Bologna were then considered, together with any known service migration requirements. Since the number of possible enhancements was quite high, it was clear that only a certain proportion of them could be implemented within the timeframe. Therefore, in the next stage of the process, a list of tasks was compiled together with their impact ratings and perceived implementation difficulty, while taking note of any inter-task dependencies. This produced







FIGURE 5 The offices network blueprint approach intends to harmonise and simplify the configuration and management of the resulting infrastructures wherever it is used, in Reading, Bologna or Bonn. It provides the same user experience for staff and visiting guests in terms of accessing key services, such as Wi-Fi eduroam or printing.

a final list of tasks to be carried out within the scope of the Reading LAN transformation.

The Reading LAN transformation – making the change

Due to project length, complexity, and the need for continued use of the network for operational traffic, the process of transformation was gradual with many intermediate designs having to be implemented before the final design goals could be achieved. Several platform limitations were discovered, which necessitated some scope changes. However, they resulted in a network infrastructure that is more resilient and capable of supporting rapid adjustments reliably.

One of the core changes enabling network agility was the

replacement of static routing with standards-based dynamic routing protocols, namely Border Gateway Protocol (BGP) and Open Short Path First (OSPF). With static routing, making a change to the path of traffic required either a manual intervention or a complex configuration tracking the state and the reachability of interfaces. With dynamic routing protocols, all participating routers exchange messages to check the link between them, monitor network routes they are responsible for, and verify various network path attributes. This means that the network can detect the failure of a router and automatically reconfigure itself to route the traffic along an alternative path. The speed at which this is done depends on protocol and its configuration, but supporting protocols, such as Bidirectional Forwarding

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Detection (BFD), enable reconfiguration times of under one second. Furthermore, in cases where multiple paths of equal quality are present between devices, all of them could be used simultaneously for routing traffic, meaning that a failure would result in a decrease in network capacity but no user-noticeable impact.

Increased traffic segregation was achieved by implementing Virtual Routing and Forwarding instances (VRFs), which are used to separate production traffic from other traffic types. This resulted in an improvement to ECMWF's security posture and enabled risk-free proof-of-concept work required for both BOND designs and LAN transformation work itself.

In 2020 it became clear that some LAN capacity issues would have to be addressed in Reading, prior to the migration of services to Bologna. For example, a simplification of the HPN firewall infrastructure by collapsing three firewall clusters into one was supplemented with an additional requirement for expanded firewall capacity. While this change was very complex due to its sheer size as well as the amount of configuration refactoring required, it was eased by recent implementations of dynamic routing and network segmentation. A new cluster was built and tested inside a separate VRF, and then the routing path was switched over from using the old clusters to the new cluster, during a maintenance window. Traffic interruption was kept to under a minute, and the new cluster doubled the LAN capacity. This can be doubled once again, should it be required in the future. It is notable that this major piece of work was carried out remotely, with only a small group of supporting technicians present on site.

Alongside these major changes, many smaller but no less significant pieces of work were carried out to improve network services and facilitate fast-approaching migration activities. These included the commissioning of site-to-site data connectivity between Reading and Bologna; and a large amount of IP renumbering activities to homogenise usage of our IP space, so that large contiguous blocks of IP space were available for use at ECMWF's new sites in Bologna and Bonn.

The Reading LAN in April 2022

In April 2022, the LAN in Reading is radically different to what it was four years before. While it is by no means as modern and flexible a design as the one deployed in Bologna, the activities carried out as part of LAN transformation were, where appropriate, inspired by designs for the Bologna infrastructure. The result is that the network can support rapid change as part of day-to-date operations, thereby easing migration of services from Reading to Bologna. It has also shown its ability to tolerate failure and self-repair under failure conditions. Crucially, the transformed network can be effectively managed remotely, much in the same way as is possible for Bologna.

Conclusion

The importance and priority given to the design phase of the new N&S infrastructure for Bologna at the start of the BOND Programme proved to be key to producing an innovative and future-proof architecture that will be fit-for-purpose for ECMWF's needs for years to come. However, this was only achieved through an iterative process involving all key ECMWF stakeholders and a validation/approval mechanism required from key bodies such as the BOND Programme board, the Computing Department management team and the TDA. In particular, the gathering of detailed requirements was a necessary step that must never be shortcut or rushed as it is the only way to ultimately ensure the appropriateness of the design. In addition, using external third parties, such as suppliers, vendors, and partners, as a sounding board was extremely beneficial as it gave the resulting design a stamp of approval from industry and allowed for a smoother transition from the design to the procurement phases.

The transformation of the Reading LAN proved to be extremely challenging for two main reasons: the size of the task to make the network BOND-compatible, and the fact that this was done in a 24/7 operational environment. This was further compounded by the need to increase capacity and performing most of the work remotely because of the COVID-19 pandemic. However, the task was successfully completed thanks to a careful and methodical approach.

Although the bulk of the deployment of the N&S infrastructure in Bologna has been completed, the configuration of the network is still on-going to accommodate the deployment and migration of ICT infrastructures and services. However, the benefits of the new design and its resulting implementation are already visible since making incremental changes and fulfilling change requests is much easier than doing the same exercise at the Reading DC, especially before the LAN transformation. The relatively pain-free successful migration of the CDS infrastructure in the first quarter of 2022 is testimony to that.

Further reading

Benallegue, A., 2020: ECMWF's new IT network and security infrastructure in Bologna, *ECMWF Newsletter* No. 162, 12–13.

Benallegue, A., S. Burlakov & L. Sorth, 2021: Testing the Reading–Bologna site-to-site connectivity, *ECMWF Newsletter* No. 168, 4–5.

Dell'Acqua, M., J. Thomas, M. Toni & A. Gundry, 2022: ECMWF's new data centre in Italy, *ECMWF Newsletter* No. 170, 23–25.

general

ECMWF publications

(see www.ecmwf.int/en/research/publications)

Technical Memoranda

- 899 **Burrows, C. & P. Dussarrat:** Preparing for the exploitation of MTG-S IRS in NWP using FY4-A GIIRS observations. *June 2022*
- 898 Mayer, M., M. Alonso-Balmaseda, S. Johnson,
 L. Magnusson, C.D. Roberts & H. Zuo: Outcomes from UGROW-IO: Forecast errors in the Eastern Indian Ocean across lead times. *June 2022*
- 896 Ben-Bouallegue, Z., F. Cooper, M. Chantry, P. Düben, P. Bechtold & I. Sandu: Statistical modelling of 2m temperature and 10m wind speed forecast errors. *April 2022*
- 891 Magnusson, L., M. Alonso-Balmaseda, M. Dahoui, R. Forbes, T. Haiden, D. Lavers et al.: Summary of the UGROW subproject on tropospheric temperature bias during JJA over the Northern Hemisphere. *March 2022*

ECMWF Calendar 2022/23

2022		Dec 1-	-2	Council
Sep 12-16	Annual Seminar 2022	Dec 5		Celebration of 30 years of the ensemble prediction system at ECMWF and
Oct 3–5	Scientific Advisory Committee	Dec 5		symposium for Tim Palmer
Oct 3–6	Training course: Use and interpretation of ECMWF products	2023		
Oct 6–7	Technical Advisory Committee		6	Policy Advisory Committee
Oct 20–21			6–27	Finance Committee
		Jun 21	1–22	Council
Oct 21	Policy Advisory Committee		1–25	Finance Committee
Oct 24–28	7th SPARC General Assembly	Oct 25	5	Policy Advisory Committee
Oct 31–Nov 4	Sixth WGNE workshop on systematic errors in weather and climate models			
		Dec 5-	-6	Council
Nov 14–17	ECMWF–ESA Workshop on Machine Learning for Earth Observation and Prediction			

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