Assimilation of microwave imagers (GCOM-W1/AMSR2, GPM/GMI)

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ECMWF Annual Seminar 2014

Use of Satellite Observations in Numerical Weather Prediction, 8-12 September 2014

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Microwave imager observation

Level 2 products from GCOM-W1/AMSR2





Sea Surface Temperature



10 20 30 [deg C]



http://kuroshio.eorc.jaxa.jp/JASMES/index.html

Soil moisture

Integrated cloud liquid water

Sea surface wind speed

Microwave imager observations contain information on various geophysical parameters

e.g.

Total column water vapor Total column liquid water Sea surface temperature Sea surface wind Precipitation Soil moisture Sea ice Snow depth

Characteristics of Microwave imager observation

Transmittance in MW spectral range



The absorption features are due to water vapor and oxygen. Resonant absorption occurs 22GHz (Water Vapor) and 50 to 70GHz (Oxygen).

Cloud liquid water droplets produce relatively small absorption and it increases monotonically with frequency.

For weak precipitation condition (< 1mm), and low frequency channels (< 25 GHz), rain droplet scattering effect can be negligible.

Large effect of incidence angle dependence for surface reflectivity (i.e. down-welling radiance) should be taken into account in radiative transfer calculation

Surface emissivity range in microwave from 0.2 to 1.0.

Conical scanning microwave imager



GCOM-W/AMSR2 scanning geometry

- Fixed incidence angle (e.g. 55 degree) allow uniform observation during the scan.
- 2. Dual polarization measurement (V and H). Minimum incidence angle dependence in surface emissivity for vertically polarized channel (e.g. surface wind speed retrieval from a large differential between horizontally and vertically polarized signals for ocean case)

In microwave observations, low surface emissivity and dual polarization measurements can provide information on water vapor, cloud, rain, and surface wind over oceans. Assimilation of microwave imager observation

- Oceanic microwave radiance data contain information on various geophysical parameters: water vapor, atmospheric hydrometeors and ocean surface condition (sea surface temperature, surface wind speed, salinity)
- Microwave imager observation are assimilated as brightness temperatures by means of radiative transfer calculation
- The sensitivity needs to be included in the radiative transfer calculations in order to make consistent changes in initial states for NWP.

Microwave radiative transfer

For microwave spectral range, Rayleigh-Jeans approximation can be applied for oceanic and clear sky condition **MW imager**

$$T_b(\nu,\theta) = T_u(\nu,\theta) + \left[\varepsilon_s T_s + \left(1 - \varepsilon_s\right) T_d(\nu,\theta)\right] e^{-\tau(0)\sec\theta}$$

The observed brightness temperature T_b can be expressed by the upwelling T_u and reflected down-welling atmospheric radiation $(1 - \varepsilon_s)T_d(v, \theta)e^{-\tau(0)\sec\theta}$ and the surface emitted radiation $\varepsilon_s T_s e^{-\tau(0)\sec\theta}$.

Possible error sources:

- 1. Cosmic microwave background $T_c=2.7K$ Generally small compared to the atmospheric contribution
- T_{RFI}: Radio Frequency Interference
 From ground and geostationary satellite. Related to human activity
- 3. T_{sun}: Reflection of sun light into the instrument Seasonal change
- 4. Errors in atmospheric profiles from NWP model output

Surface emissivity effects (ocean case)

The emissivity is determined by **sea surface temperature**, **salinity**, **foam and surface roughness**. <u>An accurate microwave ocean emissivity model</u> is necessary to assimilate surface sensitive microwave radiances over the ocean

Two-scale models of the ocean surface (Wu and Fung (1972) and Wentz (1975)) were suggested. The theoretical models require an integration over the ocean wave spectrum to calculate the microwave ocean emissivity. This requires huge computational costs. Parameterizations are necessary for fast calculation in operational data assimilation.

Two scale modeling

- Surface waves with wave length that are long compared to the radiation wave length (a collection of tilted facets, each acting as an independent secular surface), Large-scale wave
- 2. The diffraction of microwave by surface waves that are small compared to the radiation wave length , Small-scale wave
- 3. Sea foam (fractional coverage and emissivity)

Conceptual wind-roughened ocean wave image in two scale modeling

These effects are parameterized as functions of incidence angle, wind speed, sea surface temperature, and frequency.

Effects of salinity are negligible except L band channels

History of FASTEM

FASTEM is a FAST microwave Emissivity Model used for satellite radiance assimilation. FASTEM is used in RTTOV and CRTM for ocean surface emissivity calculation

- **FASTEM-1** (English and Hewison, 1998)
 - Based on a geometric optics model simulation
- **FASTEM-2** (Deblonde and English 2001)
 - An updated version of FASTEM-1 and released in RTTOV-7
- FASTEM-3 (Liu and Weng 2003)
 - An azimuth variation model function was introduced and released in RTTOV-8
- **FASTEM-4** (Liu et al., 2011)
 - Updated permittivity calculation, a new parameterizations of the roughness effect, foam parameterization and a new azimuthal model function, released in RTTOV-10
- FASTEM-5
 - Modified the large scale roughness and foam parameterization
- FASTEM-6
 - Improved azimuth variation model function (Kazumori and English 2014)

Microwave Ocean Emissivity calculation in RTTOV

- A fast radiative transfer model (RTTOV-10) is used for the brightness temperature calculation in the data assimilation. RTTOV-10 uses **FASTEM** (fast emissivity model) as the microwave ocean emissivity calculation module
 - Specular Ocean surface emissivity (calm ocean) Largest part of ocean emissivity calculated by Fresnel formula, depend on frequency, incidence angle, SST, salinity and dielectric constant of sea water.
 - Small scale correction
 Radiation from small scale waves (capillary wave),
 Isotropic wind-induced emissivity
 - Large scale adjustment
 Radiation from large scale waves
 (gravity wave, swell) caused by wind
- Correction by foam and whitecap Correction on down-welling atmospheric radiation
- Azimuthal variation correction (Relative Wind Direction effect)
 Operation
 Dependence on surface wind direction relative to the sensor azimuthal look

Sensor azimuth angle is not stored in SSMIS, TMI real time data.



Not used operationally!

Components of ocean emissivity $\varepsilon = \varepsilon_0(\theta, T_s, S) + \Delta \varepsilon_{iso}(\theta, W_s, F) + \Delta \varepsilon_{dir}(W_s, \phi_R)$

$\mathcal{E}_0(\theta, T_s, S)$

The first term: Specular sea surface emissivity, This is dominant term of the ocean emissivity and depends on incidence angle, sea surface temperature, and salinity. The value can be theoretically calculated. (Fresnel formula)

$\Delta \varepsilon_{\rm iso}(\theta, W_s, F) = (1 - F) \Delta \varepsilon_{\rm W}(\theta, W_s) + F \Delta \varepsilon_{\rm F}(\theta, W_s)$

The second term: Wave-induced isotropic emissivity. This term depends on sea surface wind speed, and fractional coverage of foam *F*. This term contains the large scale and small scale wave contribution. The effects are parameterized with wind speed and incidence angle, and foam emissivity.

$$\Delta \varepsilon_{\rm dir}(W_s, \phi_R)$$

The third term: Wind directional dependent part of the emissivity. Asymmetric distribution of foam and small scale wave contribute this variation. The value can be parameterized with wind speed and relative wind direction.

Characteristics of oceanic microwave radiance Measurements from Airborne Microwave Radiometer and Buoy

Ocean surface microwave radiations have surface wind directional signals.

The azimuthal variation should be considered correctly in radiative transfer calculation for geophysical parameter retrievals (TPW, SST etc.) and radiance assimilations.

Microwave radiances (brightness temperature) are assimilated for Numerical Prediction Model. However, the directional information are not used because of a lack of sensor azimuth angle information in real time data and insufficient modeling.

The variation of Tv and Th in terms of relative wind direction is expressed by harmonic cosine function.



Fig. 2. Wind direction signals in polarimetric brightness temperatures of ocean surfaces acquired by JPL K- and Ka-band radiometers at 55° incidence angle. The data were acquired on 17 April, 1995, with NASA DC-8 flights over NDBC buoy 46005. The skies were mostly clear with some small scattered clouds. The buoy wind speed is $89 \text{ m} \text{s}^{-1}$ at 5 m elevation, which corresponds to 9.6 m·s⁻¹ at 10 m elevation and 10.1 m·s⁻¹ at 19.5 m elevation based on the correction of a boundary layer model [12]. For ease of comparison, T_v , T_h , and Q at 19.35 GHz have been offset by 187.5, 119.3, and 68.2 Kelvin, respectively, while those at 37 GHz have been offset by 203.9, 136.8, and 67.1 Kelvin.

Yueh, S. H., & Wilson, W. J. (1999)

Definitions of angles



(a): Satellite azimuth view angle and Satellite azimuth angle.

(b): FASTEM wind direction and Meteorological wind direction.

(c): Relative wind direction (westerly wind case). Wind vector is drawn in thick black arrow.

The Variations of Simulated Brightness Temperature 19 GHz V-pol. from FASTEM-5 and 3



Relative Wind Direction is wind direction relative to sensor azimuth angle

The Variations of Simulated Brightness Temperature 37 GHz H-pol. from FASTEM-5 and 3



Design of new RWD model

Emissivity variation with regard to RWD is modeled with a series of harmonic functions. This is normal approach adapted by many literatures.

$$E_i = E_{i0} + E_{i1} \cdot \cos(\varphi) + E_{i2} \cdot \cos(2\varphi) + \cdots$$

$$\Delta E_i = E_i - E_{i0} = E_{i1} \cdot \cos(\varphi) + E_{i2} \cdot \cos(2\varphi) + \cdots \quad i = v \text{ or } h$$

$$E_{i1} = E_{v1} = a_{v1} \left[\exp\left(-\alpha_{v} x^{2}\right) - 1 \right] \left(b_{v1} x + c_{v1} x^{2} + d_{v1} x^{3} \right)$$
$$E_{i2} = E_{v2} = a_{v2} x$$

$$E_{i1} = E_{h1} = a_{h1} x$$
$$E_{i2} = E_{h2} = a_{h2} \left[\exp\left(-\alpha_h x^2\right) - 1 \right] \left(b_{h2} x + c_{h2} x^2 + d_{h2} x^3 \right)$$

The coefficients (a,b,c,d, alpha) are derived for each channels. They were determined based on simultaneous measurements of radiance and surface wind speed by ADEOS-II (AMSR and SeaWinds)

x: wind speed

Dependency on incidence angle (Meissner 2012, Etkin 1991)



Somali Jet: Strong southwesterly wind (>12m/s) in Arabian Sea



-10

-15

60

RTTOV-10 FASTEM-5

300

240

180

RWD [Degrees]

120

However, the directional information are not used because of a lack of sensor azimuth angle information.

A new emissivity model (RWD model) was developed based on simultaneous measurements of radiance and wind vector from ADEOS-II (AMSR & Seawinds). 18

The impact of RWD model function Analysis Increment of Specific Humidity and RMS error of RH and T forecast



20

Normalized difference in RMS error of forecasts (against own analysis) Period: Jun. 20 - Oct. 3 2011 RH T+12 T+24 200 Pressure, hPa Pressure, hPa 400 600 600 800 800 1000 100 -90 -60 -30 0 30 60 -90 -60 -30 0 30 60 90 Latitude Latitude T+12 T+24 200 200 Pressure, hPa Pressure, hPa 400 400 600 600 800 800 100 1000 -90 -60 -300 30 60 90 -90 -60 -300 30 60 90 Latitude Latitude

The use of surface wind directional signals with RWD model reduced RH and T forecast errors significantly.

Improved ocean emissivity model

- Use of the new RWD model in FASTEM-5 reduced biases in FG departure of microwave imagers in strong wind condition
 - The RWD model has better performance than present FASTEM RWD model
 - Results of the Impact study (Period: 20 June to 3 Oct. 2011)
 - Reductions of analysis increment of specific humidity were found in high wind speed and low wind direction variability areas (Arabian sea in boreal summer).
 - In short range forecasts, reductions of RMS errors of RH and T fields in lower troposphere were significant.
 - Inclusion of the azimuth variation improves the analysis and forecast accuracy in ECMWF system. The new RWD modes will be introduced in ECMWF IFS as a part of RTTOV-11 (FASTEM-6).

Reference: Use of the Ocean Surface Wind Direction Signal in Microwave Radiance Assimilation (in press, QJRMS)

New microwave imagers

GCOM-W1/AMSR2

 Data available from JAXA since July 2012

• GPM/GMI

- Data available from NASA since March 2014
- DMSP/SSMIS, F16,17,18,19
- FY3/MWRI
- TRMM/TMI
- Coriolis/WindSat



GCOM-W1 "SHIZUKU" by JAXA



AMSR series

• Advanced Microwave Scanning Radiometer (AMSR)

GCOM-W1/AMSR2 May, 2012 -





Aqua (US)/AMSR-E May, 2002 - Oct, 2011





ADEOS-II/AMSR Dec, 2002 - Oct, 2003





GCOM-W1 joined the international Afternoon Constellation (A-train)



Microwave Imager channels

GCOM-W1/AMSR2 May, 2012 -



Main reflector size (1.6m→2.0m)

Improved horizontal resolution

Addition of 7.3GHz channels to mitigate RF

ſ		Notation	Polarization	Sensor (channel number and frequency [GHz])								
	Band			AMSR2		AMSR-E		TMI		SSMIS		
				Ch	Frequency	Ch	Frequency	Ch	Frequency	Ch	Frequency	
		6GHz	V	1	6.925	1	6.925					
-	С		Н	2	6.925	2	6.925					
		7GHz	V	3	7.3							
			Н	4	7.3							
	Х	10GHz	V	5	10.65	3	10.65	1	10.65			
			Н	6	10.65	4	10.65	2	10.65			
	Ku	19GHz	V	7	18.7	5	18.7	3	19.35	13	19.35	
			Н	8	18.7	6	18.7	4	19.35	12	19.35	
	К	23GHz	V	9	23.8	7	23.8	5	21.3	14	22.235	
			Н	10	23.8	8	23.8					
	Ка	37GHz	V	11	36.5	9	36.5	6	37	16	37	
			Н	12	36.5	10	36.5	7	37	15	37	
=1	w	89GHz	V	13	89.0A	11	89.0A	8	85.5	17	91.655	
			Н	14	89.0A	12	89.0A	9	85.5	18	91.655	
			V	15	89.0B	13	89.0B					
			Н	16	89.0B	14	89.0B					

Better calibration (improved hot load stability)

FG departure comparison in IFS (WO bias correction, not normalized) 1-20 Jan. 2013 (thick line)





Mean FG departures (Oceanic Data) 19GHzV, 3month average in IFS



Radio Frequency Interference (RFI) in 19GHz channel

- The source of RFI contamination in descending AMSR2 18.7 GHz vertically and horizontally polarized channels is broadcasting activities from DirecTV satellite 10 in geosynchronous orbit at 102.8 West Longitude.
- RFI is identified in the U.S. coast and the intensity is affected by glint angle, geographic location, and surface roughness.







Results of AMSR2 data quality assessment

- Generally, AMSR2 data quality outperforms AMSR-E, and performs well compared to SSMIS and TMI data
 - Less sensor related biases and no orbit-dependent bias in AMSR2.
- Evidence for RFI contamination in 18.7GHz descending orbits for AMSR2 and AMSR-E. (RFI Source : US Geostationary Satellite)

Use of AMSR2 data for ECMWF model (CY40R1) evaluation

Normalized mean FG-departures, AMSR2 37V



Diurnal bias of the forecast model in stratocumulus areas

Normalized mean FG-departures, AMSR2 37V

Summer



Assimilation experiments with AMSR2

- **Experiments:**
 - Baseline: ECMWF (CY40R1 T511L137) minus all MW imager data
 - AMSR2: Baseline + AMSR2
 - SSMIS: Baseline + SSMIS F17
 - TMI: Baseline + TMI

SSMIS humidity sounding channels are used in all experiments.

• Periods:



1-month VarBC spin-up period 3-me

3-month evaluation period

Impacts on forecast

Normalized difference in the RMSE of the 500 hPa geopotential, Both seasons combined (6 months)



Change in error in Vector Wind forecast



Summary on AMSR2 data

- AMSR2 data have been evaluated in the ECMWF system. Generally good data quality, comparable to other MW imagers.
- AMSR2 data have been assimilated in the ECMWF system, with positive impact on medium-range forecasts.
- AMSR2 data offers great potential for enhanced model evaluation.

GPM/GMI Global **P**recipitation **M**easurement

Joint Mission of JAXA and NASA Launch: 27 February 2014



GPM core satellite	GPM core satellite GMI: GPM Microwave Imager DPR: Dual Precipitation Radar Key features of GMI for NWP Wide data coverage (High altitude area)					
DPR: D ual P recipitation						
Key features of GMI for N						
Wide data coverage (H						
Non Sun-synchronous	19.35H	18.7H				
New observation changes for solid precipitation	21.3V	23.8V				
TRIVIIVI/TIVII I day coverage	GPM/GMI 1 day coverage		36.64H			
	the second se					



80 185 190 195 200 205 210 215 220 225 230 235 240 245 250 255 260 265 2 [K]



180 185 190 195 200 205 210 215 220 225 230 235 240 245 250 255 260 265 270 [K]

Center frequency [GHz]

85.5V

85.5H

89V

89H

166V

166H

183+3V

183+7V

Assimilation of GMI radiances in JMA Meso-scale NWP system

• JMA Meso-scale NWP system

• DA system:

Main target of JMA Meso-scale NWP system is accurate precipitation forecasts for Japan and its surrounding areas.

Non-hydrostatic model based 4D-Var (Honda et al. 2005)

• Forecast model:

Non-hydrostatic model, JMA-NHM (Saito et al. 2006)

• Model Resolution:

Outer 5km, Inner 15km

• Three hourly assimilation cycle:

8 times per day, three hour assimilation window

• Used data:

satellite radiance and rain, rain rate and wind from ground-based radar, TCWV from GNSS, AMV, and conventional data

- **RTM for satellite radiance assimilation:** RTTOV-10
- Added new data

GMI: Global Precipitation Measurement (GPM) Microwave Imager

- Channel: 18V, 21V, 37V, 85V
- Retrieved Rain Rate

GMI Tb data are obtained from JAXA in real time



Distribution of used microwave imager data in JMA Meso-scale analysis:

AMSR2, SSMIS F16, SSMIS F17, SSMIS F18, TMI, GMI



Distribution of used microwave imager data in JMA Meso-scale analysis:

AMSR2, SSMIS F16, SSMIS F17, SSMIS F18, TMI, GMI



RMS reduction ratio of AN departure and FG departure

Area: JMA Mesoscale Model Domain



Addition of GMI improves the fits in other microwave imager data and AMSU-A channel 4.

This suggest improvements of humidity and temperature field in the lower troposphere.

A case study: Heavy precipitation event in Japan

Assimilation experiment:

Control run: same as JMA operational configuration (wo GMI data)

Test run: Control + GPM satellite data

(GMI brightness temperature, retrieved rain rate) Period:

Data assimilation: 1 – 11 July, 2014 Forecast: 39 hour forecasts every 3 hour



21 UTC July 2, 2014



Heavy rain in Nagasaki prefecture in the western Island of Japan

Surface weather chart (00UTC July 3, 2014)



MTSAT IR image (00UTC July 3, 2014)



GMI data coverage

GMI observed Tb (21GHzV) w BC

GMI simulated Tb (21GHzV)



Impacts on analyzed TCWV field

TCWV (analysis) (wo GMI)

TCWV (analysis) (w GMI)





Analysis increment (w GMI)



Analysis increment (wo GMI)



^{145E} 21 UTC 2 July 2014

Comparison of precipitation forecast

Three hour accumulated rainfall forecast for 03 UTC July 3, 2014 Three hour forecast from initial time (00UTC July 3, 2014)



There is no big change in heavy precipitation forecast in the northern part of Kyusyu Island. The forecasts have already had high accuracy for the heavy precipitation event.

However, the assimilation GMI data brought improvements in the short range precipitation forecasts for adjacent areas of the heavy precipitation

Summary and future prospect

- Microwave imager observation provide information on various geophysical parameters. They are essential data for initialization of NWP models. The data can be utilized for NWP model evaluation.
- Accuracy of microwave radiative transfer model is a key element for the data assimilation.
 - Recently, improved microwave ocean emissivity model was developed (RWD model function) and named as FASTEM-6.
- New microwave imager data are available and their utilization are in progress.
 - AMSR2 data assimilation in ECMWF global model
 - **GMI** data assimilation in JMA Meso-scale model
- Assimilation of **low frequency channels** (6 and 10 GHz) are not yet fully explored. The atmospheric signal and surface signal can be obtained under rainy situation.

Thank you for your attention.