Sources of intraseasonal to interannual predictability over the North Atlantic/Europe region

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1 Introduction

Bridging the traditional gap between the spatio-temporal scales of weather and climate is a significant challenge facing the atmospheric community. In particular, progress in both medium-range and seasonal-to-interannual climate prediction relies on our understanding of recurrent weather patterns, hereafter weather regimes, and the identification of specific causes responsible for their favoured occurrence, persistence or transition. The weather regime paradigm has been extensively used to investigate the midlatitude atmospheric variability. The day-to-day meteorological fluctuations can be described in terms of temporal transition between regimes. The year-to-year (or longer timescale) climate fluctuations can be interpreted on one hand as changes in their frequency of occurrence provided the hypothesis of long-term quasi-stationary climate, and on the other hand on changes in their intrinsic characteristics. This climate-oriented interpretation for weather regimes appears to be very useful to better describe and understand the low-frequency fluctuations of the Northern Hemisphere regional temperature and precipitation patterns. A brief description of the weather regime paradigm is given in the following. North Atlantic-European (NAE) regimes are then presented as well as their teleconnections at intraseasonal and interannual timescales leading to potential predictability. Emphasis is laid on Madden-Julian Oscillation (MJO), El Nino Southern Oscillation (ENSO) and decadal fluctuations of the North Atlantic basin. The role of midlatitude ocean through the so-called re-emergence mechanism is also described. Our study is limited here to the winter season.

2 Weather regimes: concept and definition

Travelling synoptic pressure systems or storms contribute to a significant fraction of the daily to interannual variability of the extratropical climate. Those are linked to the unstable nature of the upper-level westerly jet stream and interact with circulation patterns of larger scale, the weather regimes, in which they are embedded. Weather regimes could be understood as envelopes for daily atmospheric variability; they have a typical 6–10 day nominal persistence, are spatially well defined (typically the width of an oceanic basin) and limited in number. They can be viewed, in midlatitudes, as the preferred and/ or recurrent quasi-stationary atmospheric circulation patterns produced by the interaction between planetary-scale and synoptic-scale atmospheric

waves (e.g. Ghil and Roberston 2002). Their spatial (basin-wide) characteristics and their temporal (persistence) characteristics are such that, citing Molteni et al (2006), "weather regimes or flow regimes should be regarded as statistical-dynamical equilibria in phase space, which are defined by averaging the dynamical tendencies on a timescale longer than the typical period of baroclinic transients". Decomposition in weather regimes could thus be interpreted as an efficient spatio-temporal filter of the turbulent North Atlantic circulation.

Weather regimes are traditionally obtained using cluster analysis or classification methods (Wicks 1995). Those organize pressure maps into nested sequences of clusters forming a growing tree association (hierarchical method, e.g. the Ward classification, Cheng and Wallace 1993 among others), or iteratively perform the classification from predefined initial states randomly selected from the total sample, according to a given number of cluster k (partition method, e.g. the k-means approach, Michelangeli et al. 1995 among others). More complex approaches, e.g. the Self-Organizing Map method arising from the field of artificial neural network (Johnson et al. 2008, among others) have been also recently proposed. Statistically or technically speaking, weather regimes are thus classes of atmospheric circulation patterns gathered together from a similarity criterion. Those classes are defined by their mean conditions, or centroids, by their variance and by their frequency of occurrence.

As reported in many studies, there is always a part of subjectivity associated with the spatial domain retained for computation of weather representative patterns as well as their number. Standard reproducibility and classificability tests are usually applied to objectively define k and to assess the robustness and the consistency of the partition (e.g. Michelangeli et al 1995, Straus et al 2007). Despite those checks, the significance of the weather regimes and their existence itself based on the presence of multi-modality of the midlatitude atmospheric circulation are still controversial (Stephenson et al 2003, Christiansen 2007, see introduction in Hannachi 2010 for a more complete discussion). It is beyond the scope of this paper to participate to this debate and the weather regimes should be interpreted here as a reading grid of the extratropical atmospheric variability. It is now widely recognized that changes in their occurrence and intrinsic properties may be an important issue for medium-range (weekly to monthly) to climate change forecasts (decadal to trend). Their spatial-temporal characteristics are such that they appear to be promising candidates to optimally extract potential external forcings (e.g. tropical ocean, stratosphere, greenhouse gazes etc.) on the extratropical atmosphere, thus allowing for higher potential climate predictability at midlatitudes.

3 North Atlantic-Europe weather regimes

Wintertime (December-March) North Atlantic-Europe weather regimes (NAE-WR) are first documented based on the classification of Mean Sea Level Pressure (MSLP) anomaly maps from NCEP reanalysis over 1958-2012. Consistently with previous literature (e.g. Vautard 1990), four NAE-WR are obtained (Fig. 1a–d). The corresponding temporal evolution of the total number of days attributed to a given regime over the complete winter season is given in Fig. 1e-h. The two first MSLP regimes can be viewed as the negative and positive phase of the North Atlantic Oscillation (NAO- and NAO+, respectively). Their respective occurrence is indeed strongly correlated to the traditional wintertime NAO index. Note that spatial asymmetries between the two NAO phases (longitudinal shift of the anomalous centres of action and associated storm track) are clearly evidenced here by clustering techniques that do not make any assumption for linearity. The year-to-year occurrence of the NAO regimes captures the strong interannual-to-interdecadal variability of the oscillation that has been extensively documented in literature (see e.g. Hurrell et al. 2003 for a review). Note for instance that winter 2009-2010 recorded the highest occurrence for NAO- regimes while two winters later (2011-2012), record low with zero NAO- day was broken. The third regime is named Atlantic ridge (AR) and is



Figure 1: a-d Centroids of the four wintertime NAE MSLP weather regimes (m). Each percentage represents the mean frequency occurrence of the regime computed over 1958-2012 from 1 December to 31 March. Contour intervals are 2 hPa. e-h Number of days of occurrence of each regime per winter from 1958 to 2012. Adapted from Cassou et al (2011).

reminiscent of the so-called East Atlantic pattern (negative phase, Barnston and Livezey 1987) viewed as a Euro-Atlantic wave train. The forth regime is often referred to as Scandinavian blocking (S-BL) and is characterized by a strong anomalous height anomaly over Northern Europe (Tyrlis and Hoskins 2008) while a mild deeper trough extends south-eastward from the Labrador Sea to the Iberian Peninsula. These two regimes all together are excited about 50% of time on average in winter and exhibit considerable interannual variability (Fig. 1g, h, less loading in the decadal frequency band compared to NAO regimes).

Links between flow regimes and anomalous climate conditions over continental Europe have been extensively documented in literature from daily (e.g. Slonosky and Yiou 2001) to decadal timescales (Hurrell 1995). For instance, high pressure over Greenland (reinforced Icelandic Low) during NAO- (NAO+) leads to slackened and southwardshifted (reinforced and northward displaced) westerly winds affecting downstream temperature and precipitation over the entire Europe. A strong relationship between extremes and regimes occurrence also exists. There are various definitions for indices of extreme events; here we adopt the method of exceeding threshold obtained from percentiles. Daily anomalies are first calculated for each individual meteorological station from the ECA dataset over Europe (Klein et al 2002). The 95th percentiles (or 5% chance of occurrence) referred hereafter to as "climatological thresholds" are then calculated for each station and from 5-day windows centred on each calendar day over the full period. To assess the changes in the probability of extreme cold and wet day occurrence as a function of the four regimes (Fig.2), four new distributions are built by selecting only the days where a given regime is excited. New percentages of days that exceed the climatological thresholds are then computed for each individual station and for the four distributions. These are finally compared to the original climatological 5% probability of occurrence (Plaut and Simmonet 1994). Within such a framework, if the new percentage is 10% for instance for a given regime, it should be viewed as multiplying the likelihood for extreme cold or wet days to happen by 2.

In terms of temperature, NAO+ precludes any cold extremes over the entire Europe while NAO- regimes clearly favour cold outbreaks over a large northern domain. No significant changes in cold extremes can be tracked for AR, except over the Iberian Peninsula where their probability of occurrence is significantly increased. During S-BL, cold events are favoured in central Europe extending westward towards France. In terms of precipitation, NAO- increases chances of extreme rainfall events to occur over a large Western Europe with values as strong as 3 to 4 over most of the Iberian Peninsula. By contrast, precipitation extreme events are favoured for NAO+ regimes in north-western Europe while they are less likely in the Mediterranean basin. S-BL also precludes extreme rainfall to occur over a large portion of Europe. In addition to largescale signals, Fig.2 shows that the regime decomposition also provides useful information about regional features especially for precipitation extremes (Spanish Mediterranean coast during S-BL, Pyrenees signature at the French-Spanish border etc.). Based on the observed link between weather regimes and temperature/precipitation extremes, we suggest that any potential predictive skill score for NAE-WR could indirectly provide a reliable probabilistic view for chances of



Figure 2: Relative changes for each individual regime in the frequency of extreme cold days (left maps) and wet days (right maps) defined by the 95th percentile for each station data from the ECA dataset. The value 1 means that the regime is not discriminative for extremes, while 0 shows that there is no chance of extreme to occur when the regime is excited, and 2 or 4 indicates that there is respectively double or quadruple chance of extreme to occur in association with the regime.

extreme events to occur over the entire European continent as shown later on in this paper for the last three winters.

At low frequency, viewed as an efficient spatio-temporal filter of the mostly chaotic atmospheric flow at midlatitudes, the weather regime entity also appears to be compatible with the time-integrator properties of the ocean and is relevant to explain part of its long-term changes (Cassou et al 2011). In the latter reference, clustering has been additionally performed over the tropical Atlantic region to obtain surface wind classes (T-WC). Those are combined to the NAE-WRs above described to evaluate the ability of the daily classifications to capture the observed low-frequency variability of the surface ocean variables over the entire Atlantic. A least square multiple linear regression model is built: the December–March frequencies of occurrence of the circulation patterns (Fig. 1e-f) are used as predictors in the model while 10-meter wind (UV10) and 2-meter temperature (T2) are the predictants. Figure 3 compares the observed trends to the reconstructed ones based on this very simple regression model.



Figure 3: Linear trends computed over 1959–2002 for wintertime (upper panels) ERA40 U10, V10 and T2 and for (lower panels) reconstructed fields from multiple linear regression using NAE-WR+T-WC occurrences as predictors. Hashing stands for sign disagreement between observed and reconstructed trends. Contour intervals are 0.15 m s⁻¹/decade, 0.075 m s⁻¹/decade for UV10 and V10, respectively, and 0.1°C/decade for T2

Large-scale atmospheric circulation trends are characterized over 1959-2002 by an intensification of the midlatitude westerlies and a concomitant strengthening of the NE trades (Fig. 3 upper left) and easterlies along the equator. Northward displaced storm track is associated with prevalent southerlies north of 40°N whereas equatorward convergence associated with a southward retreat the Inter-Tropical-Convergence-Zone (ITCZ) is enhanced (Fig. 3 upper centre) due to reinforced trade winds in both hemispheres. Consistently, the largest trends for T2 occur in the western part of the North Atlantic and strongly project on the so-called North Atlantic tripole (Cassou et al 2004, Fig. 3 upper right). The pattern of trends reconstructed from multiple regression compares very well with observations (Fig. 3, lower panels). The pattern correlations

are 0.98, 0.93, and 0.98 for U10, V10 and T2, respectively. Maximum discrepancies are found for T2 where the observed warming along the eastern side of the Atlantic is barely captured. This underestimated warming likely indicates that the T2 tendency there is additionally determined by influences other than the sole atmospheric dynamics; direct radiative contribution from increased greenhouse gases concentration appears to be a reasonable candidate, as well as the Atlantic Multidecadal Oscillation (Knight et al 2005).

Based on this result, we conclude that observed trends in surface ocean fields can be viewed to a large extent as the temporal integration of the anomalous frequencies of occurrence of both NAE-WR and T-WC over the last 50 years or so. Similar results are found from intraseasonal to interannual timescales in agreement with the consensual idea that the atmosphere mostly imprints its variability at the surface ocean through anomalous surface turbulent fluxes and advection of air masses (Deser and Timlin 1997). We have shown so far that NAE-WRs are a significant driver of the variability over both the Atlantic Ocean and its adjacent continents. In the following, we will investigate how NAE-WR occurrences are affected through teleconnection mechanisms leading to potential predictability beyond the traditional meteorological timescale.

4 North Atlantic-Europe weather regimes and teleconnection

4.1 Intraseasonal timescale

A similar approach in weather-type classes has recently been applied in the tropics to describe and monitor in real time the dominant mode of intraseasonal climate variability, the Madden-Julian Oscillation (MJO, Madden and Julian 1994). The MJO is a natural component of the tropical coupled ocean–atmosphere system, and is characterized by a planetary-scale alternation of wet and dry periods associated with several changes in both tropical and subtropical atmospheric dynamics. The MJO packet propagates eastwards around the globe with a typical 30–70-day cycle. By combining real-time satellite outgoing long-wave radiation used as a proxy for convection and atmospheric dynamical fields from operational reanalysis, it is possible to partition the daily MJO activity into eight intrinsic phases of 7–8-day nominal persistence (Wheeler and Hendon 2004). These phases or classes can be interpreted as the tropical analogues of the extratropical weather regimes, except that regimes have episodic behaviour due to dominant chaos at midlatitudes whereas the time evolution of the MJO phases is mostly oscillatory.

Extratropical responses to MJO kicks have been extensively described in the literature (see Zhang 2005 for a review). In Cassou (2008), a novel approach more relevant to forecasting issues relies on the combination of both tropical and extratropical NAE clusters to investigate how the MJO influences the known and independent North Atlantic modes on medium-range timescales. Within this framework, evidence is presented that that the MJO controls part of the distribution and sequences of the four daily NAE-WR in winter. Phase 3 of the MJO (enhanced convection over the Indian Ocean) is not discriminative for the NAO regimes at lag 0, whereas ~10 days later the probability of a NAO- event occurring is reduced by ~40% and is mostly compensated for by an increase of ~60% in the probability of NAO+. The opposite is found for phase

6, which shows an increase of NAO- occurrence probability building up to ~70% for lags greater than 10 days with NAO+ less probable. The AR regime seems to be less affected by the progress of the MJO, its occurrence being simply reduced by construction when NAO+ or NAO- regimes are dominant (phases 3–4 and 7, respectively). S-BL occurrence is also weakly altered, except at short lag time in phase 6 (enhanced excitation) before NAO- maturation. The changes in the regime distribution occur progressively in accordance with the nominal 7–8-day persistence of the eight MJO phases.

The physical mechanisms at the origin of the teleconnection are complex. The days following phase 3 of the MIO are dominated by a mid-latitude low-frequency anomalous wave train that originates in the eastern Pacific, stretches across the North American continent and propagates eastwards following the Northern Hemispheric waveguide. Its penetration along the North Atlantic mean storm track (400–60oN) is associated with dominant anticyclonic synoptic-scale wave breakings (AWBs) known as precursors for NAO+ (Benedict et al 2004, Franzke et al 2004) from a lag of ~6 days onwards. The opposite picture emerges for the days following phase 6 of the MJO. The proportion of AWBs is clearly reduced from a lag of +6 days onwards in that case. This reduction is almost entirely controlled by very high-frequency transients, which is consistent with the preferred in situ development of NAO- events associated with more frequent cyclonic wave breakings, and contrasts with NAO+ events, in which intermediate- frequency eddy activity has a role (Feldstein 2003). For NAO-, there is no signal coming from the Pacific; height anomalies originating from Europe and propagating westwards are found instead from a lag of 0 to +6 days, before the development of a quasi-standing pattern projecting on NAO-.

Two non-exclusive mechanisms are proposed to explain the MJO/NAO- relationship. S-BL regimes are present at short lag time in phase 6 and may subsequently trigger the onset of NAO- events (e.g. Croci-Maspoli et al 2007). In this case, enhanced NAO- in late phase 6 and phase 7 would not be directly forced by the MJO, but would correspond to the timescale resonance between the eastward propagation of the MJO and the preferred sequence of the NAE-WR (NAO+ \rightarrow S-BL \rightarrow NAO-, Vautard 1990). The second mechanism proposed for teleconnection between MJO and NAO- relies on direct tropical forcings originating from the eastern Pacific. This picture is consistent with there being a Rossby wave source around 200N, 1100W that initiates a downstream wave train propagating northeastwards towards Europe following a preferred curving path in line with several studies (e.g. Matthew et al 2004).

In summary, positive NAO events mostly respond to a mid-latitude low-frequency wave train initiated by the MJO in the western-central tropical Pacific and propagating eastwards following forced Rossby wave theories. Precursors for negative NAO events are found by contrast in the eastern tropical Pacific-western Atlantic, leading to changes along the North Atlantic storm track. Wave-breaking diagnostics tend to support the MJO preconditioning and the role of transient eddies in setting the phase of the NAO allowing for its medium-range predictability far exceeding the limit of around one week that is usually quoted.

4.2 Interannual to decadal timescale

While intrinsic or internal atmospheric variability exhibits temporal incoherence, the ocean tends to respond to it with marked persistence of heat content anomalies that potentially feedback to the local atmosphere. The level of retroaction of the anomalous extratropical sea surface temperature (SST) upon NAE-WR is however still under debate (Kushnir et al 2002 for a review). In any case, it appears to be weak except for specific domains (Nordic Seas) and through specific mechanisms such as the ocean reemergence whereby thermal anomalies in the deep winter mixed layer persist at depth through summer and are then re-entrained into the mixed layer in the following winter (Timlin et al. 2002; de Coëtlogon and Frankignoul 2003). In dedicated sensitivity model experiments, Cassou et al. (2007) provide evidence that the atmospheric response to the re-emergent SST anomalies in the western part of the Atlantic basin resembles the circulation that created them the previous winter but with reduced amplitude, modestly enhancing the winter-to-winter persistence of the NAO. Associated changes in the transient eddies and their interactions with the mean flow contribute to the large-scale export of the local atmospheric forcing by the surface ocean, leading in fine to changes in the occurrence of intrinsic weather regimes.

Adding to the complexity of local ocean-atmosphere interaction is the existence of remote forcings of the NAE-WR from tropical oceans; the latter influence appears to be more robust from interannual to decadal timescale.

Several studies have concluded that the NAO variability is closely tied to SST variations in the tropical Atlantic basin. We have mentioned that the NAO weather regimes are linked to the North Atlantic SST tripole. Despite the latter is consistent with the atmosphere acting as a forcing for the surface ocean (e.g. Deser and Timlin 1997), a series of model experiments have shown that the tropical part of the ocean tripole does feed back to the NAO (e.g. Sutton et al 2001). Anomalous interhemispheric SST gradient alters the strength and location of the tropical convection along the ITCZ and thus ultimately modulates the NAE circulation via the excitation of Rossby waves propagating northeastward from the anomalous diabatic heating source (Terray and Cassou 2002). In winter, the latter is located over the northern part of South America. In Cassou et al (2004), results from the ARPEGE atmospheric global circulation model (AGCM) confirms that the excitation of NAO+ (NAO-) regimes are favoured when the North tropical Atlantic basin is cold (warm) with some asymmetry in the teleconnection (Figure 4). As part of the Atlantic Multidecadal Oscillation variability (e.g. Kerr 2000), the tropical Atlantic basin could be a significant modulator at decadal timescale as detailed for instance in Sutton and Dong (2012).

At interannual timescale, the impact of the El Nino Southern Oscillation (ENSO) on NAE-WR appears to be present but remains open to debate. The relationships might be asymmetrical with respect to the ENSO phases. Cold La Nina events seem to favour both NAO+ and AR regimes and to trigger more systematic connections than warm El Nino events (e.g. Hannashi 2001). AR seems to be linked during La Nina to the tropospheric Pacific–North America (PNA) arching pattern extending toward the western Atlantic (e.g. Straus and Shukla 2002). Recently some papers have reported that the links between ENSO and NAO weather regimes might be indirect via the ENSO remote impact on tropical North Atlantic SST or via the ENSO influence on the stratospheric polar vortex leading downward in the troposphere to changes in the preferred phase of the NAO (e.g. Bell et al 2009). Most of these mechanisms that have been suggested from the observations or their estimation via the reanalysis are confirmed through model experiments and through a series of more or less sophisticated statistical techniques to optimally extract teleconnections (e.g. Venske et al 1999 using fingerprint methods).



Figure 4: Mean percentage of occurrence of wintertime NAO weather regimes in three 30-member ensembles of the ARPEGE AGCM where +1std SST anomalies (red) and -1std SST anomalies (blue) are imposed in the tropical Atlantic (0-250N) and compared to a climatological run (green)

Euro-Atlantic regimes and seasonal forecast: the last three winters (2009-2012) as case studies

5

The winter of 2009/2010 was characterized by a record negative value of the NAO index since 1824, which caused several severe cold spells over Northern and Western Europe (see Fig.2). This somehow unusual winter with respect to the most recent ones arose concurrently with public debate on climate change, during and after the Copenhagen climate negotiations. It was a big buzz in the European media giving some echoes to climate sceptics' voices that, more or less deliberately, pass over the concept of natural variability. Winter 2010 extreme NAO index is associated with both a record frequency of NAO- occurrences and a very low frequency of NAO+ occurrences (Cattiaux et al 2010 and Fig.1). The three models (ECMWF, UKMO and Météo-France) of the EUROSIP multi-model seasonal forecast project nicely predicted the NAO- favoured occurrence for the JFM season when initialized in December. The NAO- excess is compensated by a deficit of S-BL occurrence in Météo-France and a deficit of the three other regimes in ECMWF. The NAO- predicted surplus occurrence (around +35%) without being extreme albeit strong, is explained in the operational models by the combined forcing role of ENSO and warm tropical Atlantic. The models missed though the extreme intensity of the NAO- phase but the sign was correct.

The 2010/2011 winter is characterized by two distinctive periods. December is overly dominated by NAO- while the dynamics of the rest of winter alternates between the three other regimes. None of the operational systems provided a correct prediction. Early winter was characterized by an exceptional re-emergence of warmer water due

to previous winter exceptional NAO- occurrence and December dynamics is consistent with the re-emergence forcing. Late winter is more consistent with ENSO teleconnection. The 2011/2012 winter is characterized by a total absence of NAOdays. The inhibited excitation for NAO- was correctly captured by the operational seasonal forecast systems in response to strong La Nina events.

These examples for the last three winters highlight the added value of the weather regime paradigm to bridge the gap between weather and climate variability not only for the understanding of the low-frequency atmospheric changes but also for forecasting issues.

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