Land Data Assimilation

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GEWEX Americas Prediction Project



Land Data Assimilation Systems: Motivation

Quantification and prediction of hydrologic variability

Critical for initialization and improvement of weather/climate forecasts
Critical for applications such as floods, agriculture, military operations, etc.

Maturing of hydrologic observation and prediction tools:

•<u>Observation:</u> Forcing, storages(states), fluxes, and parameters. •<u>Simulation:</u> Land process models (Hydrology, Biogeochemistry, etc.). •<u>Assimilation:</u> Short-term state constraints.

"LDAS" concept:

Bring state-of-the-art tools together to <u>operationally</u> obtain high quality land surface conditions and fluxes.

Optimal integration of land surface observations and predictions.
Continuous in time&space; multiple scales; retrospective, realtime, forecast





Index of Precipitation Predictability (JJA):





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Land Surface Prediction: Accurate land model prediction is essential to enable data assimilation methods to propagate or extend scarce observations in time and space. Based on *water and energy balance*.

Input - Output = Storage Change P + Gin –(Q + ET + Gout) = Δ S Rn - G = Le + H

Mosaic (Koster, 1996): Based on simple SiB physics. Subgrid scale "mosaic"

CLM (Community Land Model, ~2001):
Community developed "open-source" model.
10 soil layers, 5 layer snow scheme.

Catchment Model (Koster et al., 2000):Models in catchment space rather than on grids.Uses Topmodel concepts to model groundwater

NOAA-NCEP-Noah Model (NCEP, ~2001): •Operational Land Surface model.



Also: vic, bucket, SiB, etc.



Land Surface Observation

30cm

3cm

0.3cm

300m

30m

Wavelengths

3m



30um

300µm

3um

30nm

0.3µm

3nm

0.3nm

0.03nm 0.003nm



Land Parameter Observations

Land Data Assimilation



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Land Forcing Observations

AGRMET daily-mean SW Flux [W/m^2], July 2001



TRMM Precipitation



NRL Microwave / Precip (MM/DAY) / Jul - Dec 2001 48N 45N 42N 39N 36N 33N 30N 27N CPC Higgins Gauge / Precip (MM/DAY) Jul - Dec 2001 48N 45N-42N 39N-36N 33N 30N 27N-24N 21N 140W 130W 120W 110W 100W 9ÓW 80w 7ÓW 0.1 2 3 1 4



Land State Observations

Soil Moisture





Skin temperature derived from NOAA/NESDIS GOES.



Snow Cover/Depth



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Land Flux Observations

Surface Fluxes





Global Precipitation Mission

OBJECTIVE: Understand the horizontal and vertical structure of rainfall and Its microphysical element. Provide training for constellation radiometers.

OBJECTIVE: Provide enough sampling to reduce uncertainty in short-term rainfall accumulations. extend scientific and societal applications.

Core Satellite

- Dual Frequency Radar
- Multi-frequency Radiometer
- H2-A Launch
- TRMM-like Spacecraft
- Non-Sun Synchronous Orbit
- ~65° Inclination
- ~400 500 km Altitude
- ~4 km Horizontal Resolution (Maximum)
- 250 m Vertical Resolution

Constellation Satellites

- Multiple Satellites with Microwave Radiometers
- Aggregate Revisit Time, 3 Hour goal
- Sun-Synchronous Polar Orbits
- ~600 km Altitude

Precipitation Validation Sites

Global Ground Based Rain Measurement

Global Precipitation Processing Center

 Capable of Producing Global Precip Data Products as Defined by GPM Partners



Evolution of Soil Moisture Mapping



Technology Sensing Soil Moisture

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Cold Seasons Experiment/Mission

Cold Seasons Hydrology Experiment

Colorado, 2002-2005 NASA, NOHRSC, USFC, BLM, etc.







993

1994

Time

JMMJS

1994

Time

1995

1996

1993

1995

imaging from geostationary? Potentially laser and/or radar altimetry



Gravity Observations – Total Water Changes





Problem of Observation Integration

Due to its importance, hydrologic data availability will increase.

Complete quantification of hydrologic variability requires innovative organization, comprehension, and integration of diverse hydrologic information due to disparity in observation type, scale, and error.



	Hydrologic Quantity	Remote- Sensing Technique	Time Scale	Space Scale	Accuracy Considerations
	Precipitation	Infrared	1hr	4km	Tropical convective clouds only
		Passive microwave	3hr	10km	Land calibration problems
		Active Microwave	10day	10m	Land calibration problems
f	Surface Soil Moisture	C or L-band radar	10day	10m	Significant noise from vegetation and roughness
e		C- or L- band radiometer	1-3day	10km	limited to sparse vegetation, low topographic relief
	Surface Skin Temperature	infrared	1hr	10m	soil/vegetation average, cloud contamination
	Snow Cover	visible/infrared	1hr	10m	Cloud contamination, vegetation masking, bright soil problems
	Snow Water Equivalent	passive microwave	1-3day	10km	Limited depth penetration
•		active microwave	10day	10m	
	Water level/velocity	laser	10day		Cloud penetration problems
		radar	10day		
	Total water storage changes	gravity changes	30day	1000km	Bulk water storage change
	Evaporation	IR and Models	1hour	4km	Significant assumptions

Land Surface Data Assimilation

Data Assimilation merges observations & model predictions to provide a superior state estimate.

$$\frac{\partial x}{\partial t} = dynamics + physics + \Delta x$$
 $A' B \%_{j} W_{ik} [O_k \& B_k]$

State or storage observations (*temperature, snow, moisture*) are integrated with model predictions **Data Assimilation Methods:** Numerical tools to combine disparate information.

- 1. Direct Insertion, Updating, or Dynamic Initialization:
- 2. Newtonian Nudging:
- 3. Optimal or Statistical Interpolation:
- 4. Kalman Filtering: EKF & EnKF
- 5. Variational Approaches Adjoint:



Observations have error and are irregular in time and space



- •Errors in land model prediction result from:
 - •Initialization error.
 - •Errors in atmospheric forcing data.
 - •Errors in LSM physics (model not perfect).
 - •Errors in representation (sub-grid processes).
 - •Errors in parameters (soil and vegetation).



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NASA-GSFC Land Surface Data Assimilation

Data Assimilation merges observations & model predictions to provide a superior state estimate. Remotely-sensed hydrologic state or storage observations (temperature, snow, soil moisture) are integrated into a hydrologic model to improve prediction, produce research-quality data sets, and to enhance understanding.

Soil Moisture Assimilation



Snow Cover Assimilation



Theory Development





Snow Water Assimilation





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Land Data Assimilation

Data Assimilation merges observations & model predictions to provide a superior state estimate.



Soil Moisture Profile Correction

No Update 0 cm No Update 1 cm 10 cm 0 cm 4 cm **Direct Insertion** Kalman Filter 10 cm **Every Hour Every Hour** True True Day 1 Hour 1 Day 3 Hour 4 0 0 Depth (cm) Depth (cm) Day 7 Hour 12 -100 -100 -600 0 -600 Matric Houser Page 17 11-Sep-03 Matric Head (cm)

Kalman Filter:

 One-dimensional using linearized soil moisture forecasting equations, ignoring infiltration, evaporation, and transpiration. •A linearization of the observation operator (relating surface soil moisture to the model surface excess, root-zone excess, and catchment deficit prognostics) using a Taylor series expansion.

Specifics:

Standard Kalman Filter Forecasting Equations:

•States: Covariance: **Observation Equation**

$$X_{n+1/n} = A \cdot X_{n/n} + U_n + (w_n)$$
$$\Sigma_{n+1/n} = A_n \cdot \Sigma_{n/n} A T + Q_n$$

$$Z=H\cdot X_n + (v)$$

Updating Equations:

•States: •Covariance:

$$\sum_{n+1/n} = A_n \cdot \sum_{n/n} A [+ ($$

 $X_{n+1/n+1} = X_{n+1/n} + K_{n+1} (Z_{n+1} - H_{n+1} \cdot X_{n+1/n})$ $\sum_{n+1/n+1} = (I - K_{n+1} \cdot H_{n+1}) \cdot \sum_{n+1/n}$



Walker and Houser, 2001



"Errors" in Assimilated Moisture











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Real for the fair for the fair for

New Joint Control of the Art of the Art.

t _{k+1}

t _{k+1}

350



Extended or Ensemble KF?



10

0

50

100

150

200

days from Jan 1,1987

250

300

•EKF error estimates diverge occasionally. EnKF error estimates noisy for small ensemble (Ne=10).



Soil Moisture Observation Error and Resolution Sensitivity:



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An OSSE for the HYDROS soil moisture mission concept Land Data Assimilation





Fraternal Twin Studies

"Truth" from one model is assimilated into a second model with a biased parameterization
The "truth" twin can be treated as a perfect observation to help illustrate conceptual problems beyond the assimilation procedure.



We must not only worry about obtaining an optimal model constraint, but also <u>understand the</u> <u>implications</u> of that constraint.



- In the northern hemisphere the snow cover ranges from 7% to 40% during the annual cycle.
- The high albedo, low thermal conductivity and large spatial/temporal variability impact energy/water budgets.
- Snow/bare soil interfaces cause wind circulations.
- Direct replacement does not account for model bias.

Unique Snow Data Assimilation Considerations:

- "Disappearing" layers and states
- •Arbitrary redistribution of mass between layers
- •Lack of information in SWE about snow density or depth
- •Lack of information in snow cover about snow mass & depth
- •Biased forcing causing divergence between analysis steps



•OBSERVATIONS: Snow Cover, Snow Water Equiv., Tskin, Snow Fraction





Snow Data Assimilation

Develop a Kalman filter snow assimilation to overcome current limitations with assimilation of snow water equivalent, snow depth, and snow cover.

- Investigate novel snow observation products such as snow melt signature and fractional snow cover.
- Provide a basis for global implementation.

Unique Snow Data Assimilation Considerations:

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GLDAS Observation-based Snow Correction

Land Data Assimilation









Original MODIS visible snow cover (%) *A* is modified using MODIS confidence index (total visibility; %) *B* and a snow impossible mask *C* in order to produce an enhanced snow field



This is used to update the modeled snow on a daily basis. Output snow depth (mm H2O) is shown for 30 November 2000, after running the Mosaic LSM without *E* and with *F* the snow correction for 30 days. Map *G* shows the difference (mm H2O) between the two results.



Ε



-1 -5

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-18

-16

-14

-12

-10

Snow Data Assimilation: Impact of temperature bias

Land Data Assimilation



15



10

5

3

-1

-2

-3

-5

10

5

3

2

1

-1

-2

-3

-5

-10

DAO-PSAS Assimilation of ISCCP (IR based) Surface Skin Temperature into a global 2 degree uncoupled land model.







Surface temperature has very little memory or inertia, so without a continuous correction, it tends drift toward the control case very quickly.

200

150

100

50

25

-25

-50

-100

-150

-200

200

150

100

50

25

-25

-50

-100

-150

-200

200

150

100

50

25

-25

-50

-100

-150

-200



Data Assimilation: T_s Assimilation Results



NOTE: NCEP not equal to TRUTH



Data Assimilation: T_s Assimilation Results





North American LDAS: Specifics 1

Goal: provide accurate, near-real-time and retrospective land surface states over North America **Resolution:** 1/8 degree; continental U.S; <1 hour time step; near *real time* & *retrospective*.

Models: Mosaic, VIC, NOAH, Sacramento, CLM, Catchment, TOPLATS, Bucket.

Assimilation: Surface temperature, snow, soil moisture.

Forcing: Eta model and observed Stage-4/gage precipitation, GOES insolation (NCEP). **Timing:** real-time, short-term retrospective, long-term retrospective.



Latitude

25.0625

25.0625

52.9375

52.9375

Longitude

-124.9375

-67.0625

-67.0625

-124.9375

•Real-Time (NCEP): LDAS results and forecasts available within 24 hours of real-time

•Short-Term Retrospective (GSFC): Identical to real-time for modern forcing (1996-present)

•Long-Term Retrospective (UW-P): 50+ years using reduced resolution and best available forcing.

Parameters:

•<u>Vegetation</u>: UMD classification, parameter mapping (**GSFC**). •<u>Soil</u>: Soil Maps and Parameters (**OH**).

•<u>Topography</u>: Digital Elevation Models (GSFC).



0 250 500 1000 1250 1500 1750 2000 2250 2750 3000 3250



Soils (NWS-OH)

Position

Lower Left

Lower Richt

Upper Right

Upper Left



Column

464

464

1

Row

1

224

224



North American LDAS: Specifics 2

Vegetation: DeFries et al., University of Maryland

Can be modified by 1km Max Fractional Vegetation, Zeng & Dickinson
Seasonal cycle specified by NESDIS green vegetation product

Data Availability: Real-time and short-term retrospective

•"Modern" forcing available from 1996 - uses the same modern forcing and resolution as is used in the real-time LDAS

LDAS Forcing Product	Time Res.	Space Res.	Archive	Real-Time
Eta EDAS Analysis	3hr	40km	June 1996	5hr
Eta 3hr Forecast	3hr	40km	June 1999	5hr
Eta 6hr Forecast	6hr	40km	June 1996	5hr
NESDIS GOES SW dwn	1hr	1/2 degree	June 1999	2hr
Pinker GOES SW dwn	1hr	1/2 degree	Jan 1996	2hr
Stage-4 Gage-Radar Ppt	1hr	4, 15km	May 1996	10hr
RFC Gage-Only Precip	24hr	4km	Jan 1998	18hr
CPC Gage Only Precip	24hr	1/4 degree	July 1997	12,24hr

Other Data: GOES-Temps, Snow, Streamflow, SSMI Products





Skin temperature derived from NOAA/NESDIS GOES





LIQUID WATER OBSERVED ON THE SURFACE



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North American LDAS: Precipitation

Data	Advantages	Disadvantages
NCEP Stage II Doppler radar / RFC gauge	Hourly, 4km	Errors in radar magnitude
		Holes in coverage
CPC daily rain gauge data	Accurate	Coarse temporal resolution
		Sparse coverage over Canada, Mexico
		0.25 Degree Resolution
CPC Reprocessed daily rain gauge data	Most accurate	Coarse temporal resolution
	(additional stations	Light coverage over Canada, Mexico
	and qc checks)	0.25 Degree Resolution
		Only through 1998

Doppler Radar Precipitation NCEP Stage || Precipitation (mm), May 1998

Interpolated Gage Precipitation CPC Daily Gauge Precipitation (mm), May 1998

Merged LDAS Precipitation

CPC Reprocessed Daily Gauge Precipitation (mm), May 1998



 Use ETA model, Stage II and CPC data to form best available product—a temporally disaggregated hourly CPC gage value



LDAS Predictions: Hourly Sept. 2000 Precipitation and Soil Moisture



Development of a European Land Data Assimilation System to predict floods and droughts B.J.J.M. van den Hurk, A.J. Feijt, Han The

Develop and test a system to generate high quality regional scale soil moisture, and assess the improvements it can cause in predicting drought or flood events in coupled model predictions.

The design of a flexible soil moisture estimation system and the production of soil moisture fields
The evaluation of the impact of using these soil moisture fields in Numerical Weather Prediction (NWP), in floodand drought predictions, and climate applications.





Objective: A 1/4 degree (and other) global land modeling and assimilation system that uses all relevant observed forcing, storages, and validation. Expand the current N. American LDAS to the globe. 1km global resolution goal





Type of Data	Source	Original Spatial Resolution	Time Period
Modeled Forcing	NASA Goddard Earth Observing System (GEOS)	1.0°	12/2000 - present
	NOAA Global Data Assimilation System (GDAS)	~ 0.7°	1/1999 – present
	ECMWF forecasts and analyses	~ 39 km	10/2001 – present
	Berg et al. (2002) bias corrected ECMWF reanalysis	0.5°	1/1979 – 12/1993
	Berg et al. (2002) bias corrected NCEP/NCAR reanalysis	0.5°	1/1985 – 12/1993
Observation-Based SW and LW Radiation Forcing	Derived at NASA/GSFC using U.S. Air Force Weather Agency cloud and snow analyses	0.25°	3/2001 – present
Observation-Based	U.S. Naval Research Laboratory	0.25°	4/2001 – present
Precipitation Forcing	NASA/GSFC Mesoscale Atmospheric Processes Branch	0.25°	3/2002 – present
	NOAA Climate Prediction Center	2.5°	1/1979 - present
Observation-Based Snow Cover	Derived at NASA/GSFC using Terra-MODIS satellite observations	0.125°	11/2000 - present
Observation-Based Leaf Area Index	Boston University Department of Geography	16 km	7/1982 – 5/2001
Observation-Based	Television Infrared Observation Satellites (TIROS) Operational Vertical	~ 15 km	1/1998 – 12/1998
Surface Temperature	Sounder (TOVS)		
Vegetation Class	University of Maryland, AVHRR-derived	1 km	static
	Boston University, MODIS-derived	1 km	static
Soils	USDA Agricultural Research Service	5'	static
Elevation	GTOPO30 digital elevation model	30"	static



AVHRR 8 km LAI -- July



AVHRR Reconstructed 1 km LAI -- July



AVHRR Reconstructed 1 km LAI -- July





Impact of observed LAI on Predictions



-50

-40





Land Data Assimilation

Total MAM Precipitation (mm) Evaluation







50 100 200 300 400 500 1000

0 50 100 200 300 400 500 1000

0 50 100 200 300 400 500 1000

Monthly Mean Surface SW \downarrow March 2003

60N

50N

40N

60N

50N

40N

60N

50N

40N

Land Data Assimilation





DAO vs ECMWF GLDAS Results

Nean Daily Sfc Temperature -- GEOS -- 9 April 2003



Mean Daily Sfc Temperature -- ECNWF -- 9 April 2003





Mean Daily Sfc Temperature -- GEOS-ECMWF -- 9 April 2003



DAO

ECMWF



Mean Daily Tap 1 m SWC (kg/m2)--GEOS-ECMWF--9 April 2003



-15 -10 -5 -2 2 5 10 15



Land Information System: A high-performance extension of GLDAS

The 1-km resolution land surface data assimilation possible with LIS will approach that of an aerial photo.



Land Information System: A high-performance extension of GLDAS





Water Cycling Research: coupling LDAS results

- Objective: To better understand the water cycle by quantifying geographic sources (local and remote) of precipitating waterSoil water anomalies likely affect the local continental source of water for precipitation in the monsoon (e.g. Atlas et al. 1993)
- Controlled sensitivity experiments can be performed, using GLDAS initial conditions for the FVGCM
- Using realistic perturbations, what is the impact of wet and dry anomalies on the monsoon precipitation, and the relative sources of water



North America: Water evaporates from the Caribbean Sea moving westward (white isosurface) as the circulation changes this water is transported northward into the US. (The red isosurface shows water that has evaporated from the central US)



Land Data Assimilation Simulations performed: For each year between 1979 and 1993,





Scaling Approach





1988 Midwestern U.S. Drought

Land Data Assimilation

(JJA precipitation anomalies, in mm/day)





1993 Midwestern U.S. Flood

(JJA precipitation anomalies, in mm/day)





Current Status:

•Soil moisture, skin temperature, and snow assimilation are underway.

•Operational LDAS systems are developing and show promise for forecast improvement.

Land Surface Data Assimilation Realities

•Large-scale land data assimilation is severely limited by a lack of observations.

•We need to pay attention to the *consequences of assimilation*, not just the optimum assimilation technique. i.e. does the model do silly things as a result of assimilation, as in snow assimilation example.

•Assimilation does not always make everything in the model better. In the case of skin temperature assimilation into an uncoupled model, biased air temperatures caused unreasonable near surface gradients to occur using assimilation that lead to questionable surface fluxes.

Data Assimilation Algorithm Development:

•Land models are highly nonlinear -> push for *model independent assimilation algorithms*.

•*Radiance Assimilation* – use forward models in the assimilation to assimilate brightness temperatures directly.

•Link calibration and assimilation in a logical and mutually beneficial way.

•Understand the potential of data assimilation downscaling

Land Modeling:

•Better correlation of land model states with observations

•Advanced processes: *River runoff/routing*, *vegetation and carbon dynamics, groundwater interaction* •Parallel development of land model and their *adjoints*

Assimilate new types of data:

•Streamflow, Vegetation dynamics, and Groundwater/total water storage (Gravity)

•Boundary layer structures/evapotranspiration

Coupled feedbacks:

•Understand the impact of land assimilation feedbacks on coupled system predictions.

Insertion of Data into the Model

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