

## On the role of tachoclines in solar and stellar dynamos

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### ABSTRACT

Rotational shear layers at the boundary between radiative and convective zones, tachoclines, play a key role in the process of magnetic field generation in solar-like stars. We present two sets of global simulations of rotating turbulent convection and dynamo. The first set considers a stellar convective envelope

only; the second one, aiming at the formation of a tachocline, considers also the upper part of the radiative zone. Our results indicate that the resulting mean-flows and dynamo properties like the growth rate, saturation energy and mode depend on the Rossby (Ro) number. For the first set of models either oscillatory (with  $\sim 2$  yr period) or steady dynamo solutions are obtained. The models in the second set naturally develop a tachocline which, in turn, leads to the generation of strong mean magnetic field. Since the field is also deposited into the stable deeper layer, its evolutionary time-scale is much longer than in the models without a tachocline. Surprisingly, the magnetic field in the upper turbulent convection zone evolves in the same time scale as the deep field. These models result in either an oscillatory dynamo with  $\sim 30$  yr period or in a steady dynamo depending on Ro. In terms of the mean-field dynamo coefficients computed using FOSSA, the field evolution in the oscillatory models without a tachocline seems to be consistent with dynamo waves propagating according to the Parker-Yoshimura sign rule. In the models with tachoclines the dynamics is more complex involving other transport mechanisms as well as tachocline instabilities.

*Subject headings:* Sun: interior — Sun: rotation — Sun: magnetic field — stars: interior — stars: activity — stars: magnetic field

## 1. Introduction

One of the most challenging questions in astrophysics is the origin of the stellar magnetism. More specifically, we do not know yet where inside the stars the large-scale magnetic fields are generated and sustained. The best astrophysics laboratory to study stellar magnetism is our host star, the Sun. Its magnetic field at photospheric levels can be observed in detail, and is depicted in the well known time-latitude “butterfly” diagram. It summarizes the cyclic properties of the surface evolution of the field, its migration patterns, the periodicity of magnetic activity and polarity reversals. The morphology and distribution of the field in deeper layers is, unfortunately, still evasive to any kind of observation. In other solar-like stars (in the main sequence and with spectral types from F to M) the observations of magnetic field are less detailed. Nevertheless, cyclic magnetic activity, with cycle-periods between 5 and 25 years, has been observed in a good sample of stars (Baliunas et al. 1995). In the same work, the authors reported also observations of flat, non-oscillatory, magnetic activity. A general trend is that the strength of the magnetic field increases with the rotation rate of the star. More recently, Petit et al. (2008) have been able to infer the topology of the surface magnetic field of four stars which could be classified as solar twins (with

stellar parameters close to those of the Sun). Their results indicate that the toroidal field strength is proportional to the rotation rate but the poloidal component anti-correlates with it. All these observations allow us to look at the stellar magnetism from a broad perspective which can add new constraints in the underlying processes and ultimately lead to a better understanding of it.

From the theoretical point of view, the generation and evolution of large-scale magnetic fields in stars (and other cosmic objects) has been studied using the mean-field dynamo theory (see Brandenburg & Subramanian 2005, for a complete review). The mean-field induction equation implies that the dominant term generating an azimuthal (toroidal) magnetic field depends on gradients of the angular velocity,  $(\overline{\mathbf{B}}_p \cdot \nabla)\Omega$ , where  $\Omega$  is the mean angular velocity, and  $\overline{\mathbf{B}}_p$  is a pre-existing large-scale poloidal field. The generation of the poloidal field relies on the turbulent helicities, the so-called  $\alpha$ -effect, a non-diffusive contribution of the electromotive force whose dependence on the convective turbulence and rotation is still uncertain. Under appropriate conditions, the evolution of the magnetic field in this theory is consistent with dynamo waves that, according to the Parker (1955)-Yoshimura (1975) sign rule, propagate in the direction of:

$$\mathbf{s} = \alpha \nabla \Omega \times \hat{\mathbf{e}}_\phi, \quad (1)$$

where  $\hat{\mathbf{e}}_\phi$  is the unit vector in the azimuthal direction. The validity of this rule has been extensively tested in two-dimensional kinematic mean-field models (see, e.g. Charbonneau 2010). For the solar rotation inferred from helioseismology (Schou et al. 1998), the signs of the  $\nabla \Omega$  components are well known. The sign of  $\alpha$  estimated for granular convection is positive (negative) in the Northern (Southern) hemisphere. These properties favour a dynamo process distributed over the entire convection zone with the photospheric evolution shaped by the near-surface shear layer (NSSL) (Brandenburg 2005; Pipin & Kosovichev 2011). Alternative, flux-transport dynamo models in which the surface evolution is shaped by the dynamics at the tachocline (and magnetic buoyancy) require coherent meridional motions directed equatorward at, or slightly below the tachocline.

Different observational techniques have revealed a well-organized, though fluctuating, poleward meridional flow at the solar surface (e.g., Ulrich 2010; Hathaway 2012) with amplitudes of about  $15 \text{ ms}^{-1}$ . However, recent helioseismology inferences have determined the existence of two or more cells in the radial direction (Zhao et al. 2013; Schad et al. 2013). Thus, the existence of a coherent equatorial flow, able to transport the magnetic flux from the poles to the latitudes of sunspot activity, is unlikely.

The dynamo wave propagation sign rule remains valid also for mean-field models considering the dynamical evolution of the  $\alpha$ -effect (Guerrero et al. 2010). In these models

the kinetic component of the  $\alpha$ -effect changes in time due to the backreaction of the magnetic field on the plasma motion. The magnetic  $\alpha$ -effect depends on the distribution of the small-scale current helicity, and is a consequence of the magnetic helicity conservation (Pouquet et al. 1976). It is regularly assumed that it diminishes the inductive action of the kinetic helicity (e.g., Sur et al. 2007; Guerrero et al. 2010; Mitra et al. 2011). For high magnetic Reynold numbers, this contribution can lead to the total suppression of the dynamo action, the so-called catastrophic quenching, in the case when there is no effective mechanism to remove the small-scale current helicity (Guerrero et al. 2010). Recent works (Vishniac & Shapovalov 2014), and the results that will be presented here, indicate that this is not necessarily the only case, and that the magnetic contribution to the  $\alpha$ -effect could be a source of magnetic field. A similar idea is suggested by Bonanno (2013).

Several kinematic or dynamic mean-field models have qualitatively reproduced the observed surface features of the solar magnetic field (Dikpati & Gilman 2001; Chatterjee et al. 2004; Guerrero & de Gouveia Dal Pino 2008; Pipin & Kosovichev 2011, 2013). Based on these models, Jouve et al. (2010); Pipin (2014) have also studied dynamos for solar-like stars with the aim of reproducing observed trends for magnetic field strengths and cycle periods. Unfortunately, parameters like helicity and/or turbulent diffusion, in general, are poorly determined, and thus the physics of the solar and stellar dynamos cannot be described unambiguously by the mean-field models. An alternative approach is provided by global 3D MHD simulations. In this class of modeling, even though the parametric regime is still far from the conditions of the stellar interiors, the physics is self-consistently described and provides an important insight into the turbulent dynamics and dynamo.

For instance, systematic hydrodynamic (HD) studies of rotating turbulent convection, for the Sun and solar-like stars, have found that the Rossby number,  $Ro = u_{\text{rms}}/2\Omega_0 L$  (where  $\Omega_0$  is the frame rotation rate,  $L$  the characteristic large-scale length of the flow and  $u_{\text{rms}}$  is the typical turbulent velocity), characterizes the resulting mean flows (Gilman 1976; Glatzmaier & Gilman 1982; Steffen & Freytag 2007; Matt et al. 2011; Käpylä et al. 2011; Guerrero et al. 2013; Gastine et al. 2014; Featherstone & Miesch 2015). For large values of  $Ro$  the models result in an anti-solar differential rotation (with faster rotating poles and a slower rotating equatorial region), and a meridional circulation with single cells per hemisphere. In the models with small values of  $Ro$  the differential rotation is solar-like, and the meridional flow consists of several circulation cells per hemisphere. The transition between these regimes is sharp, occurring in a narrow range of  $Ro$ . Several properties of the observed solar differential rotation, like the latitudinal dependence of the angular velocity, the tachocline (Ghizaru et al. 2010), and a NSSL, although so far only at high latitudes (Guerrero et al. 2013; Hotta et al. 2015), have been successfully reproduced.

Magnetohydrodynamic (MHD) global dynamo models, which have been developed for more than a decade (e.g., Brun et al. 2004), have provided a wide spectrum of results, but the understanding of the dependence of the mean-flows or magnetic fields on stellar parameters is still incomplete. Furthermore, to our knowledge, no MHD model so far has been able to reproduce the solar differential rotation.

MHD simulations of fast-rotating stars by Brown et al. (2010) have been able to obtain large scale dynamo action. Their results revealed a steady magnetic field organized in the shape of torus, or wreaths, around the equator and with opposite polarity accros the hemispheres. Non-oscillatory dynamo solutions have also been obtained by Simatev et al. (2015) Oscillatory dynamo solutions in the global MHD models were first obtained by Ghizaru et al. (2010) using the EULAG-MHD code with an implicit sub-grid scale (SGS) formulation. Since then other groups have been able to simulate magnetic cycles with the use of SGS turbulent models (Augustson et al. 2013), or also in higher-resolution simulations by considering only a fraction (a wedge) of the star (Käpylä et al. 2012; Warnecke et al. 2014). Although the dynamo regimes of these models are not the same, it is noteworthy that the cycle periods obtained in the model of Ghizaru et al. (2010) and the models of (Käpylä et al. 2012; Augustson et al. 2013; Warnecke et al. 2014) are rather different. As it will be shown later in this paper, this difference may be explained by the absence of a tachocline and a radiative stable layer in the models of Käpylä et al. (2012); Augustson et al. (2013); Warnecke et al. (2014). By considering a forcing function to impose a constant angular velocity at the bottom of the domain, (Browning et al. 2006) analyzed the effects of a tachocline in convective dynamo simulations. Although they found strong toroidal magnetic field development at this imposed shear layer, they observed no field reversals. Masada et al. (2013) explored the effects of penetrative convection in spherical dynamo simulations. Due to dissipative effects the tachocline obtained in their model is not well defined. However, they obtained stronger and cyclic large-scale toroidal magnetic field, demonstrating the importance of the stable layer in the storage of the magnetic field.

In this paper we compare 3D global MHD convective dynamo simulations with different Rossby numbers for models with and without the tachocline. Our goal is to compare the global properties of the mean-flows and the resultant magnetic activity, and contrast them with observational signatures. Of particular interest are the inductive and diffusive terms resulting from the differential rotation and the collective effects of turbulence. Altogether, this will allow us to elucidate on the importance of the tachocline in solar and stellar dynamos.

## 2. The model

We adopt a full spherical shell,  $0 \leq \phi \leq 2\pi$ ,  $0 \leq \theta \leq \pi$ , the radial domain has its bottom boundary at  $r_b = 0.61R_\odot$  for the models that develop a tachocline, and  $r_b = 0.72R_\odot$  for the models without it, and the upper boundary at  $r_t = 0.96R_\odot$ . Unlike Guerrero et al. (2013), where the anelastic equations of Lipps & Hemler (1982) were employed, here we solve their MHD extension (Ghizaru et al. 2010):

$$\nabla \cdot (\rho_s \mathbf{u}) = 0, \quad (2)$$

$$\frac{D\mathbf{u}}{Dt} + 2\boldsymbol{\Omega} \times \mathbf{u} = -\nabla \left( \frac{p'}{\rho_s} \right) + \mathbf{g} \frac{\Theta'}{\Theta_s} + \frac{1}{\mu_0 \rho_s} (\mathbf{B} \cdot \nabla) \mathbf{B}, \quad (3)$$

$$\frac{D\Theta'}{Dt} = -\mathbf{u} \cdot \nabla \Theta_e - \frac{\Theta'}{\tau}, \quad (4)$$

$$\frac{D\mathbf{B}}{Dt} = (\mathbf{B} \cdot \nabla) \mathbf{u} - \mathbf{B} (\nabla \cdot \mathbf{u}), \quad (5)$$

where  $D/Dt = \partial/\partial t + \mathbf{u} \cdot \nabla$  is the total time derivative,  $\mathbf{u}$  is the velocity field in a rotating frame with  $\boldsymbol{\Omega} = \Omega_0(\cos \theta, -\sin \theta, 0)$ ,  $p'$  is a pressure perturbation variable that accounts for both the gas and magnetic pressure,  $\mathbf{B}$  is the magnetic field, and  $\Theta'$  is the potential temperature perturbation with respect to an ambient state  $\Theta_e$  (see §3 of Guerrero et al. 2013, for a comprehensive discussion). Furthermore,  $\rho_s$  and  $\Theta_s$  are the density and potential temperature of the reference state which is chosen to be isentropic (i.e.,  $\Theta_s = \text{const}$ ) and in hydrostatic equilibrium;  $\mathbf{g} = GM/r^2 \hat{\mathbf{e}}_r$  is the gravity acceleration,  $G$  and  $M$  are the gravitational constant and the stellar mass, respectively, and  $\mu_0$  is the magnetic permeability. The potential temperature,  $\Theta$ , is related to the specific entropy:  $s = c_p \ln \Theta + \text{const}$ .

The term  $\Theta'/\tau$  represents the balancing action of the turbulent Reynolds heat flux responsible for maintaining the steady axisymmetric solution of the stellar structure (see section 1.2 and Annexe B in Cossette 2015, for details). In this work the parameters of the ambient state are slightly different from those in equation (7) of Guerrero et al. (2013) §3.1. For the models including a tachocline, here we use the polytropic indexes  $m_r = 2$  and  $m_{cz} = 1.499978$ , together with the transition width  $w_t = 0.015R_\odot$ . In the models without a tachocline, the polytropic index is constant  $m_{cz} = 1.499985$ . These values result in convective motions with similar Ro for both types of models. The relaxation time of the potential temperature perturbation for all models is  $\tau = 1.036 \times 10^8$  s ( $\sim 3.3$  yr).

The equations are solved numerically using the EULAG-MHD code (Ghizaru et al. 2010; Racine et al. 2011; Smolarkiewicz & Charbonneau 2013; Guerrero et al. 2013), a spin-off of the hydrodynamical model EULAG predominantly used in atmospheric and climate research (Prusa et al. 2008). The time evolution is calculated using a special semi-implicit

method based on a high-resolution, non-oscillatory forward-in-time advection scheme MPDATA (Multidimensional Positive Definite Advection Transport Algorithm; Smolarkiewicz 2006). The truncation terms in MPDATA evince viscosity comparable to the explicit SGS viscosity used in large-eddy simulation (LES) models (Elliott & Smolarkiewicz 2002; Domaradzki et al. 2003; Margolin et al. 2006). Thus, the results of MPDATA are often interpreted as implicit LES or ILES (Smolarkiewicz & Margolin 2007).

For the velocity field we use impermeable, stress-free conditions at the top and bottom surfaces of the shell; whereas the magnetic field is assumed to be radial at these boundaries. Finally, for the thermal boundary condition we consider zero divergence of the convective flux at the bottom and zero flux at the top surface.

### 3. Results

Table 1: Simulation parameters and outputs:  $\text{Ra}^* = \frac{1}{c_p \Omega_0^2} g \frac{\partial s_e}{\partial r}$ , here  $s_e$  is the specific entropy of the ambient state,  $u_{\text{rms}}$  is the volume averaged rms velocity (in m/s) in the unstable layer,  $\text{Ma} = u_{\text{rms}}/c_s^*$  is the Mach number, with  $c_s^* = \sqrt{\gamma R T_s^*}|_{r=0.85R_\odot}$  being the sound speed at the middle of the unstable layer,  $\text{Ro} = \frac{u_{\text{rms}}}{2\Omega_0 L}$ , and  $\chi_\Omega = (\Omega_{\text{eq}} - \Omega_{\text{p}})/\Omega_0$ , where  $\Omega_{\text{eq}} = \bar{\Omega}(R_\odot, 0^\circ)$ ,  $\Omega_{\text{p}} = \bar{\Omega}(R_\odot, 60^\circ)$  are the surface rotation rates at 0 and 60 degrees in latitude, and  $\Omega_0$  is the frame rotation rate expressed in terms of the solar rotation rate  $\Omega_\odot$ . The growth rate of the magnetic field,  $\lambda$ , is given in Tesla  $\text{yr}^{-1}$ . The kinetic and magnetic energy densities, in  $\text{Jm}^{-3}$  are:  $e_K = \bar{\rho}_s \bar{\mathbf{u}}^2/2$ ,  $e_M = \bar{\mathbf{B}}^2/2\mu_0$ ,  $e_\phi = \bar{B}_\phi^2/2\mu_0$  and  $e_p = (\bar{B}_r^2 + \bar{B}_\theta^2)/2\mu_0$ . Finally, the full cycle period,  $T_M$ , is expressed in years. Models starting with the letter CZ consider the convection zone only, while models starting with RC include both, radiative and convective zones. The number of grid mesh points is  $N_r = 47$ ,  $N_\theta = 64$  and  $N_\phi = 128$  for CZ models, and  $N_r = 64$  for RC models.

Model	$\Omega_0$	Ro	$\text{Ra}^*$	$u_{\text{rms}}$	$\text{Ma}(10^{-4})$	$\chi_\Omega$	$\lambda$	$e_M/e_K$	$e_\phi/e_K$	$e_p/e_K$	$T_M$
CZ01	$2\Omega_\odot$	0.030	1.29	55.12	3.85	0.09	2.26	0.098	0.080	0.018	2.26
CZ02	$\Omega_\odot$	0.067	5.16	60.91	4.25	0.17	0.65	0.010	0.009	0.001	2.21
CZ03	$\Omega_\odot/2$	0.150	20.65	67.18	4.69	0.18	0.56	$2 \cdot 10^{-4}$	$2 \cdot 10^{-4}$	$1 \cdot 10^{-5}$	-
RC01	$2\Omega_\odot$	0.033	1.36	60.80	4.10	0.07	0.84	0.249	0.042	0.206	-
RC02	$\Omega_\odot$	0.069	5.45	62.27	4.30	0.05	0.85	0.184	0.163	0.020	34.5
RC03	$\Omega_\odot/2$	0.161	21.80	72.88	5.04	0.28	0.06	0.004	0.004	$3 \cdot 10^{-4}$	-

We have performed two sets of simulations: 1) only for the unstable stratified convection

zone (CZ models), and 2) for the convection zone with a convectively stable radiative zone at the bottom of the domain (RC models). The first set does not support the formation of a strong radial shear at the base of the convection zone (the tachocline), and thus excludes it as a source of magnetic field. The second set naturally leads to the development of a tachocline and therefore to the  $(\mathbf{B} \cdot \nabla)\Omega$  source of the toroidal field acting in the tachocline. The input and resulting parameters of the models for three different rotation rates,  $\Omega_0$  (corresponding to different Rossby numbers)<sup>1</sup> are summarized in Table 1.

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<sup>1</sup>Since we change the value of the Ro only by changing the value of the frame rotation rate, use both terms interchangeably to express the dependence of the results with  $\Omega_0$ .



### 3.1. Dynamo models without tachocline

In Figure 1 we present, from left to right, the meridional profiles of the differential rotation, meridional circulation, and snapshots of the vertical velocity,  $u_r$ , and the toroidal magnetic field  $B_\phi$  resulting from the models a) CZ01, b) CZ02 and c) CZ03. The differential rotation and meridional circulation correspond to averages over longitude and time ( $\sim 3$  years). The instantaneous orthographic projections of  $u_r$  and  $B_\phi$  allow us to distinguish the character of the convective flow and the distribution of the toroidal field for each model. Unlike our previous purely hydrodynamic simulations, in which we found a critical Ro value dividing the rotation profiles into solar-like and anti-solar rotation types (Guerrero et al. 2013), in the present simulations with magnetic field all the models exhibit the solar-like differential rotation, irrespective of the Rossby number. This property is related to the influence of the dynamo-generated magnetic field on the fluid dynamics. Karak et al. (2015) have found that for the MHD case the transition occurs at higher values of Ro. For instance, model CZ01 shows iso-rotation contours mainly aligned along the rotation axis (see Fig. 1a). In models CZ02 (Fig. 1b) and CZ03 (Fig. 1c) the rotation profile exhibits clear conical-shape contours that resemble the rotation of the solar convection zone inferred by helioseismology (Schou et al. 1998). During early stages of the models evolution, when the magnetic field influence is negligible, the rotation contours are aligned along the rotation axis (the so-called Taylor-Proudman balance), and then transformed into the conical-shape contours. This indicates that both Reynolds and Maxwell stresses contribute to the distribution of angular momentum. Later, it is remarkable that even model CZ03, in which the final magnetic energy is  $10^4$  times smaller than the kinetic energy, the rotation law departs from the Taylor-Proudman balance. A detailed discussion of the angular momentum balance is out of the scope of this paper and will be addressed in a subsequent paper.

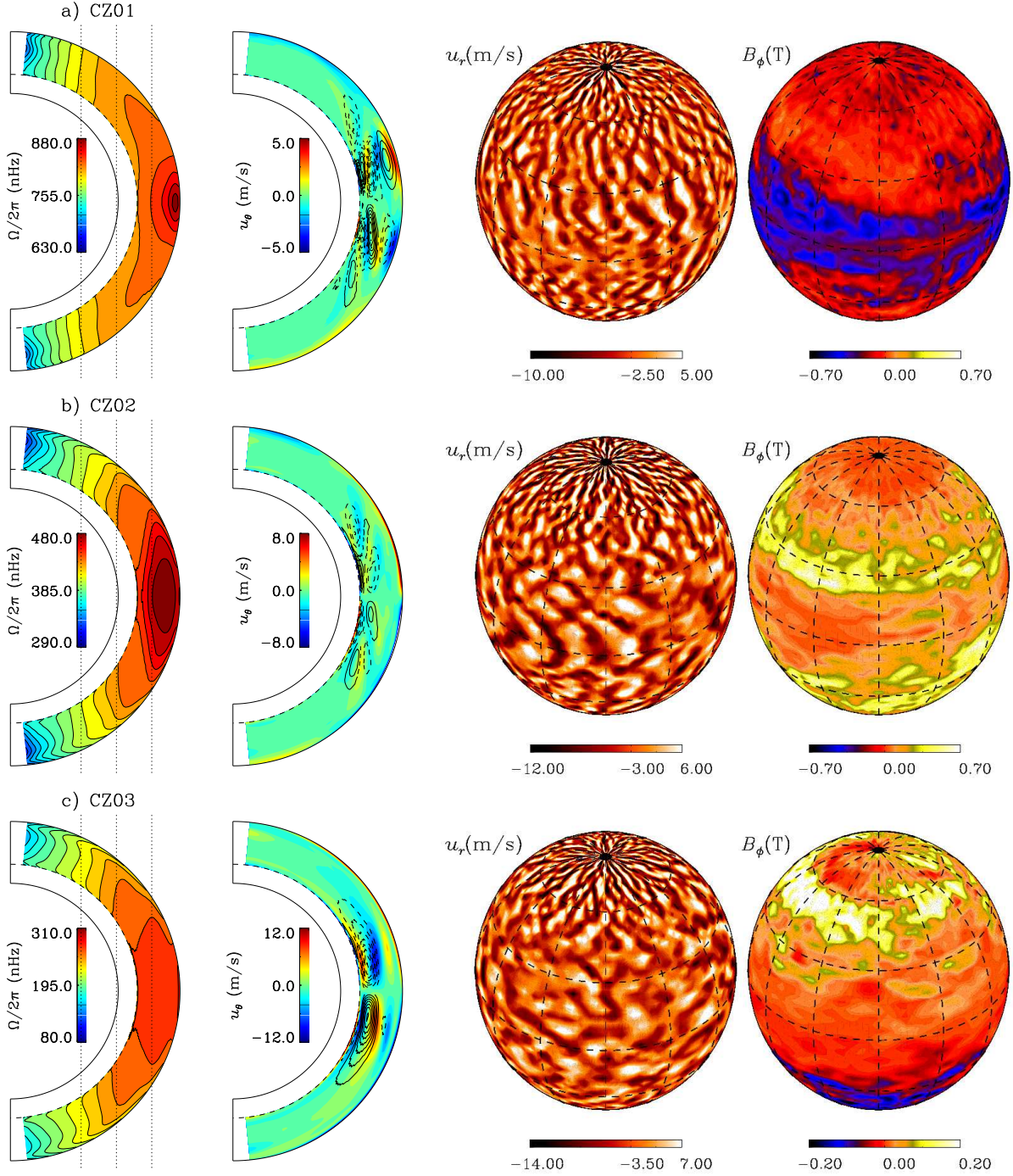


Fig. 1.— From left to right: mean profiles of the rotation rate and meridional circulation, and snapshots at  $r = 0.95R_\odot$  of the vertical velocity,  $u_r$ , and the toroidal field,  $B_\phi$ , for the simulated models a) CZ01, b) CZ02, and c) CZ03. In the meridional circulation panels continuous (dashed) lines correspond to clockwise (counterclockwise) circulation. The background filled contours show the latitudinal velocity,  $\bar{u}_\theta$ . The profiles of differential rotation and meridional circulation correspond to mean azimuthal values averaged over  $\sim 3$  years during the steady state phase of the simulation.

Another remarkable feature of models CZ02 and CZ03 is the natural development of a well-defined NSSL. The radial shear in this layer is negative and extends from the equator to the poles as observed in the Sun. In agreement with the results found in Guerrero et al. (2013), the NSSL arises from the appropriate choice of the ambient state,  $\Theta_e$ , and the relaxation time of the potential temperature perturbations,  $\tau$ . As mentioned above, these quantities differ from the ones used in Guerrero et al. (2013) in such a way that  $\text{Ro}$  becomes larger at the top of the domain (i.e., in a thin layer close to the surface where the buoyancy force becomes much stronger than the Coriolis force). Although the number of mesh points is not sufficient to resolve super-granulation scales which may be important for the formation of the solar NSSL, our model succeeded in reproducing similar effects in the resolved scales. Furthermore, the latitudinal angular velocity gradient in both models corresponds well to the solar rotation, i.e.,  $\chi_\Omega = (\Omega_{\text{eq}} - \Omega_{\text{p}})/\Omega_0 = 0.18$ , where  $\Omega_{\text{eq}}$  is the equatorial angular velocity,  $\Omega_{\text{p}}$  is the angular velocity at  $60^\circ$  latitude (both quantities are computed from the temporally and azimuthally averaged profile of  $\Omega$ ), and  $\Omega_0$  is the angular velocity of the reference frame.

As far as the meridional circulation is concerned, models CZ01 and CZ02 show a multicellular pattern (second column of Fig. 1a and b), while model CZ03 shows a dominant (counter) clockwise cell in the (Northern) Southern hemisphere with a latitudinal velocity,  $u_\theta \sim 12 \text{ m s}^{-1}$  (about twice the value of  $u_\theta$  in models CZ01 and CZ02). This cell is located near the base of the convection zone. Another cell, with a smaller velocity and opposite circulation direction appears in the subsurface layer (second column of Fig. 1c).

An oscillatory large-scale dynamo action is observed in models CZ01 and CZ02 as can be seen in Fig. 2a,b. In both cases the toroidal magnetic field is generally symmetric across the equator and reverses with a cycle period of  $\sim 2$  years (Table 1). For the model CZ02 we found a secondary  $\sim 6$ -years cycle modulating the amplitude of the magnetic field. These properties are at odd with the solar 22-year cycle but in agreement with other global models without tachocline (e.g., Augustson et al. 2015; Warnecke et al. 2014). In the convection zone the evolution of the magnetic fields is consistent with a pattern of dynamo waves propagating from the bottom to the top of the domain (Fig. 2a,b). At the surface the field forms a  $\pm 50^\circ$  belt around the equator. The latitudinal migration of the field is slightly poleward. The long term evolution of the simulations CZ01 and CZ02 indicates extended periods of minimal or maximal activity (see for instance the extended minimum in the Northern hemisphere of the model CZ01, Fig. 2a, between 20 and 30 years). The amplitude of the dynamo-generated magnetic field depends on the rotation rate, reaching  $\sim 10\%$  and  $\sim 1\%$  of the kinetic energy of the system, for the models CZ01 and CZ02, respectively (see Table 1). In model CZ03 (Fig. 2c) the magnetic field grows exponentially but saturates at  $\sim 0.02\%$  of the kinetic energy, (see Table 1). The magnetic field in this case

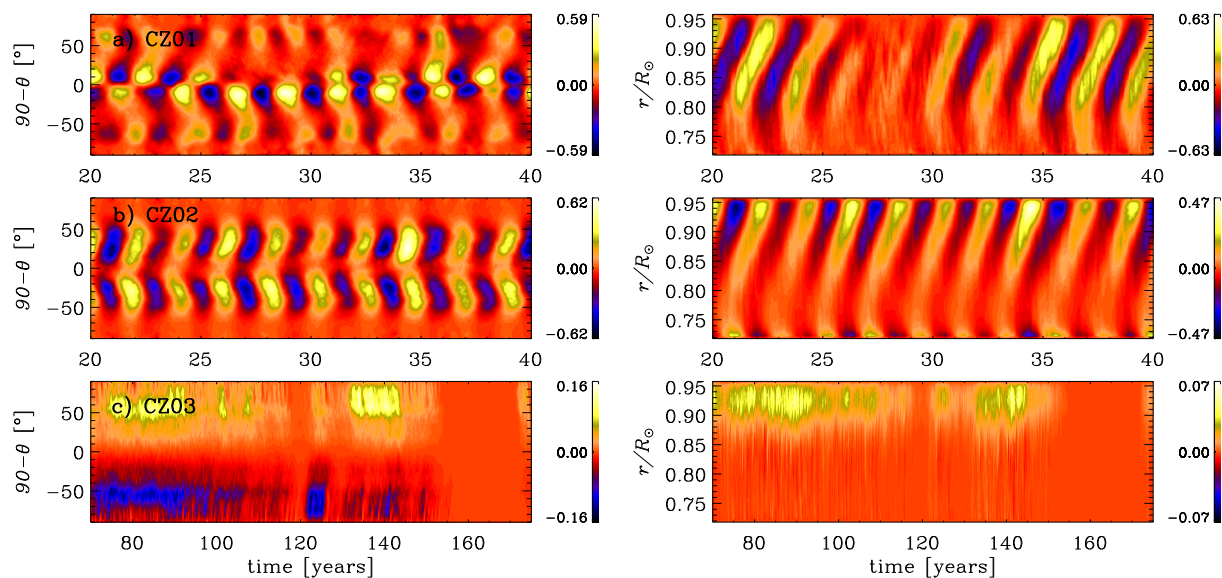


Fig. 2.— Time-latitude (left) and radius-latitude (right) diagrams of the toroidal magnetic field,  $\overline{B}_\phi$ , for models a) CZ01, b) CZ02 and c) CZ03. On the left, the contours show  $\overline{B}_\phi$ , in Tesla, at  $r = 0.95R_\odot$ . On the right, the contours are taken at  $30^\circ$  latitude. Only a fraction of time of the simulated statistically steady state is shown in each plot.

is nearly steady. Its time evolution shows periods when the field amplitude abruptly decays, stays in a minimum state for some years, and then quickly grows again to its saturation amplitude without polarity reversals (Fig. 2c). As indicated in Table 1, the growth rate of the dynamo is proportional to the rotation rate.

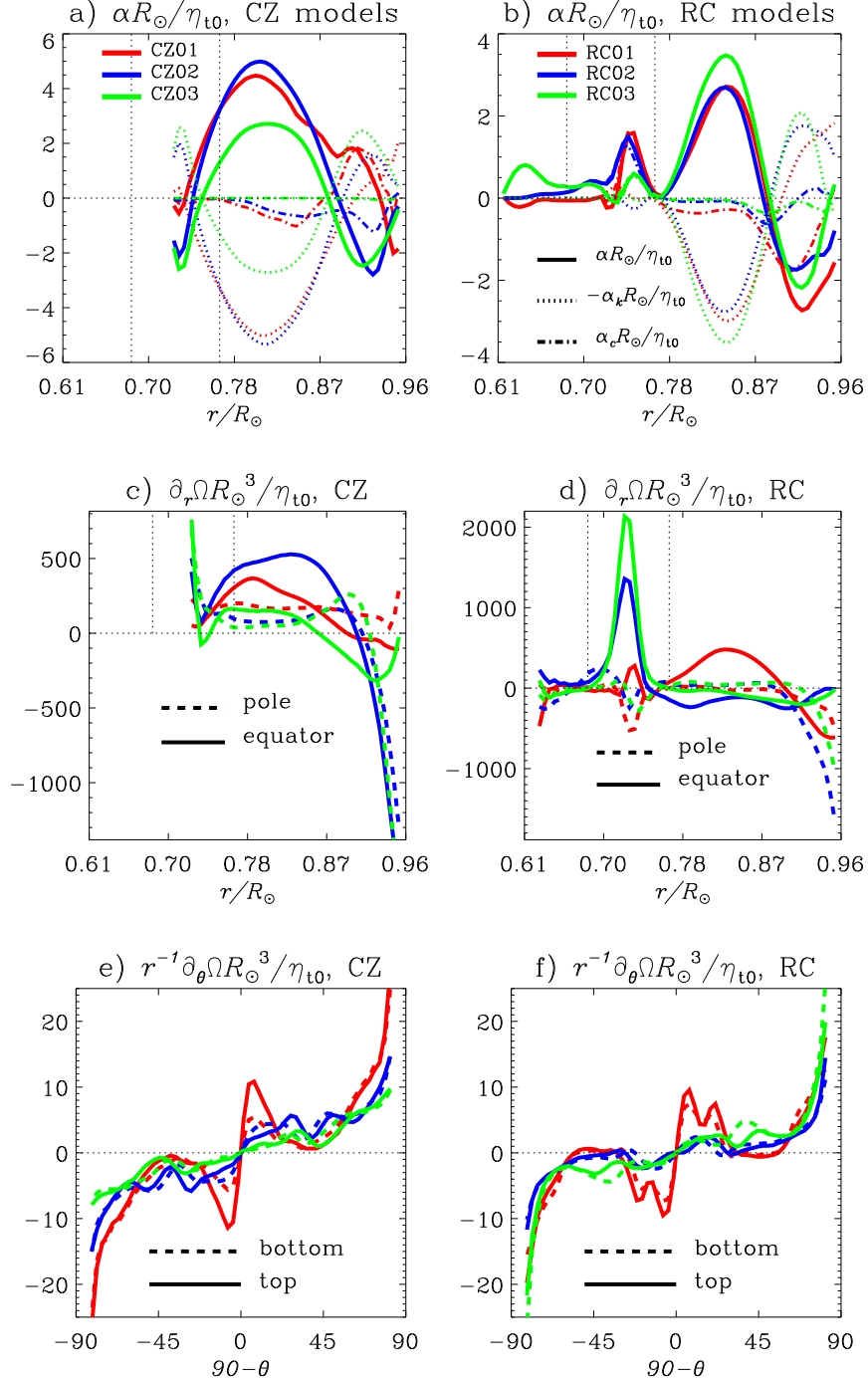


Fig. 3.— Magnetic field source terms (see Eqs. 8-9) for models CZ (left panels), and RC (right). Panels a) and b) show the FOSA estimation for  $\alpha R_\odot / \eta_{t0}$ . Dotted and dot-dashed lines represent the kinetic and magnetic contribution, respectively. The continuous line is the resultant, non-dimensional, total  $\alpha$ -effect. The profiles of  $\alpha$  are latitudinal averages in the northern hemisphere. Middle and bottom rows show  $\partial_r \Omega R_\odot^3 / \eta_{t0}$  and  $r^{-1} \partial_\theta \Omega R_\odot^3 / \eta_{t0}$ , respectively. In panels c) and d) continuous (dashed) lines indicate latitudinal averages at lower,  $20^\circ - 40^\circ$ , (higher,  $60^\circ - 80^\circ$ ), latitudes. (Note that in panel d) the value of  $\partial_r \Omega R_\odot^3$  has been divided by 2.) In panels e) and f) continuous (dashed) lines are vertical averages in the top,  $0.95R_\odot \leq r \leq 0.95R_\odot$  (bottom,  $0.72R_\odot \leq r \leq 0.77R_\odot$ ), of the domain.  $\eta_{t0}$  is a radial average of  $\eta_t$ , defined in Eq. (9), for  $r \geq 0.72R_\odot$ .

Table 2: Dynamo coefficients computed from the simulations results. The dynamo numbers are computed with Eqs. (8) and (9). The 0 index in  $C_\alpha$  and  $C_\Omega$  refers to the maximum value of each quantity, in  $\eta_t$ , to its average over the CZ (in  $\text{m}^2\text{s}^{-1}$ ). The dynamo numbers  $D_r = C_{\alpha 0}C_{\Omega 0}^r$  and  $D_\theta = C_{\alpha 0}C_{\Omega 0}^\theta$ , provide an indication of the dynamo efficiency when considering the radial and the latitudinal shear, respectively. Finally  $\max(\overline{B}_\phi)$ ,  $\max(\overline{B}_\theta)$  and  $\max(\overline{B}_r)$  are the maximum absolute values of the large-scale components of the field at  $r = 0.95R_\odot$  (the values in brackets are measured at  $r = 0.72R_\odot$ ) in units of Tesla.

Model	$\eta_{t0}(10^9)$	$C_{\alpha 0}^k$	$C_{\alpha 0}^m$	$C_{\alpha 0}$	$C_{\Omega 0}^r(10^3)$	$C_{\Omega 0}^\theta$	$D_r$	$D_\theta$	$\max(\overline{B}_\phi)$	$\max(\overline{B}_\theta)$	$\max(\overline{B}_r)$
CZ01	0.99	5.01	1.84	4.47	0.37	27.28	1.65	122.90	0.60	0.18	0.03
CZ02	1.09	5.38	1.28	5.00	1.62	14.75	8.10	73.75	0.61	0.13	0.06
CZ03	1.28	2.72	0.08	2.72	1.69	9.71	4.59	26.41	0.15	0.05	0.02
RC01	1.08	3.03	2.06	2.75	0.81	19.65	2.22	54.03	0.52(0.19)	0.10(0.80)	0.04(0.26)
RC02	1.12	2.80	1.52	2.72	1.67	13.33	4.54	36.25	0.22(0.94)	0.07(0.28)	0.03(0.24)
RC03	1.25	3.56	0.64	3.52	4.98	21.51	17.53	75.71	0.20(0.71)	0.04(0.37)	0.03(0.20)

The spatio-temporal evolution of the magnetic field in the models can be interpreted in terms of its main sources according to the mean-field dynamo theory, i.e., the  $\alpha$  and  $\Omega$  effects. The profile of  $\alpha$  is estimated by using the first-order smoothing approximation (FOSA, see for details Brandenburg & Subramanian 2005) as follows:

$$\alpha = \alpha_k + \alpha_m = -\frac{\tau_c}{3}h_k + \frac{\tau_c}{3}h_c \quad (6)$$

where  $\tau_c = H_\rho/u_{\text{rms}}$  is the turnover time of the convection,  $H_\rho^{-1} = d\ln\rho/dr$  is the density length scale, and

$$\begin{aligned} h_k &= \overline{\mathbf{u}' \cdot \nabla \times \mathbf{u}'} \\ h_c &= \frac{\overline{\mathbf{b}' \cdot \nabla \times \mathbf{b}'}}{\mu_0\rho_s} \end{aligned} \quad (7)$$

are the small-scale (note the prime over  $\mathbf{u}$  and  $\mathbf{b}$ ) kinetic and current helicities, respectively (the overbars denote azimuthal and temporal averages over 3 years). As it is common in the mean-field dynamo theory, we present the source terms them as ratios between the induction and diffusion times:

$$\begin{aligned} C_\alpha^k &= \frac{\alpha_k R_\odot}{\eta_{t0}} \quad , \quad C_\alpha^m = \frac{\alpha_m R_\odot}{\eta_{t0}} \quad , \\ C_\Omega^r &= \frac{\partial_r \Omega R_\odot^3}{\eta_{t0}} \quad , \quad C_\Omega^\theta = \frac{\partial_\theta \Omega R_\odot^3}{r\eta_{t0}} \quad , \end{aligned} \quad (8)$$

where  $\eta_{t0}$  is the FOSA estimation of the turbulent magnetic diffusivity,

$$\eta_{t0} = \frac{1}{3} \tau_c u_{\text{rms}}^2, \quad (9)$$

averaged over the entire convection zone,  $0.72R_{\odot} \leq r \leq 0.96R_{\odot}$  (the values of  $\eta_{t0}$  are presented in Table 2).

In the top, middle and bottom rows of Fig. 3 we present the normalized profiles of  $\alpha$ ,  $\partial_r \Omega$  and  $r^{-1} \partial_{\theta} \Omega$ , respectively, computed from the simulation results. Fig. 3a shows the radial profiles of the kinetic and magnetic contributions to  $\alpha$  presented by dotted and dot-dashed lines, respectively, while the resultant  $\alpha$  is shown with continuous lines. The vertical profiles of the radial shear, Fig. 3c, are shown at two different ranges of latitude: a higher latitude profile (dashed lines) was computed averaging over  $60^{\circ}$  and  $80^{\circ}$  latitude, and the lower latitude profile (continuous line) was averaged between  $20^{\circ}$  and  $40^{\circ}$  latitude. Finally, the latitudinal shear was also computed for two different ranges of depths, Fig. 3e. The bottom profile (dashed line) corresponds to an average between  $0.72R_{\odot} \leq r \leq 0.77R_{\odot}$ , while the top profile (continuous line) is an average between  $0.90R_{\odot} \leq r \leq 0.95R_{\odot}$ . Presenting these quantities as radial profiles, instead of contours in the meridional plane, allows to straightforwardly compare the different dynamo models. The red, blue and green lines correspond to models 01, 02 and 03, respectively. Furthermore, Figure 3 allows us to directly compare the CZ and RC models (to be discussed later), shown in the left and right panels, respectively.

Before describing the evolution of the magnetic fields, it is instructive to discuss how the source terms change as a function of the Rossby number. Table 2 presents the maximal absolute values of the quantities depicted in Fig. 3 (denoted with a 0 index), as well as the maximal amplitude of the mean magnetic field components at the surface level. (Some values at the boundaries can be very large without really contributing to the dynamo, so we have disregarded them.) In general, there are no major differences between the profiles of the kinetic  $\alpha$ -term,  $C_{\alpha}^k$ . In all the cases it is positive in the bulk of the convection zone and changes sign near the boundaries. The radius at which this change of sign occurs is shallower (deeper) for the faster (slower) rotating model. There is not a clear relation between  $C_{\alpha 0}^k$  and Ro, it is only noticeable, however, that the faster models, CZ01 and CZ02, have larger kinetic helicity than the slower model, CZ03. As for the the magnetic  $\alpha$ -effect, we should first notice that its amplitude is comparable to the kinetic  $\alpha$ . However,  $C_{\alpha 0}^m$  is inversely proportional to Ro. This is expected since the models with faster rotation develop stronger magnetic fields. Correspondingly, the total  $\alpha$ -effect is inversely proportional to the Rossby number. For the models CZ01 and CZ02 the signs of  $C_{\alpha}^k$  and  $C_{\alpha}^m$  in the middle of the convection zone are opposite. At the top of the domain the two terms have the same sign, and both contribute positively to the generation of magnetic field. In model CZ03



the contribution of  $C_\alpha^m$  is negligible, thus, only the kinetic helicity contributes to the field generation.

Regarding the rotational shear terms, we notice that for larger values of  $Ro$ , the surface radial shear is stronger and the latitudinal shear is weaker. Note that the latitudinal profile of  $C_\Omega^\theta$  (Fig. 3e) for model CZ01 shows a strong shear at the equator and the poles. This shear is reduced in models CZ02 and CZ03 as the vertical motions become more important. These fast vertical flows, in turn, are less affected by the Coriolis force, resulting in a strong radial shear in the NSSL. The surface values of the magnetic field are also inversely proportional to  $Ro$ , possibly indicating that their main source is the latitudinal shear.

The evolution of models CZ01 and CZ02, presented in the butterfly diagrams of Fig. 2a,b, is similar. They exhibit branches of magnetic field migrating in latitude from the equator towards the poles. This pattern agrees with the Parker-Yoshimura sign rule, Eq. (1), for positive values of  $\alpha\partial_r\Omega$  in almost the entire convection zone (see continuous red and blue lines in Fig. 3a, c). In the vertical direction the propagation of the magnetic field in the middle of the CZ also agrees with Eq. (1) for  $\alpha\partial_\theta\Omega > 0$ . Because of the large values of  $C_\Omega^\theta$  in model CZ01, the branches of toroidal field show the large magnetic field strength from the bottom of the convection zone to the top. In model CZ02, with a smooth latitudinal shear the stronger vertical branches of  $\overline{B}_\phi$  start at a depth  $\sim 0.85R_\odot$  (compare the right panels of Fig. 2a and b). At the upper radial levels of model CZ02, the term  $\alpha\partial_\theta\Omega$  becomes negative, and this should change the direction of migration. However, at the latitude depicted in Fig. 2, only a slight change in the tilt of the branches is evident. The morphology of the azimuthally averaged magnetic field of model CZ02, is depicted in Fig. 4a-d. The filled contours show the positive (yellow) and negative (blue) toroidal field strength. The continuous (dashed) lines represent the clockwise (counterclockwise) poloidal field lines. The four snapshots cover one magnetic field reversal. In the same plot it can be observed that the poloidal field follows a wave-like evolution pattern similar to  $\overline{B}_\phi$ . The pattern starts to develop at the bottom of the domain at lower latitudes. As the cycle evolves it grows and expands over the convection zone with the more intense regions (the innermost contours) migrating poleward and upward. In this case, however, the field is antisymmetric with respect to the equator.

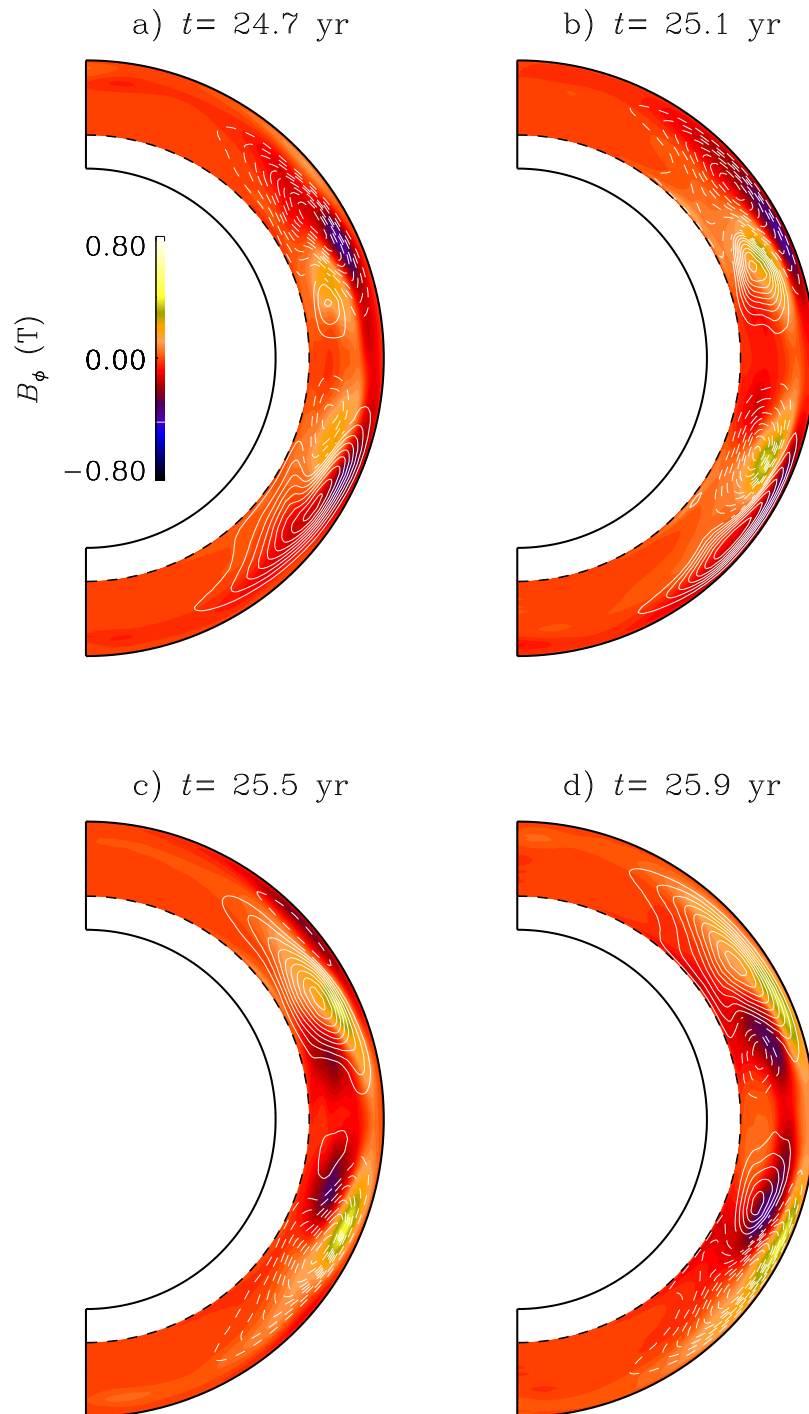


Fig. 4.— Snapshots of the mean magnetic fields,  $\overline{B}_\phi$  (color images), and poloidal magnetic field (contour lines) for the model CZ02. Continuous (dashed) lines represent clockwise (counterclockwise) magnetic field direction. The time series covers one polarity reversal (half dynamo cycle).

### 3.2. Dynamo models with tachocline

In this section we present three models (RC01, RC02 and RC03) with the tachocline. All of them have the same stratification and differ only by the rotation rate,  $\Omega_0$ . For all these cases, the magnetic field evolves differently than in the CZ01, CZ02 and CZ03 models.

It is worth mentioning first that due to the presence of the tachocline, most of the magnetic field develops at the base of the convection zone. Thus, the Maxwell stresses play an important role in the downwards transport of the angular momentum. In Figure 5, panels a, b and c show the differential rotation (left column) and meridional circulation (right column) profiles of models RC01, RC02 and RC03, respectively. Model RC01 has the lowest value of  $Ro$ , thus it has the strongest influence of the Coriolis force. This force tends to homogenize the rotation of the convection zone with that of the radiative zone. This happens in a region between  $\sim 5^\circ$  and  $\sim 80^\circ$  latitude. However, zones with some radial and latitudinal shears are developed at the equatorial and polar latitudes. In model RC02, both radial and latitudinal differential rotations develop in larger zones. The intermediate latitudes are in iso-rotation with the radiative zone.

The transport of angular momentum to the radiative zone makes the stable layer to rotate, on average (cf. Fig. 5b), faster than the frame. Hence, the equatorial acceleration of the convection zone in the model RC02 is not as pronounced as in the model CZ02. In the former case the angular velocity is around 440nHz, while in the latter case it reaches 500nHz. Consequently, the latitude of iso-rotation with the frame is moved up to  $\sim 70^\circ$ . In the model CZ02 it is located at  $\sim 50^\circ$  (the yellow filled contours in Figs. 1b and 5b correspond approximately to the frame rotation rate,  $\Omega_0$ ).

Besides affecting the average profile of the differential rotation (with respect to purely hydrodynamic models) the magnetic feedback on the flow generates torsional oscillations in the models where the dynamo is periodic (CZ02 and RC02). The latitudinal morphology of the simulated oscillations resemble the solar observations, but the amplitude of the observed oscillations is a few times smaller. This might be a consequence of a rather large  $\alpha$ -effect such as found by Covas et al. (2004). The origin of the torsional oscillations in our simulations seems to be due to a modulation of the latitudinal angular momentum transport mediated by the meridional circulation and the magnetic torque (at equatorial latitudes). A comprehensive discussion of these results is beyond the scope of the current work and is presented in a separate paper (Guerrero et al. 2016).

A NSSL, more pronounced at higher latitudes, is also observed in this model (RC01). In both RC01 and RC02 cases the contours of rotation form conical shapes in the convection zone (see the vertical dotted lines in Fig. 5 as an eye guide). Finally, in model RC03 there

is a well defined latitudinal differential rotation in the bulk of the convection zone, and also a NSSL is formed. The region of iso-rotation of the convection zone with the radiative core occurs at higher latitudes ( $\sim 70^\circ$  latitude). For this reason the radial shear at the equator, in the tachocline, is stronger in model RC03 than in models RC01 and RC02. The contours of model RC03 appear cylindrical which is surprising because in this model the Coriolis force influence is smaller than in the other two cases. The rightmost columns of Fig. 5 show the convective structure, represented by the vertical velocity and the distribution of the toroidal field at  $r = 0.95R_\odot$ .

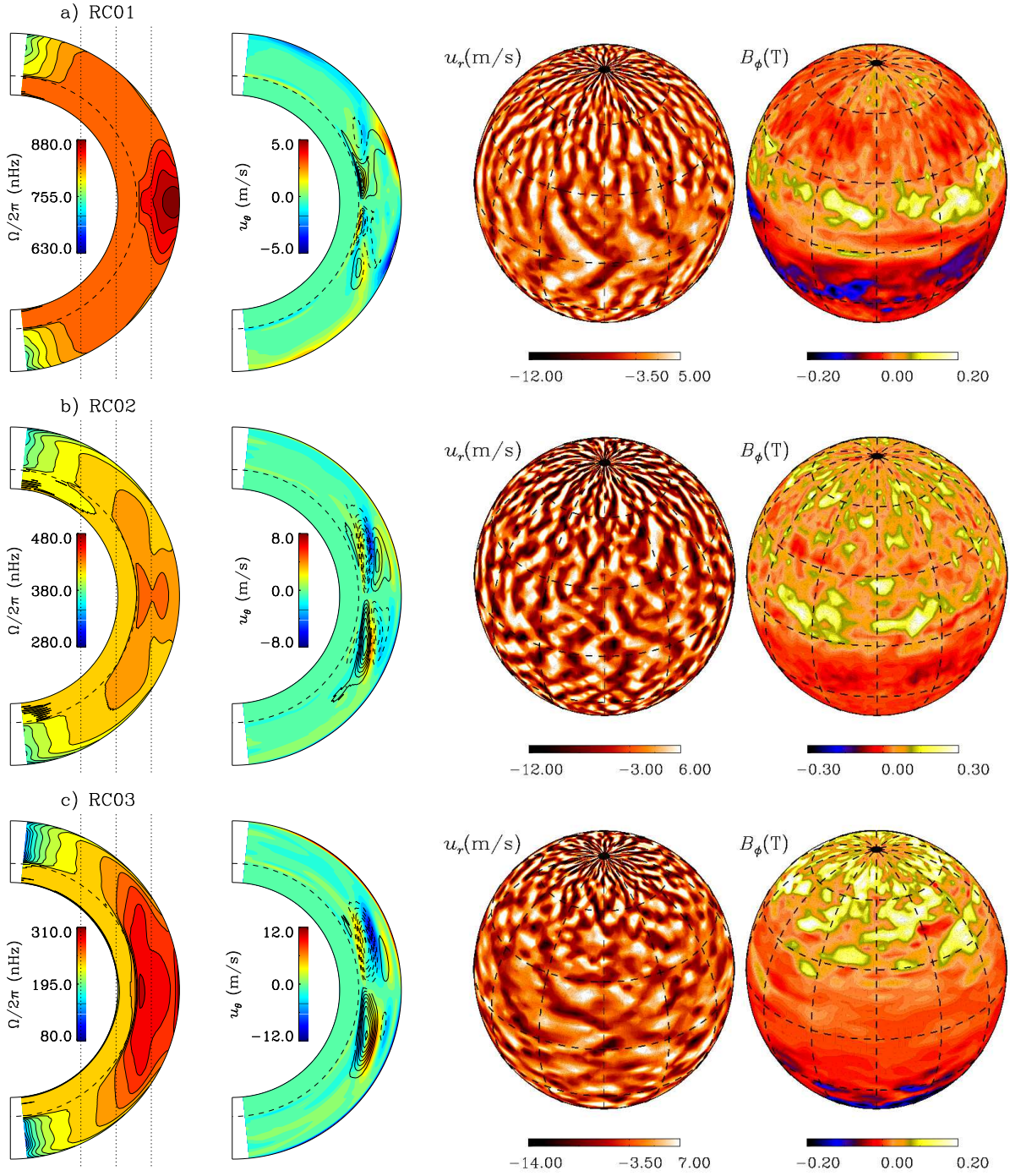


Fig. 5.— Same as Fig. 1 for the models a) RC01, b) RC02, and c) RC03. For these cases the temporal average is over  $\sim 10$  years during the steady state phase of the simulation.

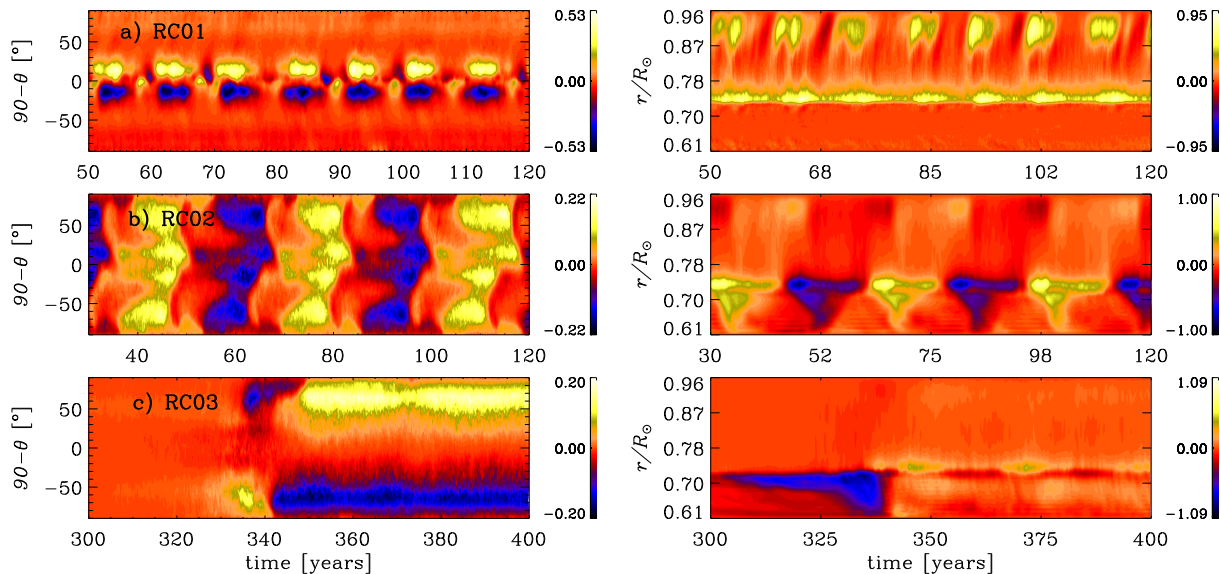


Fig. 6.— Same than Fig. 2 for the models a) RC01, b) RC02 and c) RC03. The color scales show  $\overline{B}_\phi$  in Tesla.

The meridional circulation is multicellular in all our models with the tachocline. However, as expected, model RC03 has larger meridional velocities than the other models and exhibits a dominant counterclockwise (clockwise) cell in the Northern (Southern) hemispheres. Noteworthy, in all these models a low amplitude poleward flow develops in the upper part of the convection zone at latitudes  $> 30^\circ$ . This can be noticed in the second column of Fig. 5 in the color filled contours of the latitudinal velocity,  $\overline{u}_\theta$ , as the blue and yellow regions in the Northern and Southern hemispheres, respectively. The formation of this flow is likely due to the gyroscopic pumping mechanism because of the negative gradient of angular velocity (Miesch & Hindman 2011).

The third column of Fig. 5 shows that the smaller convective structures in our simulations are formed at higher latitudes. In the equatorial band the structures are elongated, resembling the so-called banana cells. Note that the vertical domain of our simulations reaches up to  $0.96R_\odot$ , and small-scale structures are not resolved. We surmise that this is the reason why NSSL and latitudinal meridional circulation only appear above  $\sim 30^\circ$  latitude. Nevertheless, the meridional flow structure qualitatively agrees with recent helioseismology inversions made using the Solar Dynamics Observatory data (Zhao et al. 2013; Schad et al. 2013).

The magnetic field in these models evolves on longer timescales than in the CZ models (see §3.3). The fast rotating model, RC01, develops a magnetic field that oscillates in amplitude but does not show clear polarity reversals. The oscillation period is  $\sim 10$  yr (not included in Table 1 because it does not correspond to magnetic field reversals). The topology of the field consists of wreaths of toroidal field of opposite polarity across the equator.

Model RC02 presents magnetic cycles with a full period of  $\sim 30$ yr. Unlike the solar magnetic field, in this model the toroidal (poloidal) component of the magnetic field is symmetric (anti-symmetric) relative to the equator (see Figs. 6b and 7). Most of the magnetic energy in this case is in the toroidal component of the field. In the simulation with the slowest rotation rate (model RC03), the magnetic field is nearly steady (as in CZ03) with a larger concentration of  $\overline{B}_\phi$  in a narrow region at the base of the convection zone at the equatorial latitudes. The field at the surface has the same polarity as at the bottom and is concentrated at higher latitudes.

An important disparity between the models RC and their non-tachocline counterparts, models CZ, is the ratio between their volume averaged magnetic and kinetic energies as can be seen in Table 1. This difference is absent in the surface layers where both sets of models show magnetic fields of similar magnitude (in fact, models CZ have slightly higher values, see Table 2). In both, CZ and RC cases, the amplitude of the surface field correlates directly with the rotation rate. Nevertheless, because of the radial shear at the tachocline, in the RC models the maximum mean-field in that region reaches up to  $\sim 1$  T ( $10^4$  Gauss), as evident in the right panels of Fig. 6 and Table 2 (values in parenthesis).

As for the turbulent coefficients in these models, there is a clear correlation between the turbulent diffusion coefficient,  $\eta_{t0}$ , and the rotation rate, indicating that rotation quenches turbulent diffusion. However, while Ro changes by a factor of  $\sim 5$  between the faster and slower models,  $\eta_{t0}$  changes only by a factor of  $\sim 1.15$ . Similar to the models CZ, there is no correlation between the kinetic  $\alpha$  effect and the rotation. Figure 3b shows that the radial profiles of  $C_\alpha^k$  are roughly the same for the three models. They have small positive values at the radiative zone, reach a positive maximum in the middle of the convection zone and change sign near the upper boundary. On the other hand,  $C_\alpha^m$  changes proportionally to the rotation rate. The sign of the  $C_\alpha^m$  at the tachocline is positive, and it is the dominant term in the total  $\alpha$ -effect in that region. In the lower/middle part of the convection zone the sign of  $C_\alpha^m$  is opposite to  $C_\alpha^k$ , but its amplitude is small. For models RC01 and RC02 in the upper convection zone  $C_\alpha^m$  is negative and, because of the low density, reaches maximum values. Similarly to models CZ, both  $C_\alpha^k$  and  $C_\alpha^m$  contribute to the field generation. For the slowest rotating model, RC03, the contribution of  $C_\alpha^m$  is unimportant.

Models RC develop strong radial shear at the interface between the radiative and con-

vective layers (Fig. 3d). This radial shear anti-correlates with the rotation rate, i.e., the slower the rotation rate, the largest the shear at the tachocline. Similar to the solar case, the radial shear at the tachocline is negative at the poles and positive at the equator. According to helioseismology the Sun exhibits the strongest shear at the poles, whereas all the models in this section have the strongest shear at the equator. The profiles of  $\partial_r\Omega$  are fairly flat in the convection zone and negative in the NSSL at high latitudes (Fig. 3d). As for the latitudinal differential rotation, the fast rotating model shows a peak at low latitudes (between  $15^\circ$  and  $40^\circ$  latitude) followed for a flat, zero shear, region in middle latitudes and larger gradients of  $\Omega$  in latitudes above  $60^\circ$  (see red line in Fig. 3f). Models RC02 and RC03 have profiles which are comparable at lower and middle latitudes. However, near the poles, the profile of model RC03 shows a stronger latitudinal shear.

The morphology of the steady magnetic field in models RC01 and RC03 can be described in terms of the distribution of its source terms. For model RC01, at the tachocline, both sources of magnetic field are small, the dynamo results in a steady field concentrated at the equator where  $\partial_\theta\Omega$  peaks (red dashed line in Fig. 3f). In this region the  $\alpha$ -effect is possibly dominant over the  $\Omega$ -effect, yet the poloidal component of the field is  $\sim 4$  times larger than the toroidal one. In the upper convection zone,  $\partial_\theta\Omega$  has larger values at the equator, which explain why the field is concentrated in a band at  $\pm 30^\circ$  latitude (Fig. 6a). The field variations do not lead to polarity reversals, perhaps because the field at the tachocline remains steady. For model RC03 the field reaches its saturation after  $t \simeq 340$  yr with steady magnetic fields. Since in this case  $\partial_\theta\Omega$  reaches larger values at the poles, the toroidal magnetic field is localized at polar latitudes.



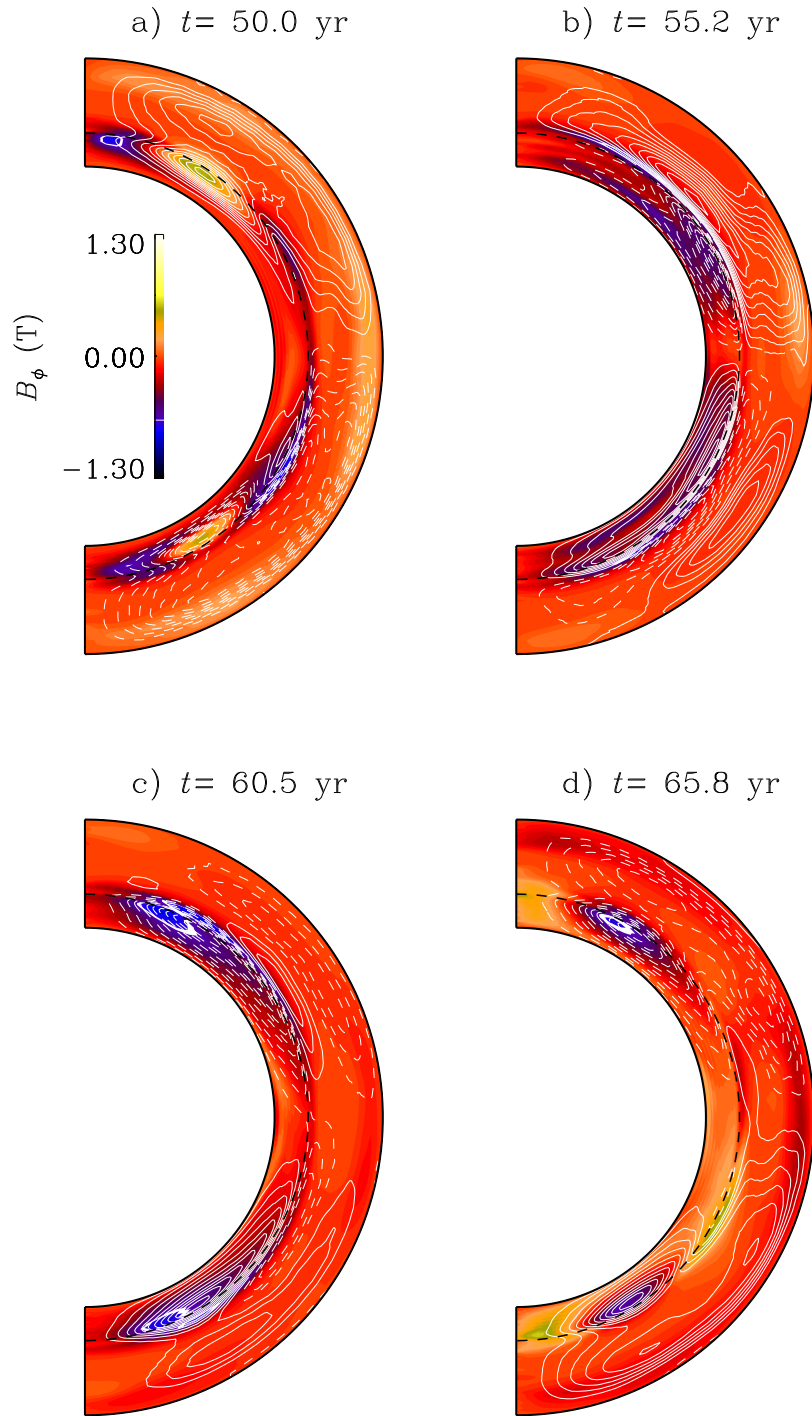


Fig. 7.— Same than Fig. 4 for model RC02.

Unlike the oscillatory models without the tachocline, CZ01 and CZ02, the magnetic field migration in model with the tachocline RC02 cannot be solely explained in terms of the Parker-Yoshimura rule. Because of the long cycle-period of activity, other transport processes like the meridional circulation or turbulent pumping can affect the magnetic field evolution. At the tachocline the migration of the field can be explained by the Parker-Yoshimura rule (Eq. 1). The field developed at the poles migrates equatorward, and the field developed at the equator migrates poleward. This migrating process can be seen in Fig. 7a-d which, similarly to Fig. 4, shows a half of the dynamo cycle with one magnetic field reversal illustrates in four snapshots. These dynamo waves result from a positive  $\alpha$  and negative (positive) values of  $\partial_r\Omega$  at higher (lower) latitudes. The upward radial migration from  $r \simeq 0.75R_\odot$  to  $r \simeq 0.90R_\odot$  is also in agreement with Eq. (1) for  $\alpha\partial_\theta\Omega > 0$  in this region. In the upper convective layer, above  $r \simeq 0.90R_\odot$ , there is a region of strong magnetic field, possibly resulting from the large negative radial shear. The latitudinal migration in this region, depicted in Fig. 6b, shows two branches of slightly equatorward migration: one branch is located between  $0^\circ$  and  $\pm 30^\circ$  latitude, the other one is above  $50^\circ$  latitude. According to Eq. (1) these branches would require negative values of  $\mathbf{s}$ ; however this does not agree with the profiles of  $\alpha$  and  $\partial_r\Omega$  shown in Fig. 3b and 3d (blue lines). The most equatorial branch of  $\overline{B}_\phi$  coincides with a clockwise meridional circulation cell with an equatorward flow at the surface (Fig. 5b). However, it is impossible to assure that this is the case giving the difficulty in disentangling the local and non-local effects contributing to the field migration.

### 3.3. What process governs the cycle-period?

Perhaps the most interesting difference between the oscillatory dynamo models CZ02 and RC02, is the timescale of the magnetic cycles (i.e., the period of the magnetic polarity reversals). As follows from the mean-field dynamo theory, the magnetic field grows and decays according to the values of the inductive and the diffusive terms in the induction equation (Brandenburg & Subramanian 2005). A long standing problem for mean-field dynamo models has been to conciliate the theoretically expected value of the turbulent diffusivity,  $\eta_t$ , with the observed cycle period. In the solar case a mixing-length theory (MLT) estimation of  $\eta_t$  gives values  $\sim 10^9 \text{ m}^2\text{s}^{-1}$  which, in turn, results in dynamo cycles with periods of 2 – 8 yr (Guerrero et al. 2009) instead of the observed 22 yr. Non-linearities in the dependence of the turbulent diffusivity on the large-scale magnetic field, like the so-called  $\eta$ -quenching mechanism, have been explored but did not solve the problem (Rüdiger et al. 1994; Guerrero et al. 2009; Muñoz-Jaramillo et al. 2011). The cycle-period difference between the two types of models found in our simulations provides an opportunity to explore this issue.

The radial profile of the turbulent diffusion coefficient,  $\eta_t$ , computed using Eq. (9), for both models with the solar rotation rate, CZ02 and RC02, is depicted in Fig. 8. From this figure and the values of  $\eta_{t0}$  in Table 2, it can be seen that the profiles and values of  $\eta_t$  in the convection zone match closely in both models. Both cases correspond to a strongly diffusive regime with  $\eta_t \simeq 10^9 \text{ m}^2\text{s}^{-1}$  (in agreement with the MLT estimate). However, model CZ02 has a  $\sim 2$ -yr cycle period, while model RC02 has a full magnetic cycle-period of  $\sim 30$  years. Furthermore, in the same figure, the dashed lines correspond to the turbulent diffusivity of the hydrodynamic versions of the CZ02 and RC02 models. We notice that there is no important diffusivity quenching due to the presence of the saturated magnetic field except in a small fraction of the radius nearby the tachocline where the diffusivity of the magnetic model is smaller (see the region between the vertical dotted lines in Fig. 8).

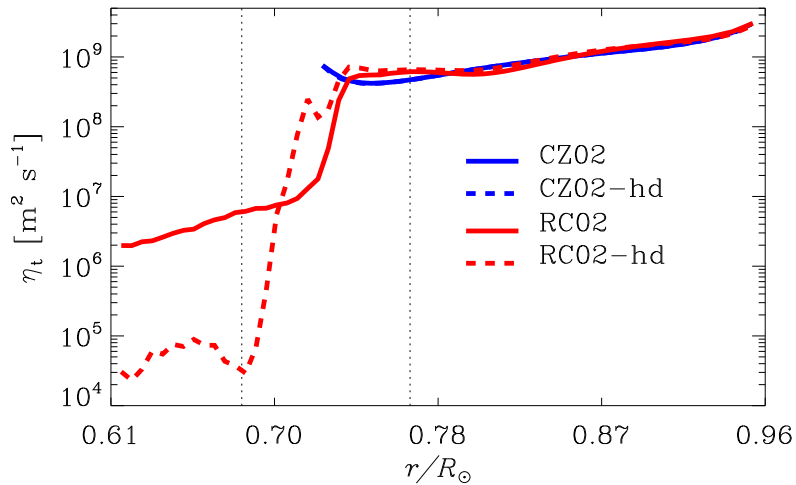


Fig. 8.— Vertical profiles of the turbulent magnetic diffusivity,  $\eta_t$ , for model CZ01 (blue) and RC02 (red). The dashed lines show the profiles of  $\eta_t$  for the hydrodynamic versions of models CZ02 and RC02.

This suggests that the cycle period in model RC02 may be determined by the value of  $\eta_t$  in the region where most of the magnetic field is produced and stored (i.e., at and below the tachocline), which is mainly convectively stable. (Note, that  $\eta_t$  does not tend to zero in the radiative layer but to values around  $\sim 10^6 \text{ m}^2\text{s}^{-1}$ . This reveals that the magnetic field is inducing turbulent motions in some fraction of the radiative interior.) To verify if this is indeed the case, we have computed the ratio between the diffusion times for models RC02

and CZ02, as follows:

$$\frac{t_d^{RC}}{t_d^{CZ}} \simeq \frac{L_{RC}^2 \langle \eta_t \rangle_{CZ}}{L_{CZ}^2 \langle \eta_t \rangle_{RC}} \simeq 19, \quad (10)$$

where,  $L_{CZ} = (0.96 - 0.72)R_\odot$  is the length scale of the convective layer of model CZ02, and  $L_{RC} = (0.77 - 0.68)R_\odot$  is the length of the region of model RC02 where most of magnetic field is stored (see vertical dotted lines in Figs 3a and b);  $\langle \eta_t \rangle_{CZ}$  and  $\langle \eta_t \rangle_{RC}$  are the radially averaged values of the turbulent diffusivity over the same lengths. Interestingly, this rough estimation agrees fairly well with the ratio between the cycle periods of these two types of models.

It is striking that in model RC02 the magnetic field in the turbulent convection zone, despite the larger local values of  $\eta_t$ , evolves on the same timescale as the magnetic field in the most stable layer with lower diffusivity. If such a non-local mechanism is operating in the Sun, it could explain the 22-year period of the solar magnetic cycle. This does not necessarily mean, however, that the migration of the sunspots observed at the surface is shaped by the meridional circulation at the base of the convection zone as assumed by most of the flux-transport dynamo models. On the contrary, the dynamo in our simulations operates distributed over the entire convection zone, and several processes could influence the magnetic field generation and migration (e.g., the near-surface shear rotational shear layer, as argued by Brandenburg (2005); Pipin & Kosovichev (2011)).

### 3.4. Tachocline instabilities

From Fig. 8 it is evident that turbulent motions must be present in the stably stratified layer. Such motions increase the turbulent diffusivity by more than one order of magnitude compared to the pure hydrodynamic model RC02 (red dashed line). The most probable origin for this hydromagnetic turbulence in the stable layer is the development of MHD instabilities at and below the interface between the convective and the radiative layers. It has been suggested that these turbulent motions could be significantly helical such that, similarly to the flow in the convection zone, they can result in a kinetic  $\alpha$ -effect (e.g., Dikpati & Gilman 2001). The analysis performed in §3.2 indicates that the kinetic part of the  $\alpha$ -effect in the stable layer is small when compared with its values at the convection zone. Nevertheless, the magnetic contribution to  $\alpha$  in the radiative zone is important, as evidenced in Fig. 3b. The development of small-scale helical magnetic structures due to instabilities could explain the existence of this magnetic  $\alpha$ -effect. This, in turn, leads to the generation of large-scale magnetic field (Brandenburg & Subramanian 2005).

This section does not intend to explore in detail the development of all possible tachocline

instabilities in the simulations presented here. Such analysis has been recently performed already by Lawson et al. (2015) for one of the EULAG-MHD simulations (Passos & Charbonneau 2014). In this section we briefly review the nature of such instabilities, try to identify which of them could be operating in our simulations based in a simple energy analysis, and discuss their contribution to the dynamo mechanism.

Tachocline instabilities have been extensively investigated over the past decades. According to the energy source they can be divided into four distinct kinds which include (Arlt 2009): a) shear-driven instabilities; b) baroclinic instabilities; c) buoyancy driven instabilities; and d) current-driven instabilities. The shear-driven instabilities (e.g., Dziembowski & Kosovichev 1987; Charbonneau et al. 1999; Dikpati & Gilman 2001) are purely hydrodynamic. Due to the latitudinal shear at the tachocline, small perturbations can destabilize the flow given sufficiently strong shear, e.g., more than  $\sim 30\%$  difference between the equator and the pole for a 2D analysis. The 3D stability analysis of Arlt et al. (2005) has shown that the solar tachocline should be nearly stable, in agreement with previous results by Garaud (2001). In the simulations presented in §3.2 the difference in the angular velocity between equator and pole is less than 10% for the models RC01 and RC02, and about 30% for the model RC03. It seems unlikely that this kind of instability is developing in these simulations. Baroclinic instabilities (b) are more complex in nature. If the transition region from subadiabatic to super-adiabatic is in thermal wind balance, horizontal (azimuthal and latitudinal) perturbation flows can gain some thermal energy and become unstable. Recently Gilman (2015) has demonstrated that the magnetic field has a stabilizing effect on these growing modes. Buoyancy driven instabilities (c) are presumably responsible for the emergence of magnetic flux tubes. The buoyancy force of low density parcels of magnetized gas is responsible for the emergence. For toroidal field ropes stored in a stable layer, it is generally true that the magnetic field should surpass the equipartition field strength (see Hughes 2007, and references therein). This is not the case in the simulations above. Strong flux concentration could be achieved in the case of very thin flux tubes which would require higher grid resolutions. The current-driven instabilities (d) appear whenever there is a large-scale current and their energy source is the large scale magnetic field. This kind of instabilities could develop without rotation (e.g., Tayler 1973; Bonanno & Urpin 2012) or with rotation (Pitts & Tayler 1985), or also when the rotation is differential (e.g., Arlt et al. 2005). These latter processes, often called magneto-shear instabilities (Cally et al. 2003), destabilize different configurations of toroidal magnetic bands either by opening the magnetic field lines (the so-called clamshell instability) or by tipping the axis of the magnetic field band. In both cases it is expected the development of non-axi-symmetric magnetic field out of the axi-symmetric field lines. This instability grows for different configurations of toroidal magnetic fields and diminishes the shear. When, a latitudinal shear profile and a toroidal field configuration are left to evolve

freely, after the saturation the instability decays (Cally et al. 2003). However, if the system is forced, as the solar tachocline should be, both the shear and the magnetic field adjust to a new equilibrium state (e.g., Miesch et al. 2007).

All the ingredients for the onset of the magneto-shear instability are present in the simulations with radiative zone presented above (RC models). The differential rotation is sustained by the Reynolds stresses and, depending on the Rossby number, it induces different configurations of toroidal fields (which in turn react back re-adjusting the shear). At some evolutionary stage of the simulation the conditions for the development of the instability are present creating small scale flows and magnetic field in the stable layer. As a consequence, a new adjustment should happen until the final steady state of the simulation is achieved.

Following Miesch et al. (2007), we study the time evolution of the different energy sources of the stable layer to verify the existence, and relevance, of such small scale, non-axisymmetric, magnetic field. The net energies are computed as follows (Lawson et al. 2015):

$$\begin{aligned}
 \text{TME} &= \frac{1}{2\mu_0} \int_{0.61}^{0.7} \int_0^{\pi/2} \int_0^{2\pi} \overline{B}_\phi^2 r^2 \sin\theta d\phi d\theta dr , \\
 \text{PME} &= \frac{1}{2\mu_0} \int_{0.61}^{0.7} \int_0^{\pi/2} \int_0^{2\pi} (\overline{B}_r^2 + \overline{B}_\theta^2) r^2 \sin\theta d\phi d\theta dr , \\
 \text{NAME} &= \frac{1}{2\mu_0} \int_{0.61}^{0.7} \int_0^{\pi/2} \int_0^{2\pi} \mathbf{b}'^2 r^2 \sin\theta d\phi d\theta dr , \\
 \text{TKE} &= \frac{1}{2} \int_{0.61}^{0.7} \int_0^{\pi/2} \int_0^{2\pi} \rho \overline{u}_\phi^2 r^2 \sin\theta d\phi d\theta dr , \\
 \text{NAKE} &= \frac{1}{2} \int_{0.61}^{0.7} \int_0^{\pi/2} \int_0^{2\pi} \rho \mathbf{u}'^2 r^2 \sin\theta d\phi d\theta dr ,
 \end{aligned} \tag{11}$$

where TME, PME and TKE, are the axi-symmetric toroidal and poloidal magnetic energies and the azimuthal kinetic energy, respectively. NAME and NAKE stand for non-axisymmetric magnetic and kinetic energies. The resulting evolution profiles are presented in Fig. 9. The axi-symmetric toroidal (poloidal) magnetic and kinetic energies are depicted by the continuous black (green) and red lines, the non-axisymmetric magnetic and kinetic energies are shown by the dashed blue and orange lines. The results indicate that all models develop non-axisymmetric motions and magnetic field in the stable layer, however the energy distribution is different from model to model. Models RC01 and RC02 reach steady state after few years of evolution. In the first case the toroidal magnetic field is weak and erratic in the radiative layer (see right panel of Figs. 6a and 9a). The weakness of this component of the field in the stable layer probably results from the inhibition of penetrative convection by

the fast rotation. The poloidal field has larger energy, which is consistent with the presence of a non-zero  $\alpha$  effect in this region (red line in Fig. 3b).

The case of model RC02 is more interesting since there is an important mean oscillatory toroidal field. The non-axisymmetric magnetic field is also oscillatory and exhibits a phase lag with respect to the toroidal field (see the vertical dashed lines indicating particular peak times for TME and NAME). This behaviour is similar to the one obtained by Miesch et al. (2007); Lawson et al. (2015) who observe the growth and decay of these quantities. Nonetheless, in these works the values of NAME and TME are similar to each other and the phase lag is about  $\pi/2$ . In the model RC02 the toroidal energy is larger than the turbulent magnetic energy. It is noteworthy the fact that the mean poloidal field oscillates with a  $\pi/2$  phase lag with respect to the non-axisymmetric magnetic field (i.e., when the PME grows the NAME decays). Note also that the PME is smaller than the NAME which is suggestive of a magnetic  $\alpha$ -effect giving rise to a large-scale poloidal magnetic field. The energy of the turbulent motions oscillates with the same phase than the turbulent field but with lower amplitude. Finally, note also that the mean kinetic energy is larger than the toroidal energy, the figure indicates acceleration and deceleration of the angular velocity in phase with the toroidal magnetic field (Guerrero et al. 2016).

The model RC03 is the one that takes longer to reach steady state. Since this case is the one that exhibits more variation, in Fig. 9c we present the entire evolution of the energies in the stable layer. The figure shows that the small scale non-axisymmetric kinetic and magnetic field energy components develop after the development of the toroidal field and that the evolution of these two quantities is closely tight (see dashed lines). The mean toroidal kinetic energy evolves together with the mean toroidal field. When the non-axisymmetric modes develop, the toroidal kinetic energy grows. We interpret this behaviour as the inwards transport of angular momentum, which makes the stable region to accelerate. The poloidal magnetic energy also develops after the establishment of MHD turbulence in the radiative zone. After around 350 years of evolution the rotation seems to achieve a steady state. This modifies all the other quantities, specially the mean poloidal energy, which grows about 2 orders of magnitude in few years. Note that the  $\alpha$ -effect has important positive values in the entire stable layer (see the profile of  $\alpha$  for the model RC03, green line in Fig. 3b which was computed in the final phase of the evolution).

In general, it is evident that the dynamics of the convectively stable stratified layer increases in complexity for models with larger Rossby number. This, of course, means a dependence on the unstable region where phenomena like penetrative convection or turbulent pumping are established. The non-axisymmetric flow and field seem to be contributing to the generation of poloidal field. From the FOSA analyses presented above, it is the small

scale magnetic field which is contributing through the magnetic  $\alpha$ -effect. The turbulent flows in the radiative zone increase the turbulent diffusion in this region. For the oscillatory model RC02, it defines the cycle period of the large-scale magnetic field in the entire domain.



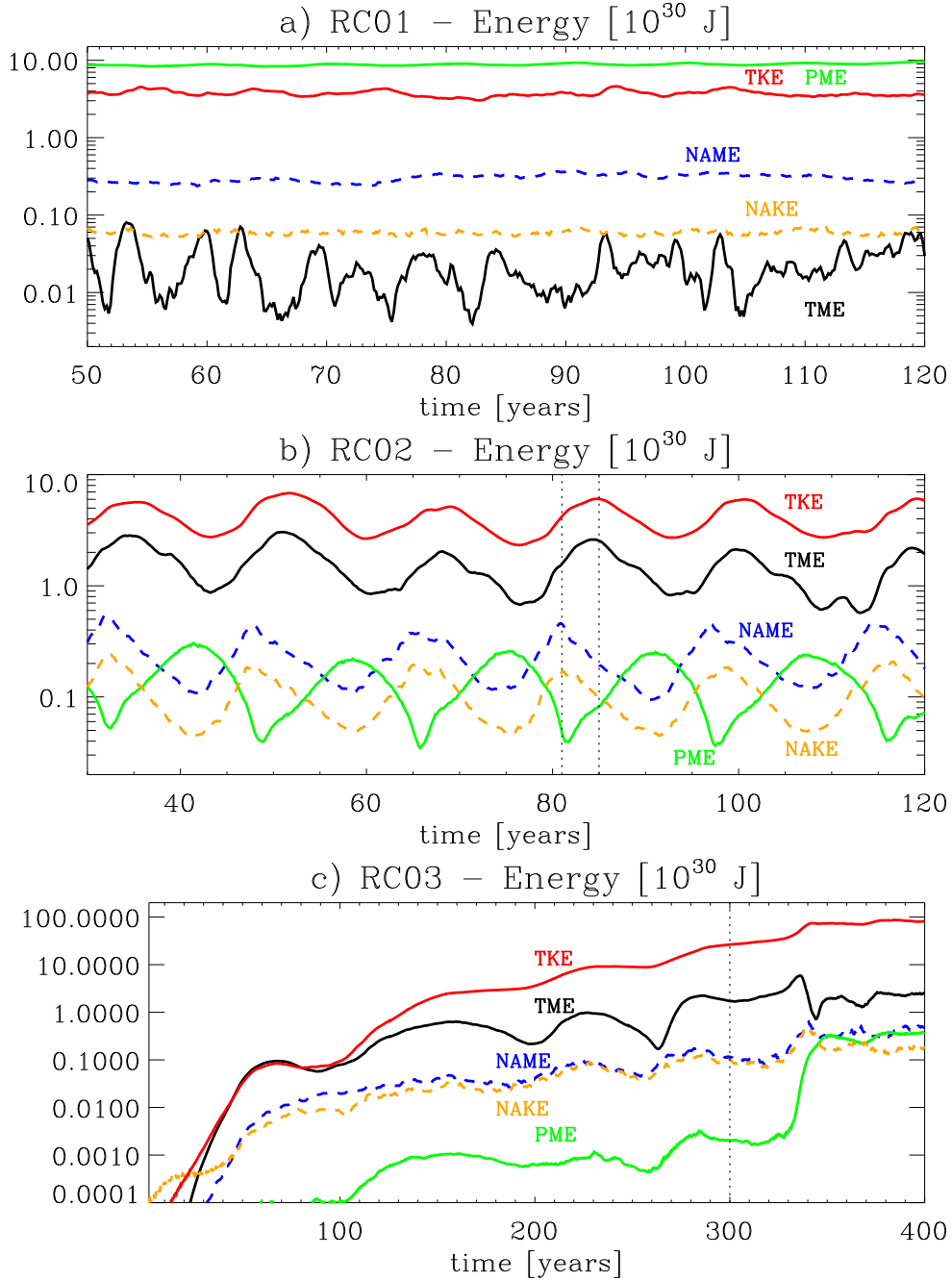


Fig. 9.— Volume integral of the different energies in the stable region for the models a) RC01, b) RC02 and c) RC03). The toroidal magnetic energy (TME) is shown in black, the toroidal kinetic energy (TKE) in red, the poloidal field energy (PFE) in green, the non-axisymmetric magnetic field (NAMF) with the dashed blue line and the non-axisymmetric kinetic energy (NAKE) with the dashed orange line. The dotted lines in the middle panels highlight the phase-lag between the axi-symmetric and the non-axisymmetric quantities. In the bottom panel, the dotted line shows the point from which the butterfly diagram of Fig. 6c was plotted.

#### 4. Summary and conclusions

We have performed global 3D MHD simulations of turbulent convection in rotating spherical shells using the anelastic approximation. We have used the code EULAG-MHD which captures the contribution of the non-resolved scales via an implicit sub-grid scale model. The main goal of this work was the comparison of the properties of global dynamos for models of the convective envelope only (CZ models), and models that include a part of the radiative core below the convection zone (RC models). The stratification is set in such a way that the Rossby number (Ro) is roughly the same in corresponding CZ and RC models. These simulations aimed at contributing to a broader understanding of the amplification and dynamics of large-scale magnetic fields in the Sun and solar-type stars. Our main conclusions are summarized and briefly discussed below.

The resulting differential rotation profile depends on the balance between the Coriolis, buoyancy and Lorentz forces. All the cases presented here develop a solar-like differential rotation (with faster rotation at the equator and slower rotation at the poles). This result differs from the purely hydrodynamic simulations for which the anti-solar rotation type is found as a robust feature of slow rotating convection (Gilman 1976; Glatzmaier & Gilman 1982; Steffen & Freytag 2007; Matt et al. 2011; Käpylä et al. 2011; Guerrero et al. 2013; Gastine et al. 2014).

Nevertheless, our results are in agreement with recent global models that obtain the transition from anti-solar to solar-like rotation due to the presence of dynamo-generated magnetic fields (Fan & Fang 2014; Simitsev et al. 2015). Also, Karak et al. (2015) have studied the dynamo action in a broad range of Rossby numbers and found an anti-solar rotation pattern in slowly rotating stars. In our simulations, the slowest rotating models with  $\Omega = \Omega_{\odot}/2$ , CZ03 and RC03, develop a large-scale magnetic field whose energy is several orders of magnitude smaller than the kinetic energy. We did not obtain a regime at which the rotation pattern switches from solar to anti-solar.

The models without a tachocline develop substantial latitudinal differential rotation. Tilted, conical, iso-rotation contours are obtained for the models with relatively slower rotation (i.e., models CZ02 and CZ03). The results reproduce remarkably well the differential rotation in the solar convection zone, with the equator-to-60° latitude difference,  $\chi_{\Omega} \sim 0.18$  (the same as in the Sun), and also reproduce the near-surface shear layer (NSSL).

Because of the highly sub-adiabatic stable region, the simulations including the upper part of the radiative interior develop a tachocline, i.e., a rotational shear layer between the radiative and convective zones. The model with faster rotation ( $\Omega = 2\Omega_{\odot}$ ), RC01, does not develop a NSSL. The slower rotating models, which have a larger influence of the buoyancy

force, RC02 ( $\Omega = \Omega_{\odot}$ ) and RC03 ( $\Omega = \Omega_{\odot}/2$ ), do develop a NSSL.

The meridional circulation in the models with radiative zone show a poleward flow at latitudes  $> 30^{\circ}$  and a multicellular pattern radially distributed. This last characteristic resembles and theoretically supports recent helioseismology results. It is noteworthy, however, that to this date no global simulation has been able to accurately reproduce both, the solar differential rotation and the meridional circulation. The computational difficulties to simulate the processes at the upper part of the convection zone are perhaps the reason for the general lack of success.

Large-scale dynamo action is observed in all models. The differential rotation, the dynamo growth rate and magnetic field topology depend on the Rossby number. The dynamo solutions include both steady and oscillatory dynamo regimes. The ratio between the magnetic and kinetic energies increases with the rotation rate, i.e., the smaller Ro the larger  $e_M/e_K$  (see Table 1). Our results partially agree with those of Petit et al. (2008) since we observe a linear dependence of the mean magnetic energy with the rotation rate. Furthermore, in the fast rotating model with the tachocline, RC01, the magnetic energy is deposited in the poloidal magnetic field. Although most of this poloidal field is concentrated at the tachocline, this is reminiscent of the magnetic structure of fast rotating young stars, whose observations indicate strong poloidal fields (Gregory et al. 2012).

Using our simulations results, we have computed the inductive and dissipative terms of the mean-field induction equation. We notice first that in both sets of dynamo models, the inductive term due to the radial shear is inversely proportional to the rotation rate. The shear localizes mainly in the boundary layers, i.e., the interface between the radiative and convective zones and in the upper surface. On the other hand, the inductive term due to the latitudinal shear in the simulations without the tachocline is proportional to  $\Omega_0$ . In the models RC01, RC02 and RC03, when the rotation is fast,  $\partial_{\theta}\Omega$  peaks at the equator (RC01). When the rotation is slow,  $\partial_{\theta}\Omega$  peaks at the poles (RC03).

The turbulent coefficients  $\eta_t$  and  $\alpha$  were computed using the FOSA approximation. We observe no dependence of the kinetic counterpart of  $\alpha$ ,  $\alpha_k$ , on the Rossby number. However, the magnetic counterpart  $\alpha_m$  (Eqs. 6 and 7) clearly anti-correlates with Ro; i.e., the smaller Ro, the larger the magnetic field and, thus, the larger the small-scale current helicity. Consequently, the magnetic  $\alpha$ -effect, computed here via the current helicity, could work as an inductive term of the magnetic field generation. This partially agrees with the results of Vishniac & Shapovalov (2014) in the sense that both, kinetic and magnetic parts of the  $\alpha$ -effect may act as a source of magnetic field.

In the oscillatory dynamo models without tachocline CZ01 and CZ02, the evolution

of the field is consistent with dynamo waves propagating according to the Parker-Yoshimura sign rule. It is not the case, however, for the tachocline model, RC02, in which the migration of the field only in some regions can be explained by this rule. Because the evolution of the field in this model is slow (on a time-scale of  $\sim 30$  years), other factors like the meridional circulation and/or turbulent magnetic pumping, could be influencing the field transport.

Though the FOSA is the simplest form of computing the mean-field coefficients, in general terms our 3D simulation results give support to the mean-field dynamo theory as a formalism to explain solar and stellar dynamos. A more sophisticated technique, like the test-field method (e.g., Schirmer et al. 2005; Brandenburg et al. 2008), could provide a better inference of these coefficients and thus allow a better analysis.

The cycle period for the models without tachocline, CZ01 and CZ03, is short (about 2 years) compared to the solar cycle period. In the slow and fast rotating tachocline models, RC01 and RC03, the dynamo regimes are stationary. However in the model RC02, with the solar rotation rate and the tachocline, the field is oscillatory with the period of about 30 years. This time scale is comparable with the solar cycle, however, unlike in the Sun, the toroidal magnetic field is symmetric across the equator.

In the RC models, strong toroidal magnetic field generated by dynamo is concentrated at or below the interface between the convective and the radiative layers. This indicates that the main source of the magnetic field is the radial shear at the tachocline. This effect has enormous influence on the general evolution of the models. First, this strong magnetic field modifies the mean-flow profiles via the Maxwell stresses; and second, because the field is deposited in a convectively stable region, its evolution occurs on longer time-scales than in the models without the tachocline. Despite the field production is distributed over the simulation domain, the dynamo period is regulated by the diffusion time of the toroidal magnetic field at the deeper, more stable layers. The deep seated magnetic field seems to control the evolution of the magnetic field in the rest of the convection zone. This result could explain a long standing problem in mean-field modeling, i.e., the coexistence of a highly turbulent magnetic diffusivity, of the order of  $10^9 \text{ m}^2 \text{ s}^{-1}$ , with the 22-year cycle period of the Sun.

The value of the magnetic diffusivity, and so of the diffusion time, in the stable layer is a product of the small scale motions generated by MHD instabilities. We have studied the temporal evolution of the mean, axi-symmetric, as well as the non-axisymmetric kinetic and magnetic energy components. This temporal evolution suggests that once the toroidal field is established due to the strong radial shear, magneto-shear instabilities develop in the radiative zone generating turbulent motions and magnetic fields. The results support the idea of a magnetic  $\alpha$ -effect as a source of poloidal field. The turbulent motions, on the other hand,

enhance the magnetic diffusivity. Therefore, the system adjusts itself to the new quantities until a new steady state is reached. The dynamics in the stable layer depends on the Rossby number, slow rotating models exhibit more complex behaviour and take longer to achieve steady state. In model RC02 the turbulent motions in the stable layer define the  $\sim 30$ -year cycle period. The solar model of tachocline of Ghizaru et al. (2010) uses a stratification that results in slower convective, less penetrative, motions. For that reason the cycle period is longer than the one found here. The difference in the cycle period between models with and without tachocline, as well as the development of a magnetic  $\alpha$ -effect, suggest that the instabilities in the tachocline might be of significant importance in the solar dynamo.

One could ask why RC models do not exhibit the same short-period dynamo that is observed in the CZ models due to the latitudinal shear alone. The answer relies on the backreaction of the magnetic field on the fluid dynamics via the Lorentz force. This influence can be easily noticed by comparing the profiles of  $\Omega$  between the models CZ02 and RC02. In numerical experiments, not presented here, we have verified that the strength of the toroidal magnetic field (and thus its influence on the flow) at the tachocline can be controlled by varying different model ingredients. The radial shear source term is particularly sensitive to the ambient state profile,  $\Theta_e$ . For instance, changes in parameters like the thickness of the transition between radiative and convective zones, the amplitude of the thermal oscillations or the adiabaticity of radiative and convective layers, could result in different rotation and dynamo patterns. The solar values of these parameters are not fully established for the Sun. We should also stress that a better understanding of the effects of the turbulent diffusion, in particular, the feedback of the magnetic field upon this diffusion is still necessary (e.g., de Gouveia Dal Pino et al. 2012; Karak et al. 2014; Santos-Lima et al. 2015).

We conclude that tachoclines play an important role in solar and stellar dynamos. Modelers should be careful when interpreting results obtained in simulations that do not include this rotational shear layer. From this work, several new studies seem to be promising for the understanding of the solar/stellar rotation and magnetism. For instance, a study of mean-flows and angular momentum balance for cool stars in the presence of magnetic fields could help to understand the cyclic and non-cyclic stellar activity. Models without tachocline, which evolve in short time-scales, and could be interpreted more easily, could help in the study of the origin of stochasticity and intermittency in turbulent flows. This will provide new insights on the physical origin of Maunder-like solar minima. The results are also encouraging to the study of the rotation evolution and magnetism in young stars.

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