

Land Surface Parameterizations

Aaron Boone
CNRM-GAME, Météo-France

And....thanks to
(P. Le Moigne, B. Decharme,....et al.)



Talk Outline

- 1 Objective of the Land Surface Model (LSM) parameterization
- 2 Coupling Aspects
- 3 Physiographic Parameters
- 4 Process Parameterizations
- 5 Summary of Current Important Issues



1 LSM Objective

Historically :

Provide the lower boundary condition for radiation and turbulence schemes (i.e. SWup, LWup, fluxes of heat, mass, momentum between the land surface and the atmosphere)

More Recently :

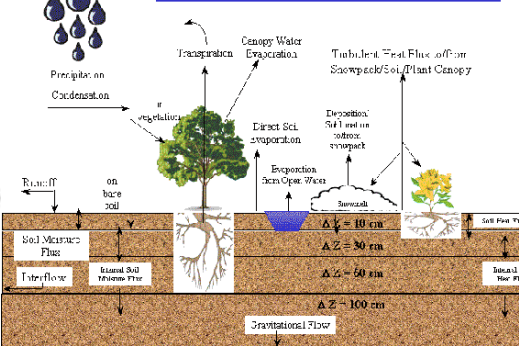
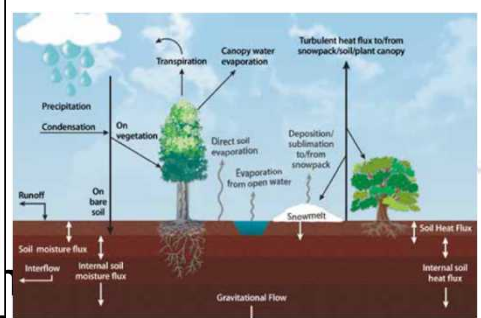
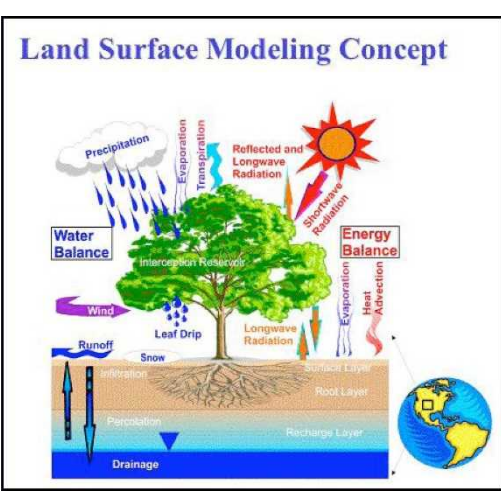
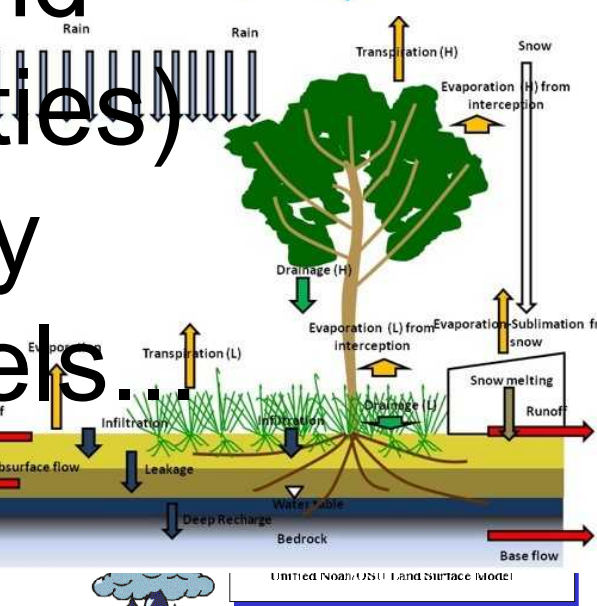
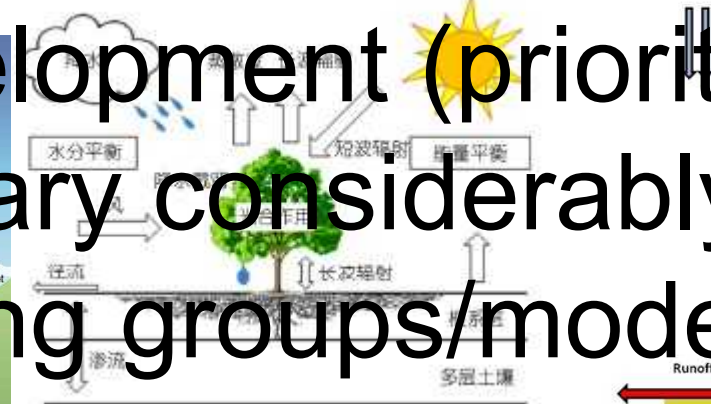
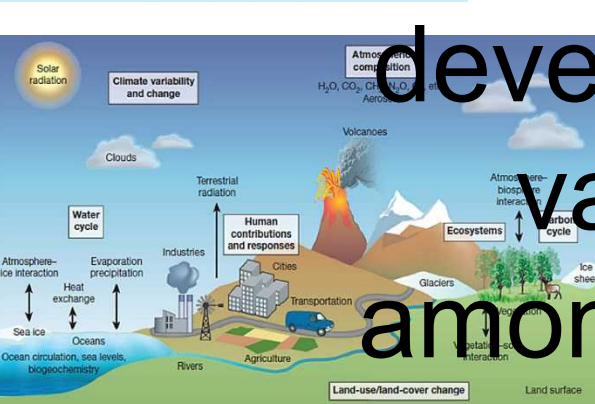
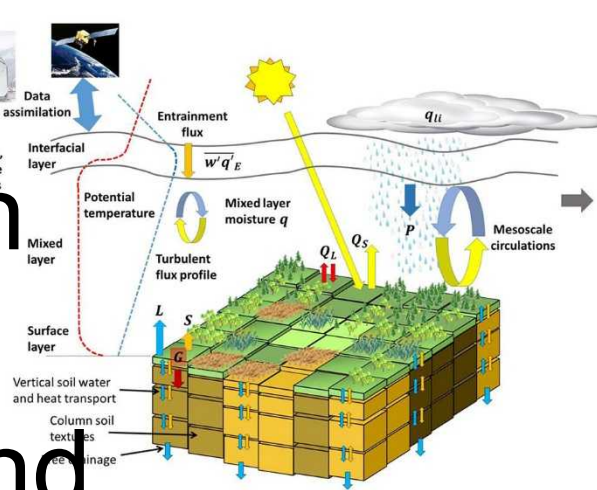
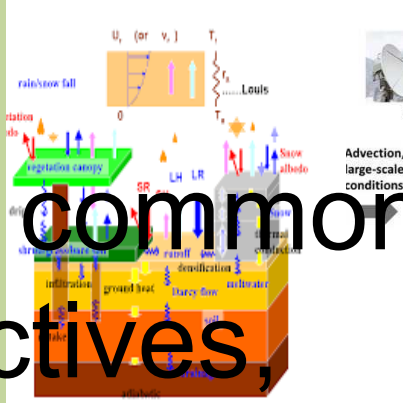
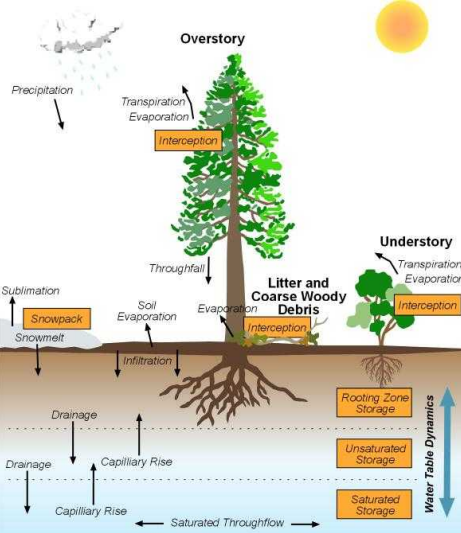
In addition to the above, Carbon fluxes, aerosol fluxes/emission, soil moisture metrics (drought index, flash flood algorithms...), vegetation metrics and/or evolution (LAI), runoff and drainage (exchanges with ground water), snowdepth/coverage, urban « comfort index »....

- Of course, significant impact on « sensible weather » diagnostics at 2m i.e. what humans experience on a daily basis

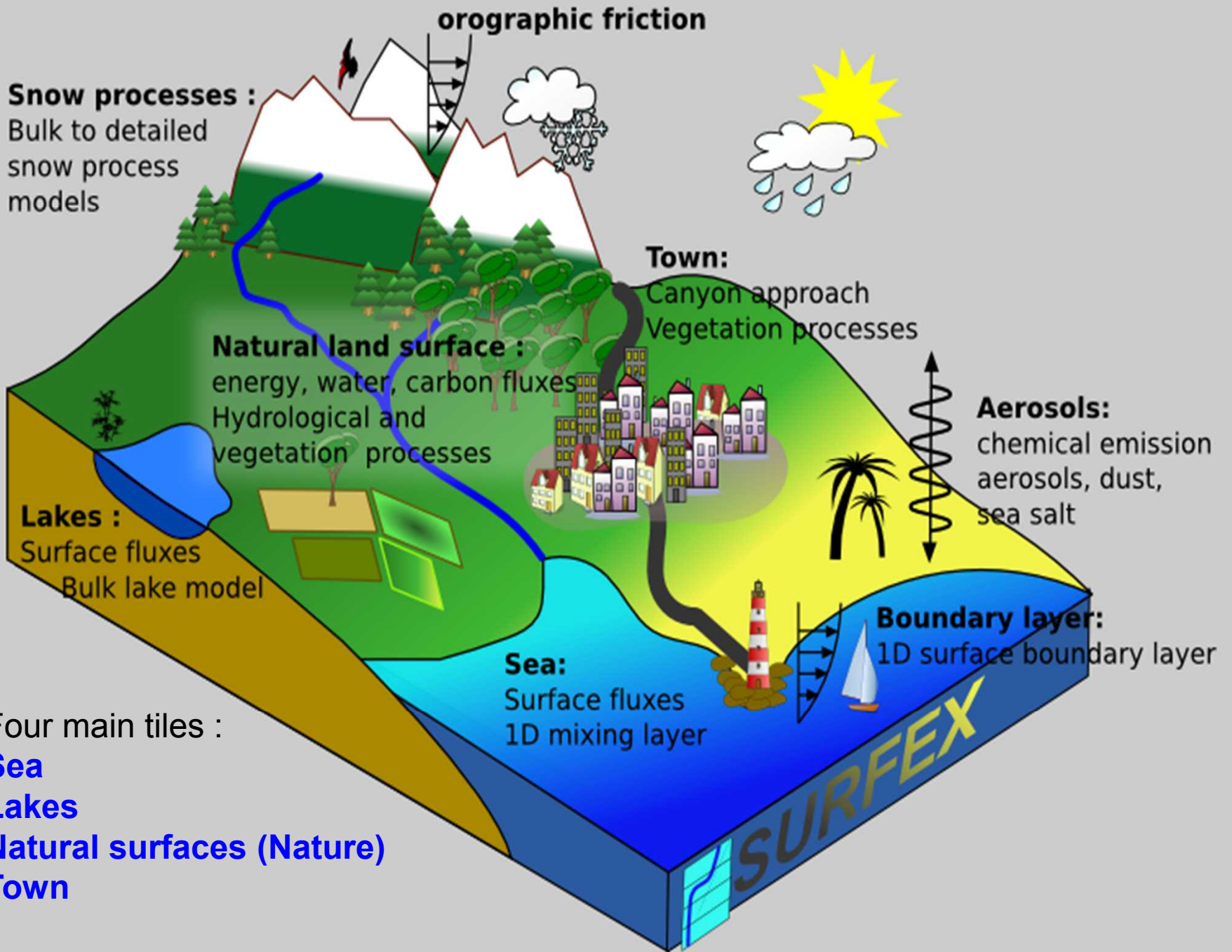
Development has greatly benefited from International Projects :

Project for the Intercomparison of Land Surface Parameterization Schemes (PILPS), Global Soil Wetness Project, SnowMIP, Rhone-AGG, etc... – many under the auspices of GEWEX-GLASS (new initiatives on Benchmarking, coupling...)





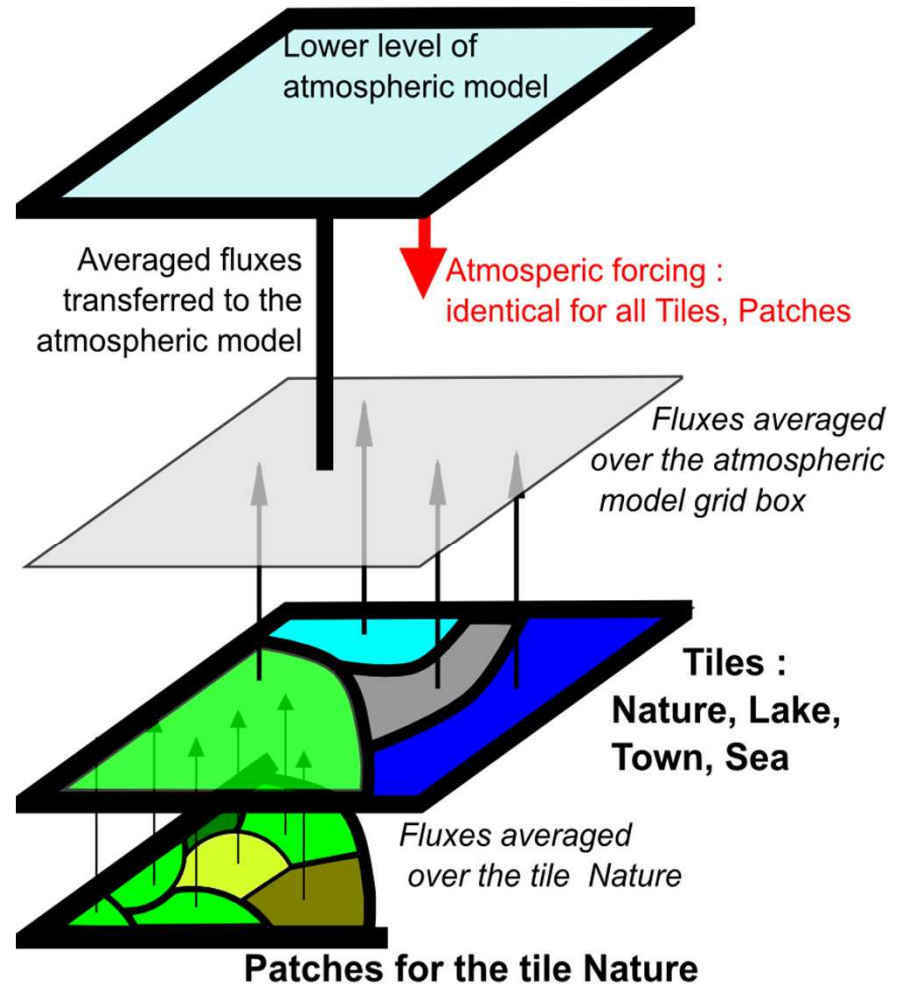
Despite common objectives, implementation and development (priorities) vary considerably among groups/models.



1 LSM Objectives

How can we represent the surface heterogeneity in a grid ? An Explicit (« tile ») Approach

- **Within a grid mesh, the surface is divided into several homogeneous components.**
- **Each component « sees » the same atmospheric forcing**
- **Each component calculates fluxes**
- **Fluxes are aggregated and returned to the atmosphere**
- **No horizontal transfer with the surface/between tiles**
- **Not geo-referenced**
- **Altitude bands a special case (but not without issues)**



tiling and coupling with an atmospheric model



2 Coupling

ATMOSPHERE

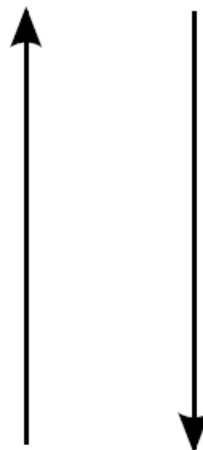
interface

radiative properties:

- albedo
- emissivity
- surface radiative temperature

surface fluxes:

- momentum
- sensible heat
- latent heat
- CO₂
- chemical species
- aerosols



atmospheric forcing:

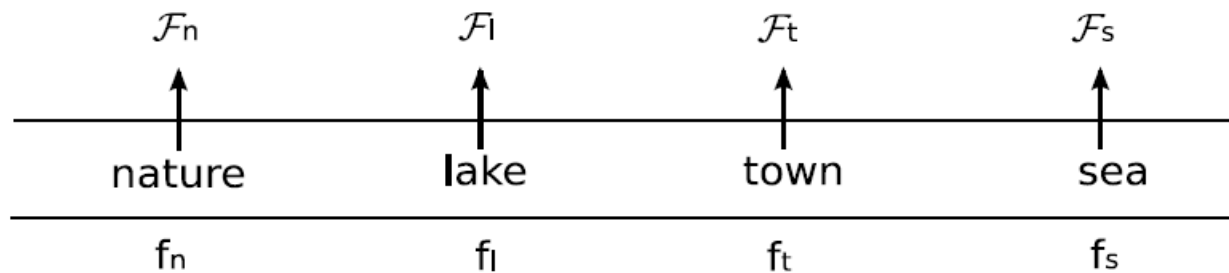
- air temperature
- specific humidity
- wind components
- pressure
- rain rate
- snow rate
- CO₂, chemical species, aerosols concentration

radiative forcing:

- solar radiation
- infrared radiation

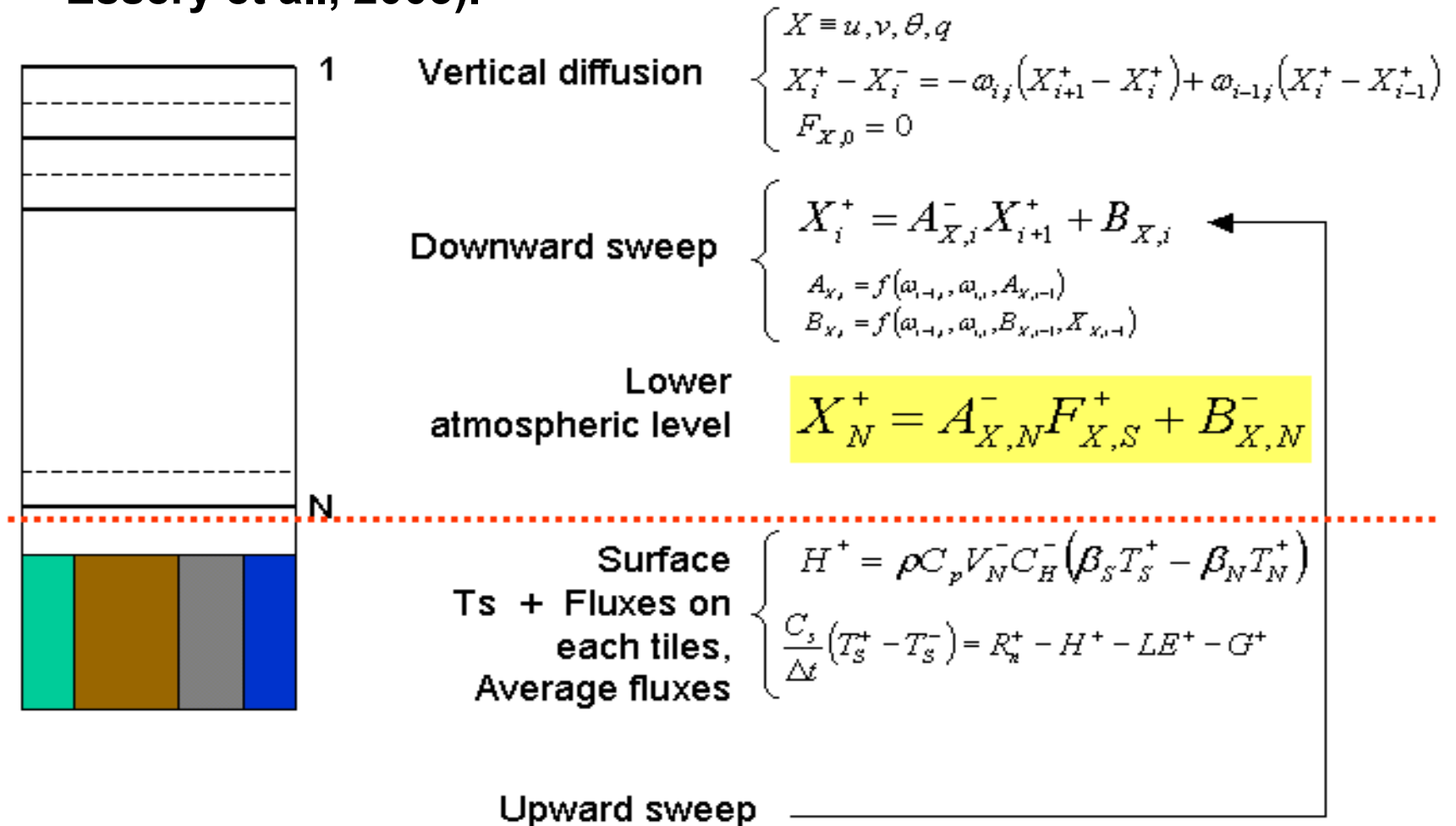
surface

$$\mathcal{F} = f_n \mathcal{F}_n + f_l \mathcal{F}_l + f_t \mathcal{F}_t + f_s \mathcal{F}_s$$



2 Coupling : with turbulence (implicit numerics)

In case of long time step to avoid instabilities in the coupling with the atmosphere. The surface is called in the middle of the vertical diffusion loop (Polcher et al., 1998, Best et al., 2004, Essery et al., 2003).



3 Physiographic Parameters

The surface needs several types of parameters :

Orography

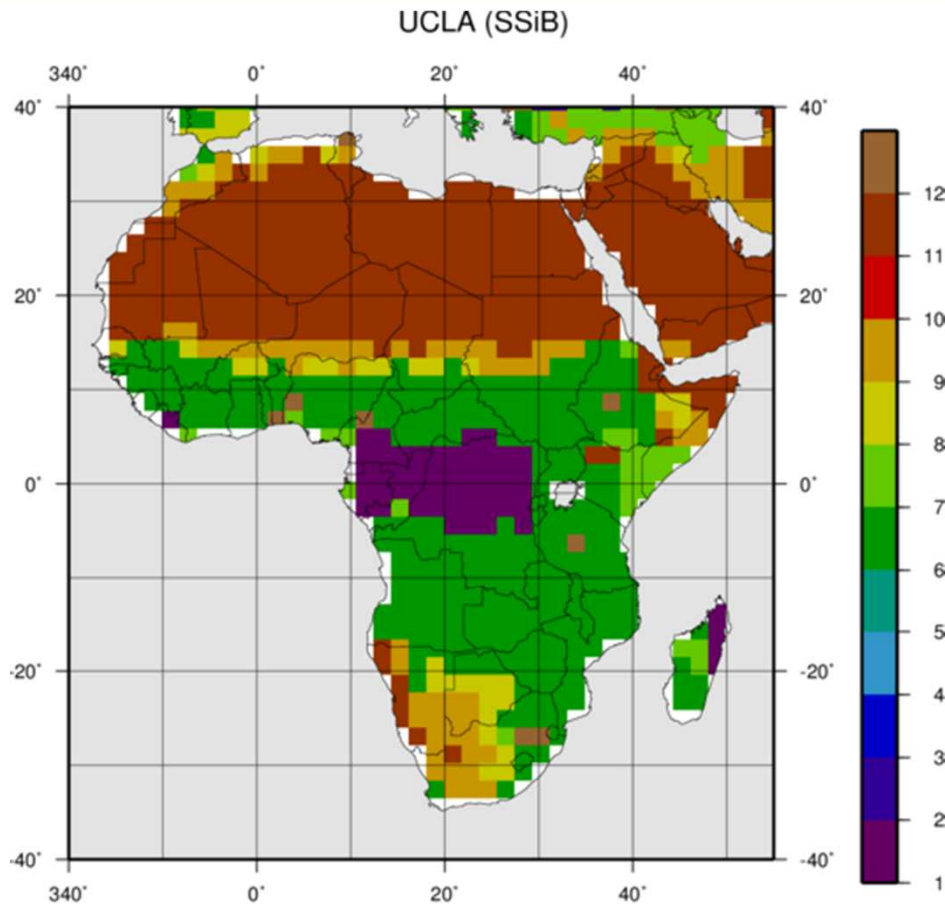
Type of the surface (tile) and vegetation types (patches) for « Nature »

- Nature (land): Albedo, leaf area index, soil texture, organics, veg class...
- FLAKE : lake depth, radiation extinction coefficient ...

The databases :

- Land cover database using blend of classification/land use, satellite data, climatological maps, surveys...
- Topography (e.g. Gtopo30 at 1 km or SRTM for higher resolution, from which the mean grid-cell altitude and sub-grid topography parameters are derived).
- Soil properties (clay and sand proportions, organic matters) derived from FAO or HWSD databases.
- Lake depth and optical water properties (Kourzeneva et al., 2011)
- **Local scales (often not valid/representative)**
- **Need for unified high res dataset for ALL LSMs ?**

3 Physiographic Parameters



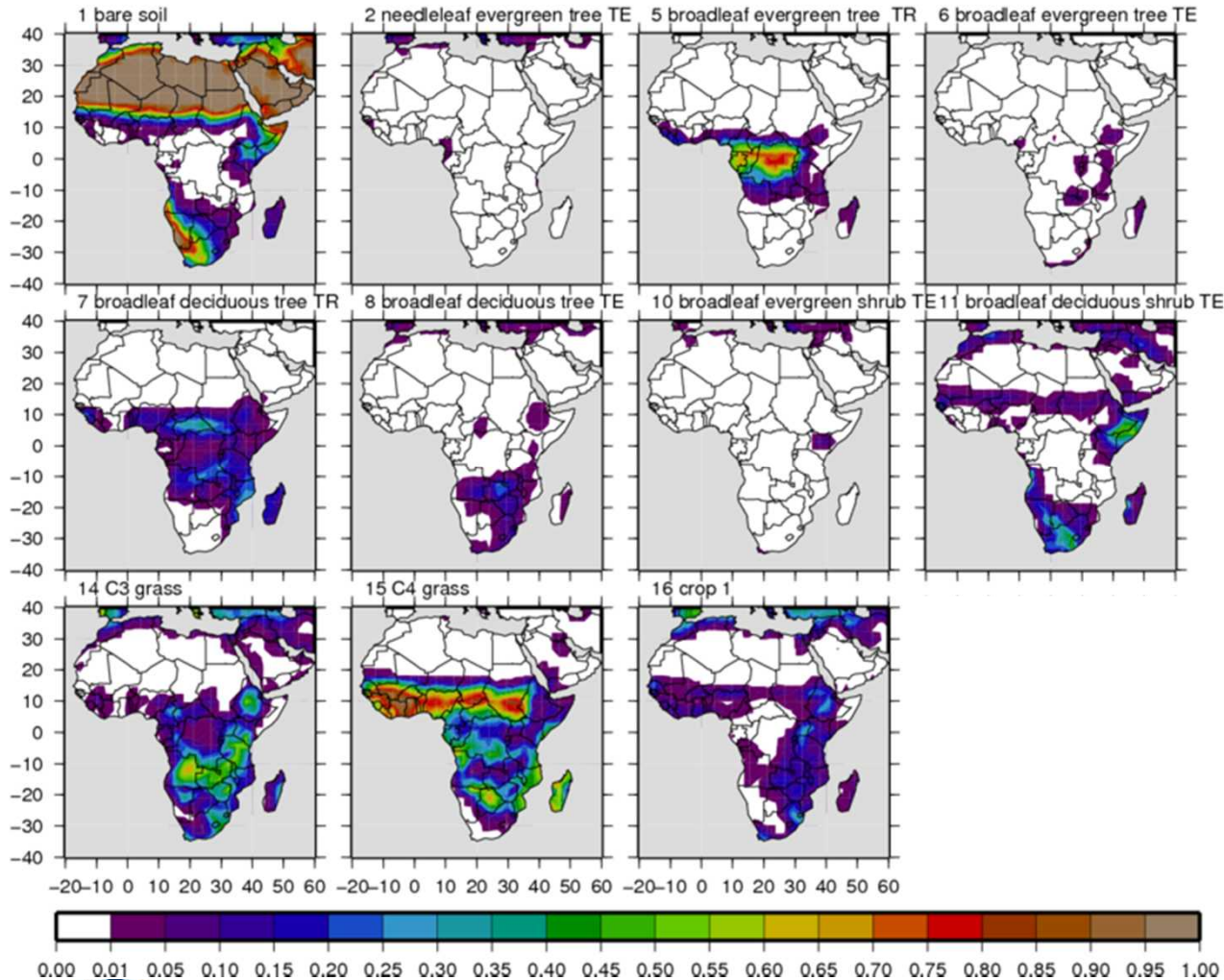
- 1 tropical rainforest
- 2 broadleaf deciduous trees
- 3 broadleaf and needleleaf trees
- 4 needleleaf evergreen trees
- 5 needleleaf deciduous trees
- 6 broadleaf trees with ground cover
- 7 groundcover only
- 8 broadleaf shrubs with ground cover
- 9 broadleaf shrubs with bare soil
- 10 dwarf trees with ground cover
- 11 bare soil
- 12 crops

Classical dominant class approach (widely used in GCMs and NWP). Advantage-ease of implementation, computationally inexpensive

Possible disadvantages are when two (or more) contrasting classes nearly equal in coverage in the same grid box...

3 Physiographic Parameters

Class Fractions – CLM



PFT (tile) fractions can evolve in time owing to dynamic vegetation, anthropogenic land cover change...

Several projects looking at land use-land cover change (LULCC) issues at regional (WAMME2), and global (LUCID, LUMIP (CMIP6)) scales....

3 Physiographic Parameters

ECOCLIMAP :

A global database of surface parameters

A land cover map at 1 km resolution in lat-lon projection

Fully coupled to SURFEX, or available separately for any LSM

ECOCLIMAP I : global (215 covers)

ECOCLIMAP II Europe (273 covers)

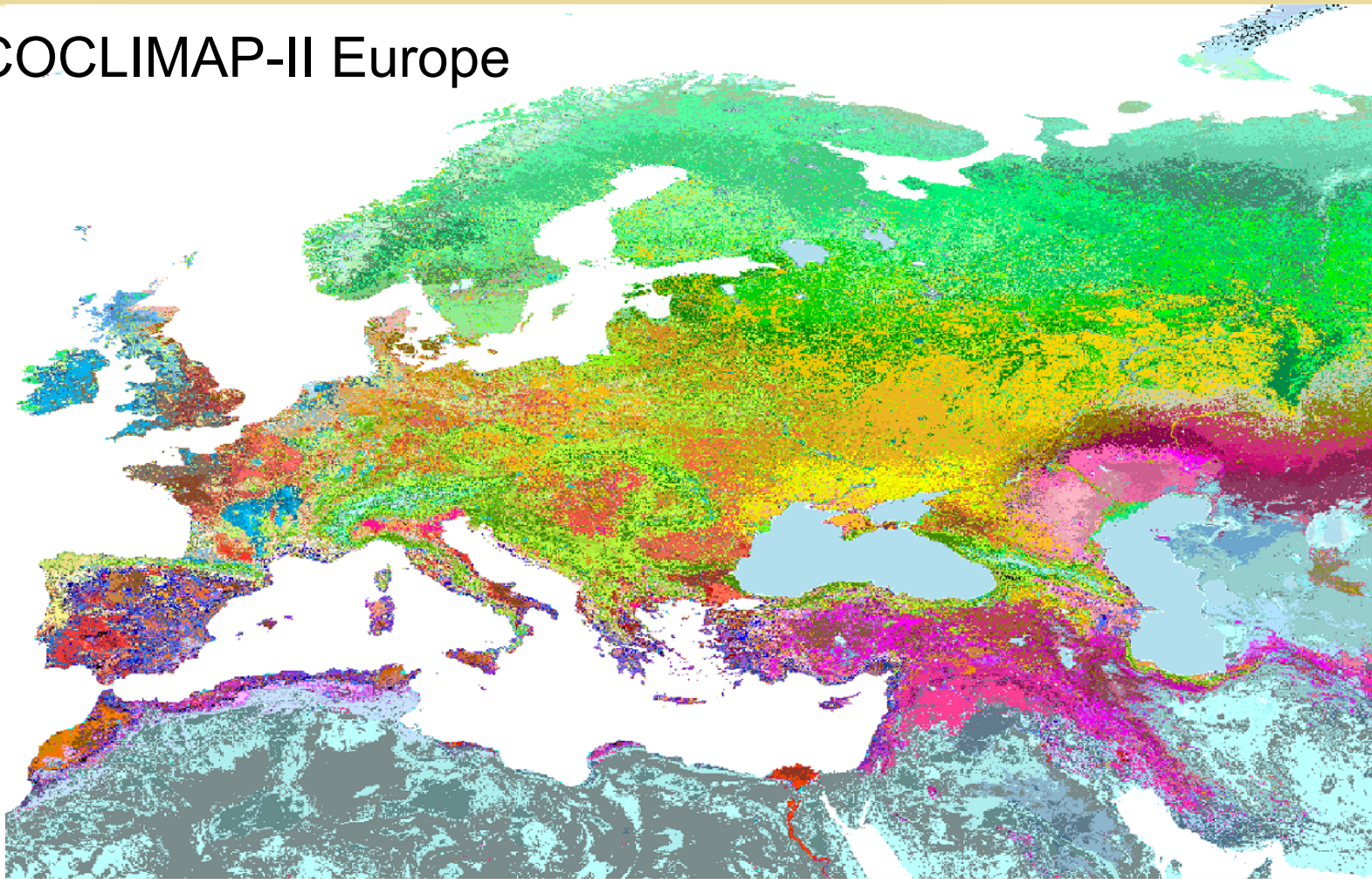
10-day period surface parameters: LAI, fraction of vegetation veg, roughness length, emissivity, greenness fraction.

Time Constant surface parameters: visible / nir / uv albedos, minimum stomatal resistance...

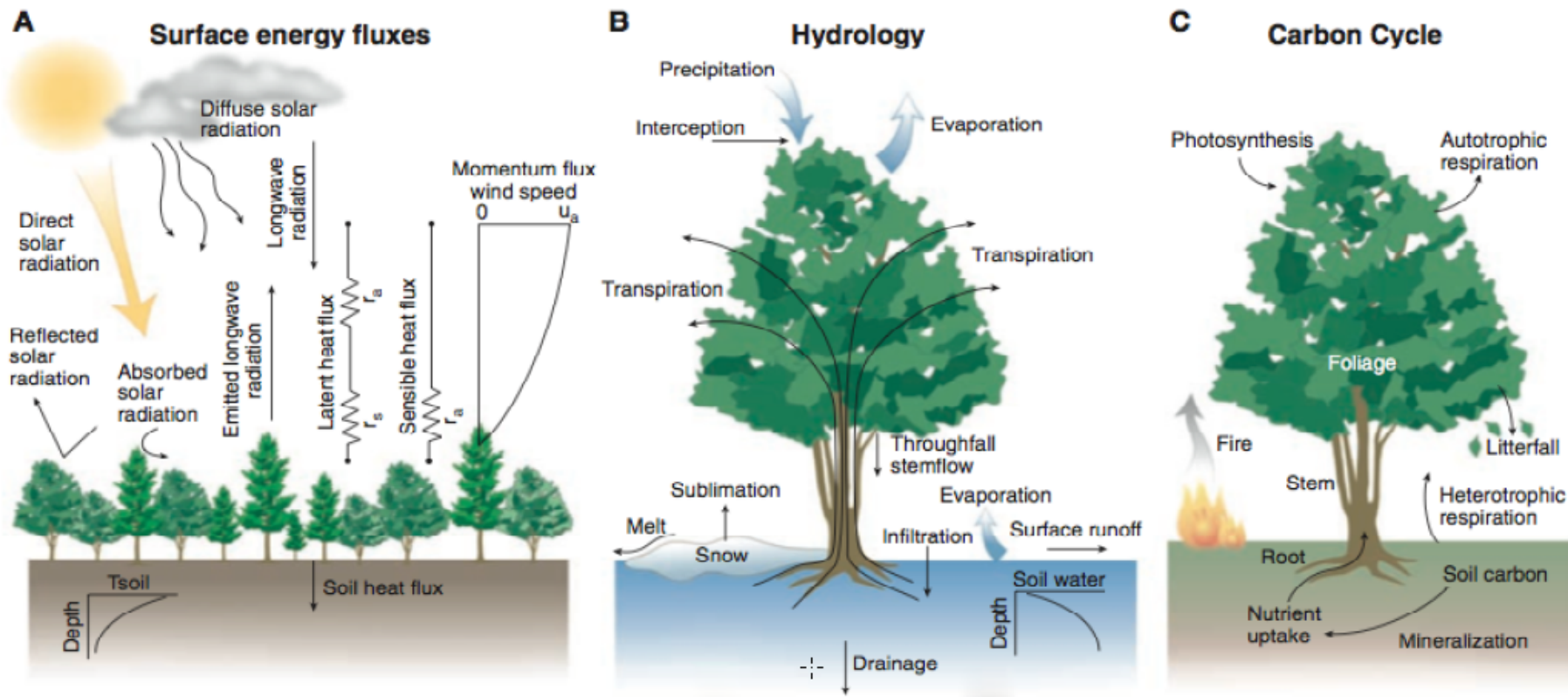


3 Physiographic Parameters

ECOCLIMAP-II Europe



4 Processes



Taken from Bonan (2008) : Fundamental mechanisms of interactions between terrestrial vegetation and climate.

4 Processes

Energy
Budget :

$$c_v \frac{\partial T_s}{\partial t} = SW_{net} + LW_{net} - H - LE - G$$

$$R_{net} = H + LE + G \quad (\text{general case})$$

Evapotranspiration
components :

$$E = E_{tr} + E_{int} + E_g + E_{sub}$$

$$E = \frac{\rho_a \beta [\alpha q_{sat}(T_s) - q_a]}{r_a}$$

Carbon (vegetation)
budget :

$$\frac{\partial b_m}{\partial t} = NPP - S$$

$$b_m = \varphi LAI$$

Water Budget :

$$\frac{\partial W}{\partial t} = P_r - E - Q_s - Q_{sb}$$

4 Processes

Energy
Budget :

$$c_v \frac{\partial T_s}{\partial t} = SW_{net} + LW_{net} - H - LE - G$$

$$R_{net} = H + LE + G \quad (\text{general case})$$

Evapotranspiration
components :

$$E = E_{tr} + E_{int} + E_g + E_{sub}$$

$$E = \frac{\rho_a \beta [\alpha q_{sat}(T_s) - q_a]}{r_a}$$

Carbon (vegetation)
budget :

$$\frac{\partial b_m}{\partial t} = NPP - S$$

$$b_m = \varphi LAI$$

Water Budget :

$$\frac{\partial W}{\partial t} = P_r - E - Q_s - Q_{sb}$$

4 Processes

Energy
Budget :

$$c_v \frac{\partial T_s}{\partial t} = SW_{net} + LW_{net} - H - LE - G$$

$$R_{net} = H + LE + G \quad (\text{general case})$$

Evapotranspiration
components :

$$E = E_{tr} + E_{int} + E_g + E_{sub}$$

$$E = \frac{\rho_a \beta [\alpha q_{sat}(T_s) - q_a]}{r_a}$$

Carbon (vegetation)
budget :

$$\frac{\partial b_m}{\partial t} = NPP - S$$

$$b_m = \varphi LAI$$

Water Budget :

$$\frac{\partial W}{\partial t} = P_r - E - Q_s - Q_{sb}$$

4 Processes

Natural Surface - Main physical options

LSM	Soil	Force restore type (analytical, calibrated using detailed model): 2-4 Layers for water (phase changes) Diffusion : multilayer (temperature, water liq and solid)
	Vegetation	Jarvis A-gs (photosynthesis and CO2 fluxes) A-gs and interactive vegetation Slow carbon processes (wood and roots), dynamics
	Hydrology	Subgrid surface runoff (Dunne & Horton, drainage) River flow, storage (& flooding) Ground water exchanges (drainage to, capillary rise from) Lakes/reservoirs
	Snow	1 layer bulk scheme, albedo, density variable or fixed 2 layer bulk-type Multilayer (3 +) albedo, density, SWE (liquid water content, grain size, historical variable..)

4 Processes : soil

Explicit soil DIffusion Option: Downgradient thermal transfer and Richard's Eq.

3 Prognostic equations: N-layers for temperature, liquid water and liquid water equivalent soil ice:

$$\begin{aligned}c_h \frac{\partial T_g}{\partial t} &= \frac{\partial G}{\partial z} + \Phi_g & G &= \lambda \frac{\partial T}{\partial z} \\ \frac{\partial w_l}{\partial t} &= -\frac{\partial F}{\partial z} - \frac{\Phi_g}{L_f \rho_w} - \frac{S_l}{\rho_w} & (w_{min} \leq w_l \leq w_{sat} - w_i) \\ \frac{\partial w_i}{\partial t} &= \frac{\Phi_g}{L_f \rho_w} - \frac{S_i}{\rho_w} & (0 \leq w_i \leq w_{sat} - w_{min})\end{aligned}$$

Total soil water

$$w = w_l + w_i$$

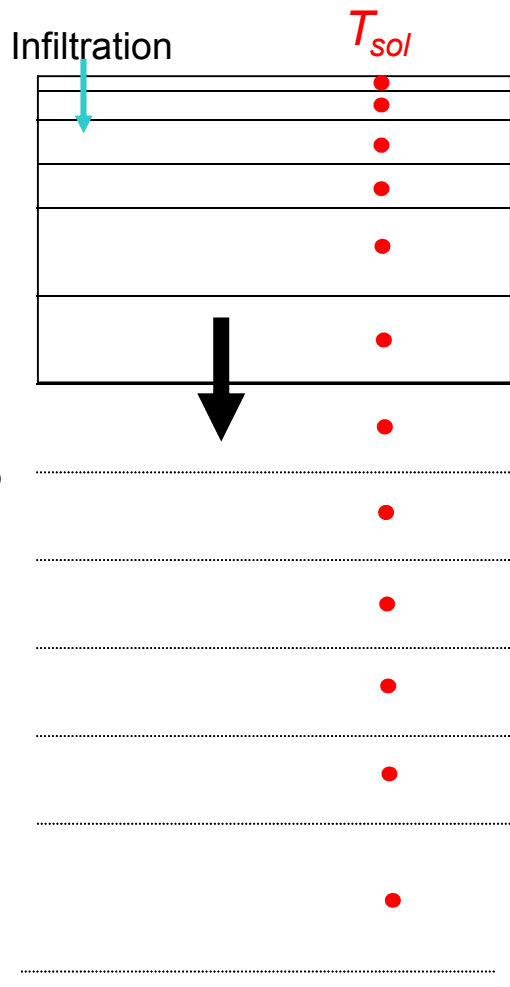


Darcy's law
(heterogenous form)

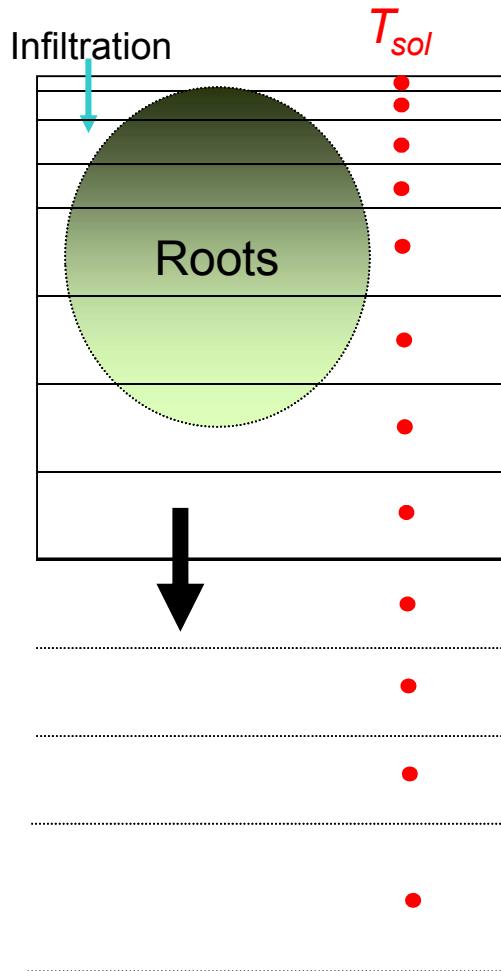
$$F = k(w) \left[\frac{\partial \psi(w)}{\partial z} - 1 \right]$$

4 Processes : soil vertical water and heat transfer

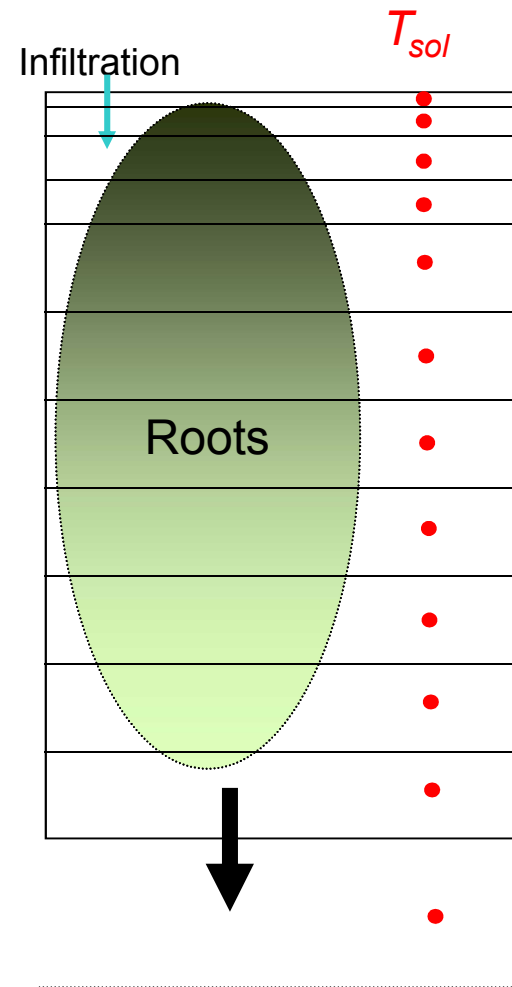
Bare soil



Crops



Equatorial Forests

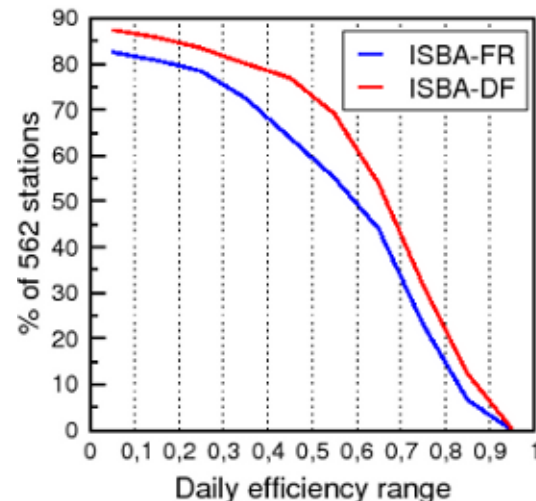
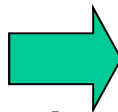


(from B. Decharme)

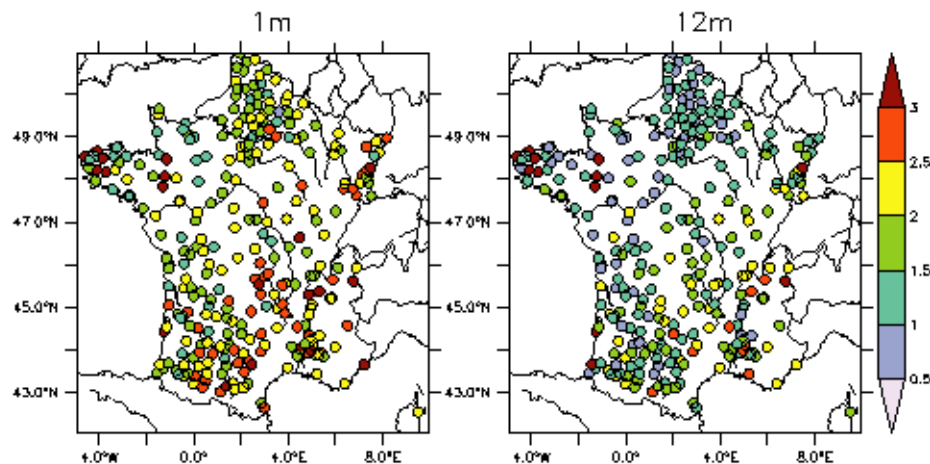
4 Processes : soil vertical water and heat transfer

Spatially distributed evaluation of DIF in terms of hydrology and soil temperature

Discharge from the SIM system, comparison between the 3-L Force Restore hydrology and 12L DIF



Soil temperature RMSE at 50cm



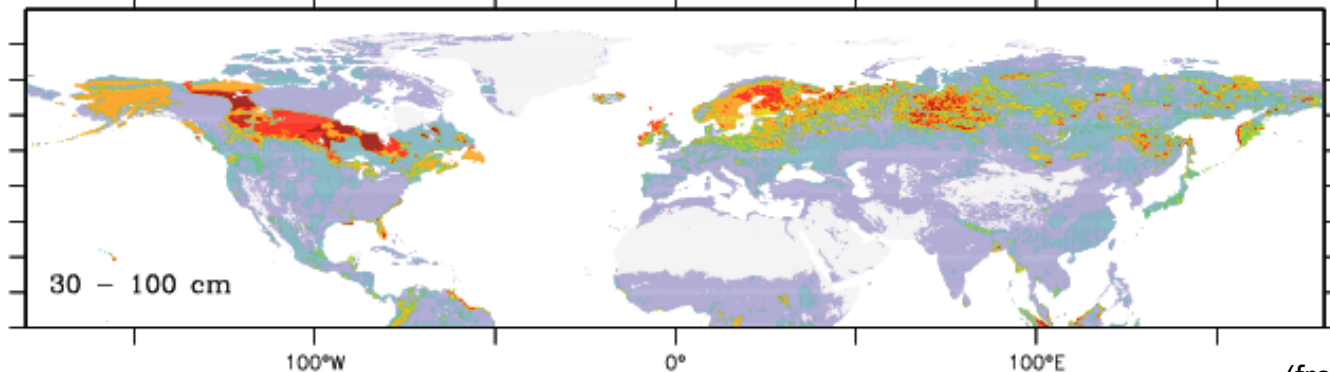
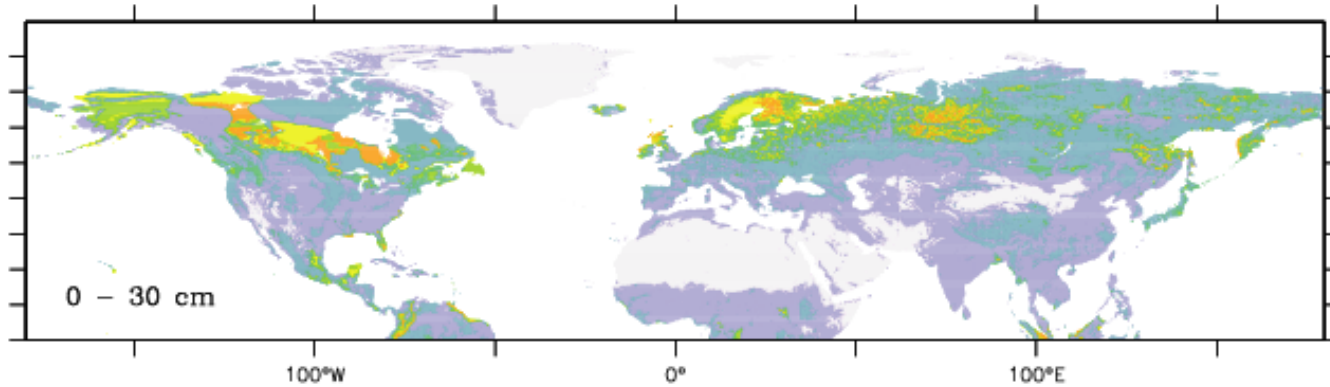
Temperature sensitivity at 50 cm to the total soil depth

B. Decharme, E. Martin



3 Physiographic Parameters

Problem: Many LSMs only account for mineral soil hydro and thermal properties while Organic Carbon is largely present high latitude regions



(from B. Decharme)



Soil Organic Carbon (SOC) content (kg.m^{-2}) build using HWSD database at 1km

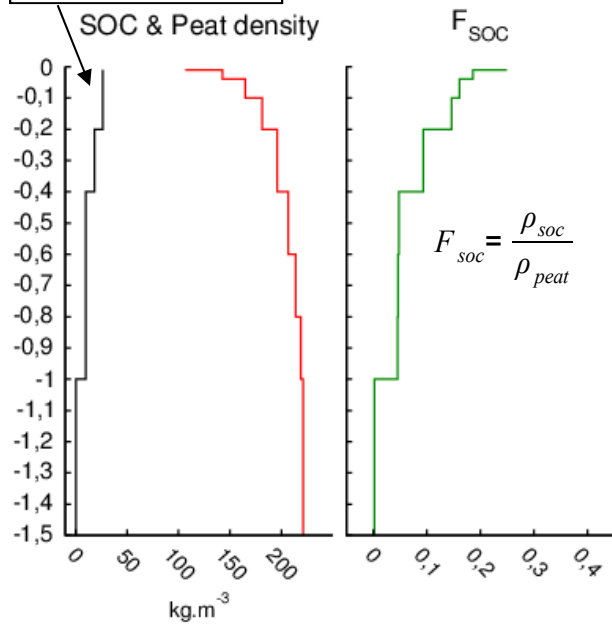
3 Physiographic Parameters

Adjustment of (mineral) soil properties using peat properties

Fraction of organic carbon in the soil (Lawrence et Slater 2008)

Mineral, Peat, and Combined Soil Properties

SOC_{top} = 10 kg/m²
SOC_{sub} = 12 kg/m²

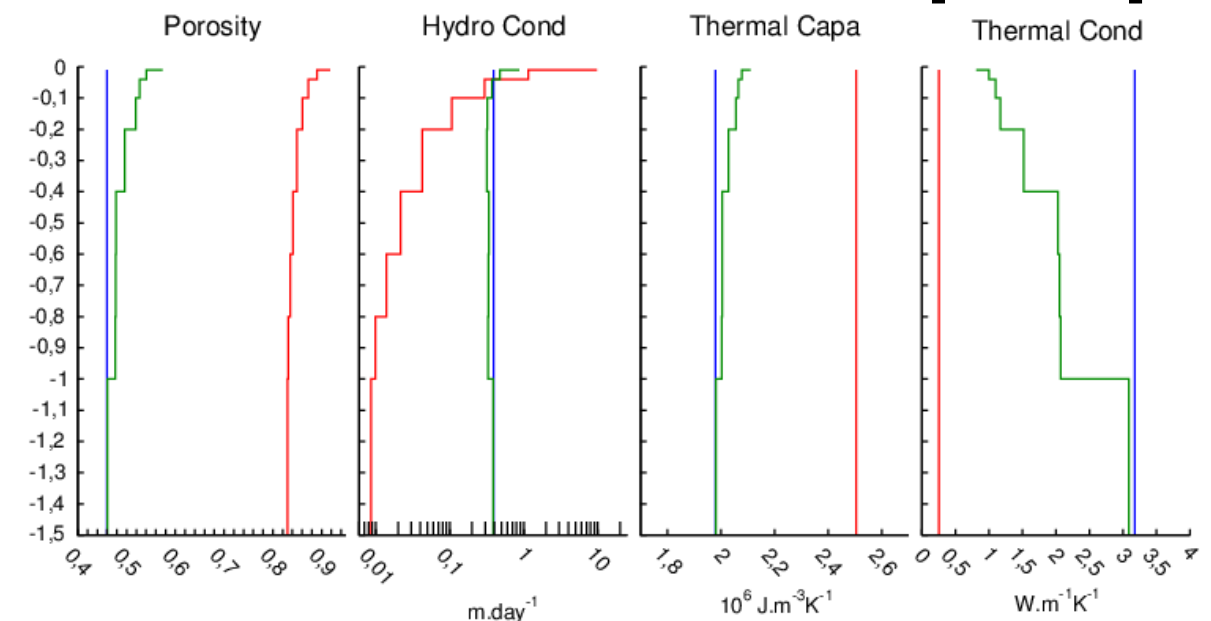


$$(1 - F_{soc})w_m + F_{soc}w_p$$

$$k_m^{(1 - F_{soc})} \times k_p^{F_{soc}}$$

$$(1 - F_{soc})c_m + F_{soc}c_p$$

$$\left[\frac{(1 - F_{soc})}{\lambda_m} + \frac{F_{soc}}{\lambda_p} \right]^{-1}$$

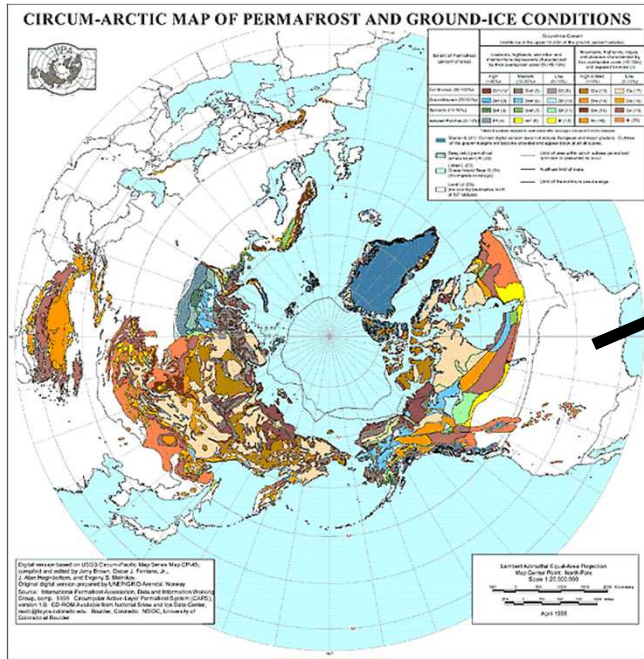


(from B. Decharme)

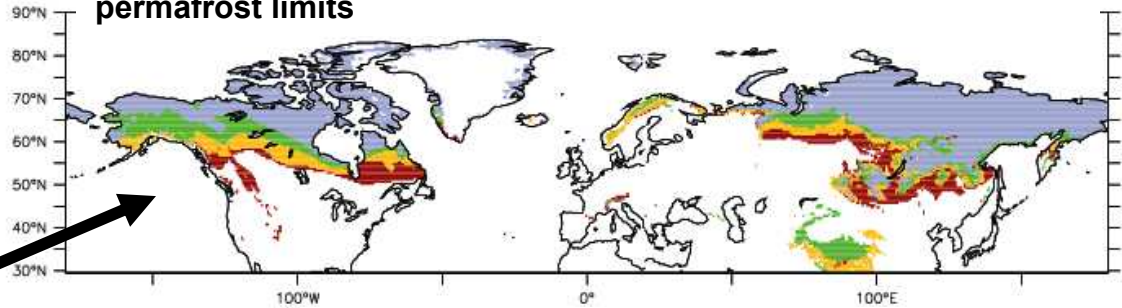


3 Physiographic Parameters

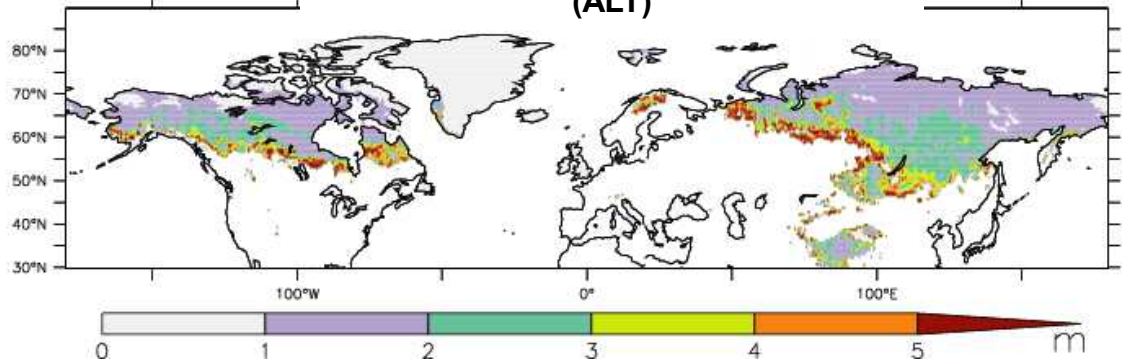
Global Scale Permafrost Simulation



Observed Continuous, Discontinuous, Sporadic and Isolated permafrost limits



Simulated Active Layer Thickness (ALT)



• Permafrost types given by NSIDC (Brown et al. 2001)

• 0.5°x0.5° simulation using WFDEI forcing 1979-2012 and ISBA (SURFEX)

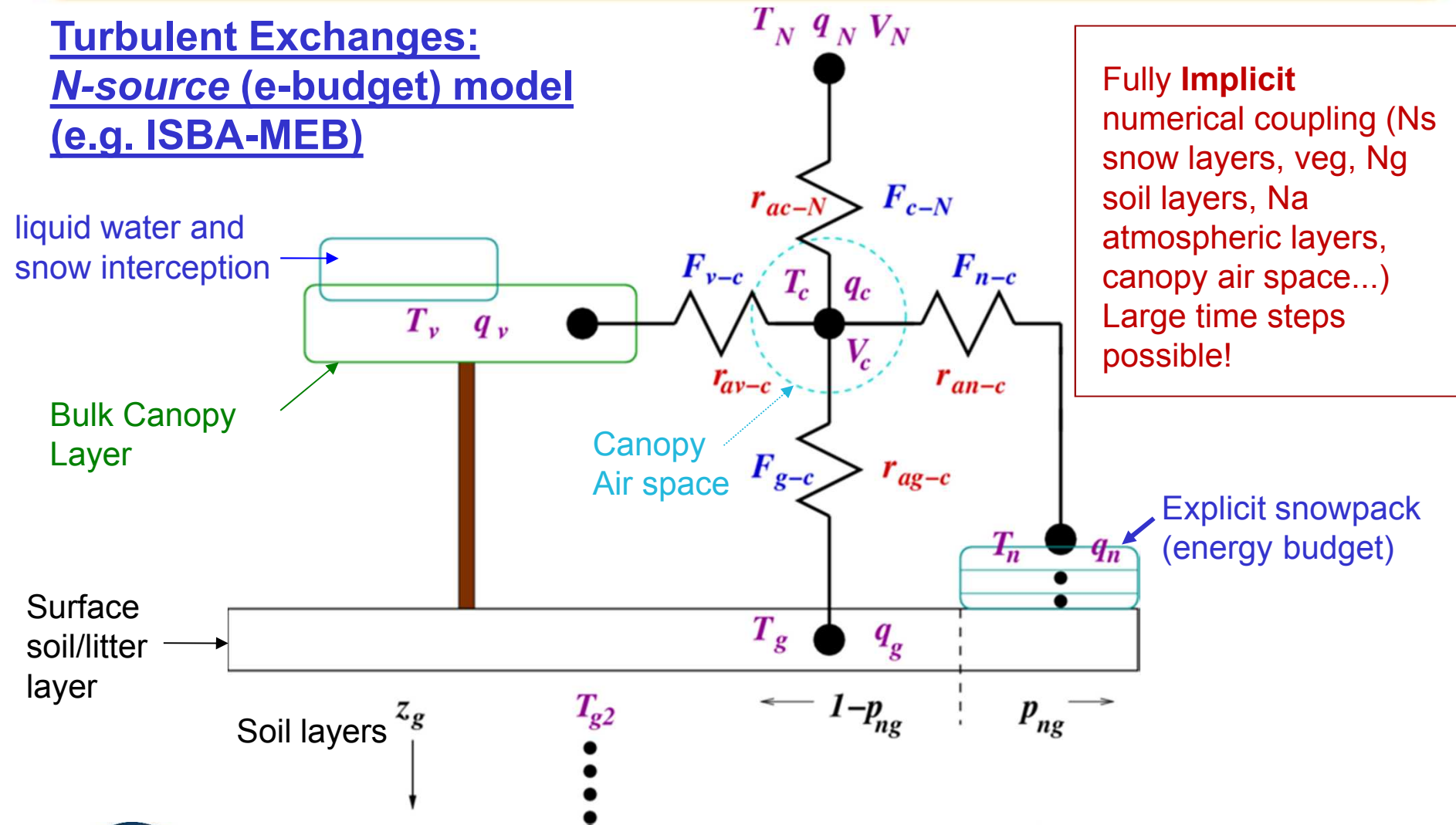
(Simulated area with an ALT < 3m can be considered as continuous permafrost)

(from B. Decharme)



4 Processes

Turbulent Exchanges: N-source (e-budget) model (e.g. ISBA-MEB)



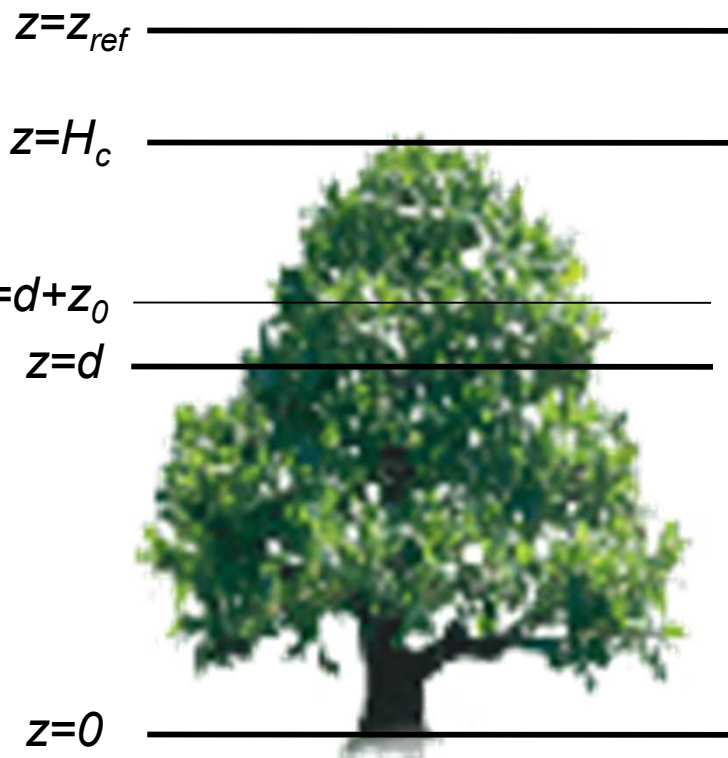
Fully Implicit
numerical coupling (Ns
snow layers, veg, Ng
soil layers, Na
atmospheric layers,
canopy air space...)
Large time steps
possible!

Explicit snowpack
(energy budget)

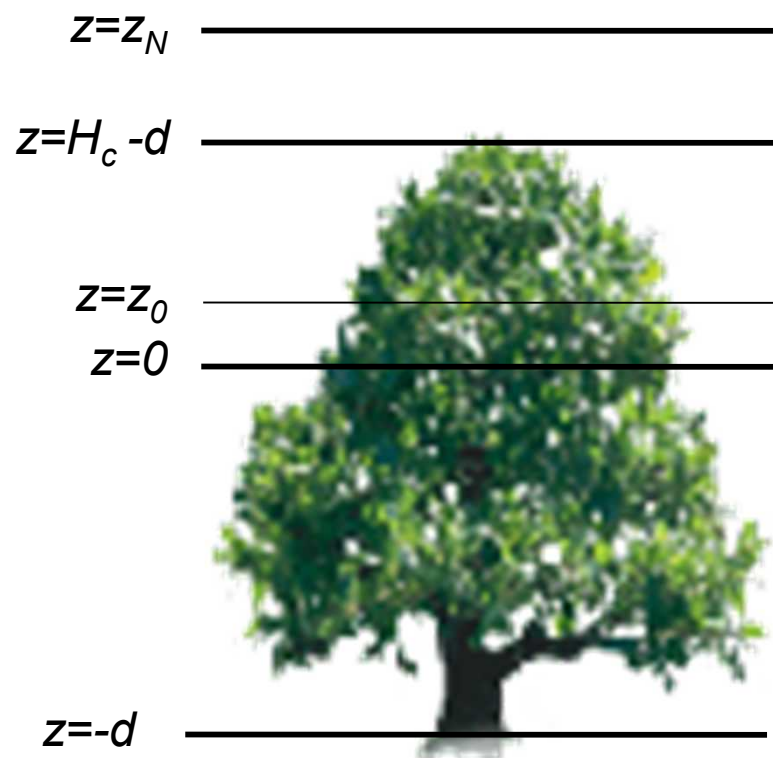


4 Processes : vegetation

→ OFFLINE



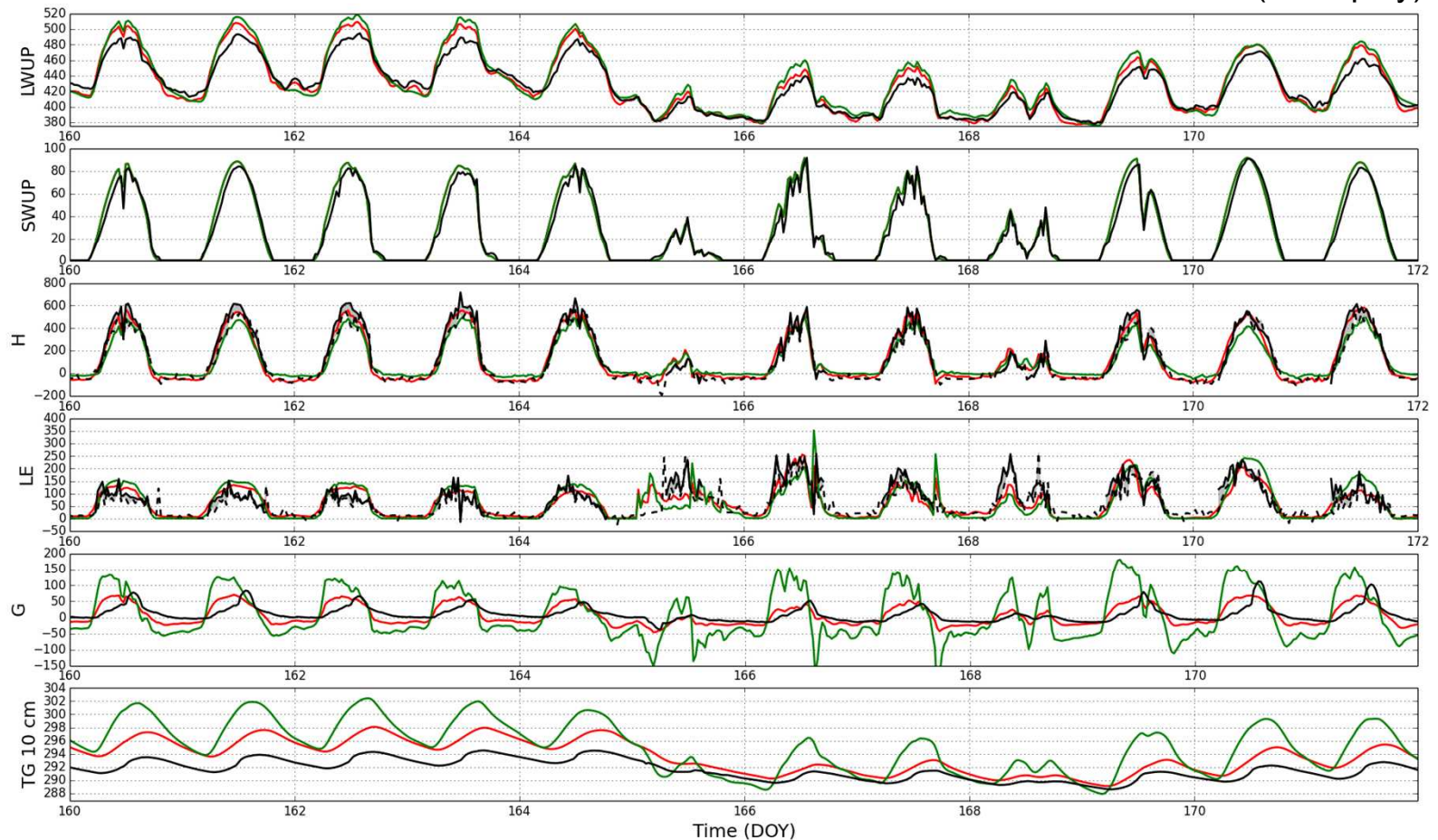
→ Coupled with atmosphere
(where $H_c > z_N$)



4 Processes : vegetation

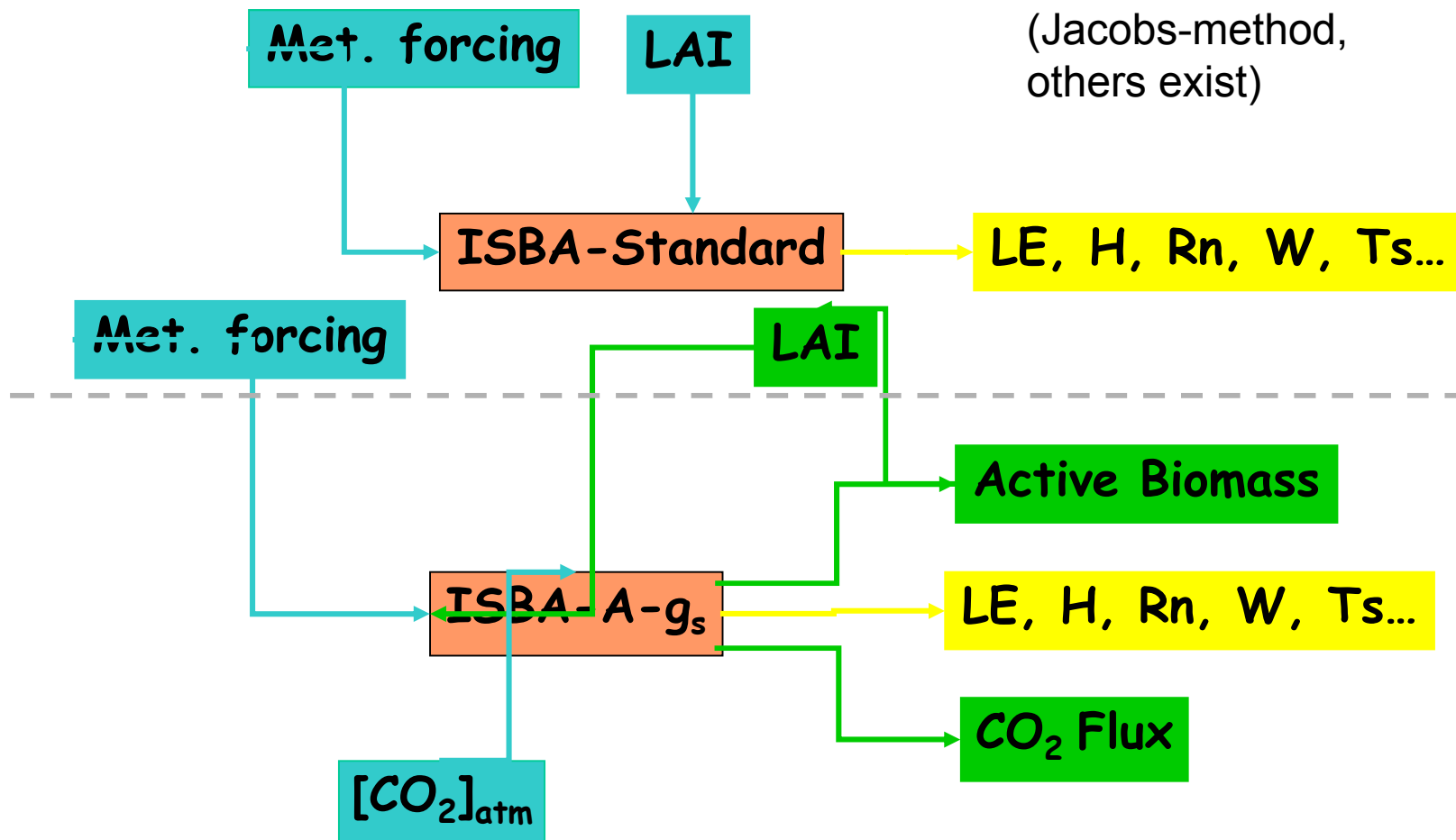
Example of changes owing to explicit vegetation and forest litter (Napoly et al., 2015) **Compsite sfc**, **Explicit canopy and litter**, Observations (in ISBA)

(A. Napoly)



4 Processes : vegetation – interactive phenology

ISBA standard vs A-gs



(Calvet et al, 1998)

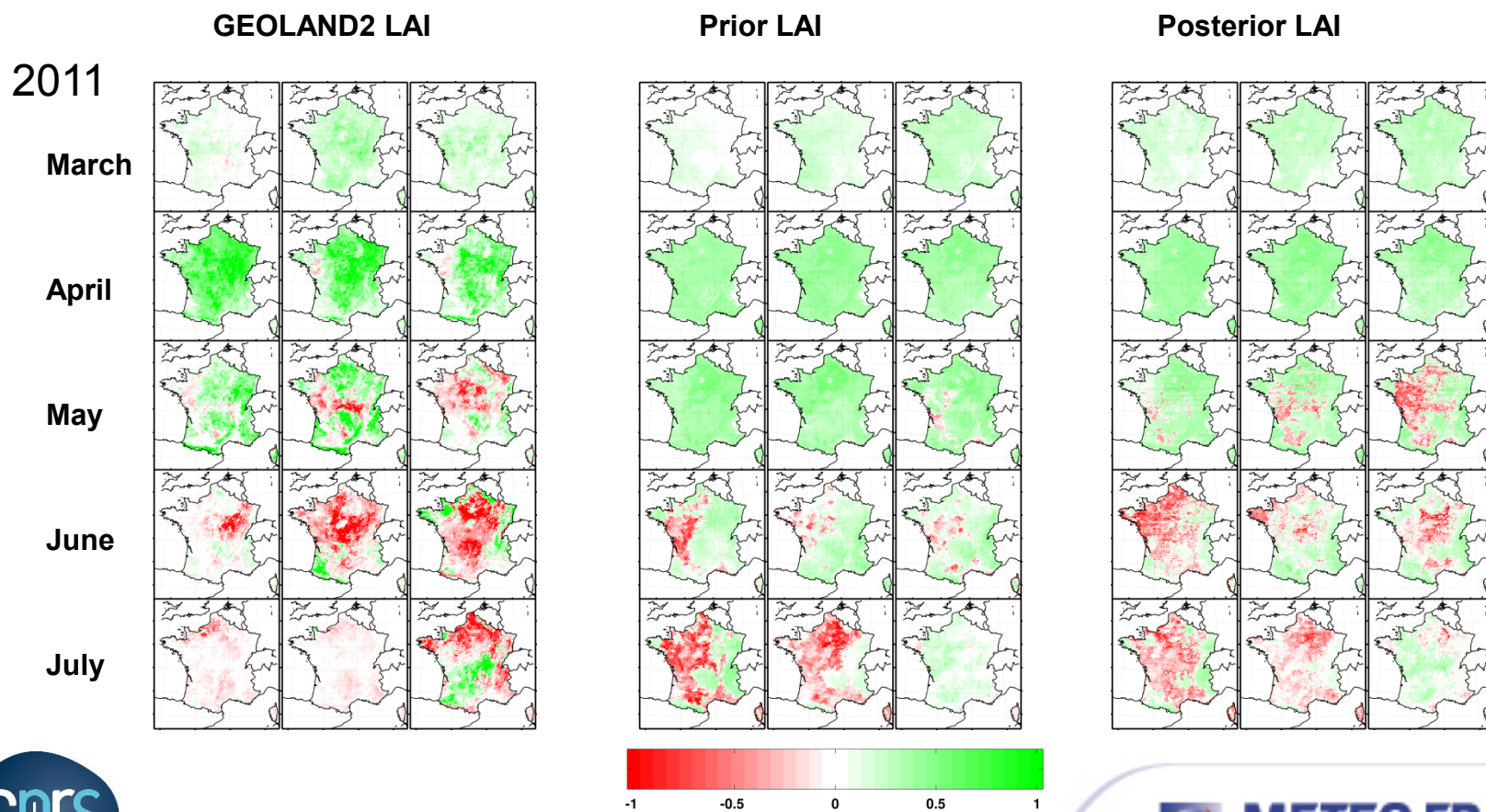
4 Processes : vegetation – interactive phenology

LDAS-France

(J-C Calvet)

Barbu et al. 2012

10-daily LAI change (m²/m²) in 2011



4 Processes : cryosphere

Snow ; Density, SWE, liq...

Enthalpy concept (Steiglitz, Loth and Graf, Sun, Boone & Etchevers etc...)

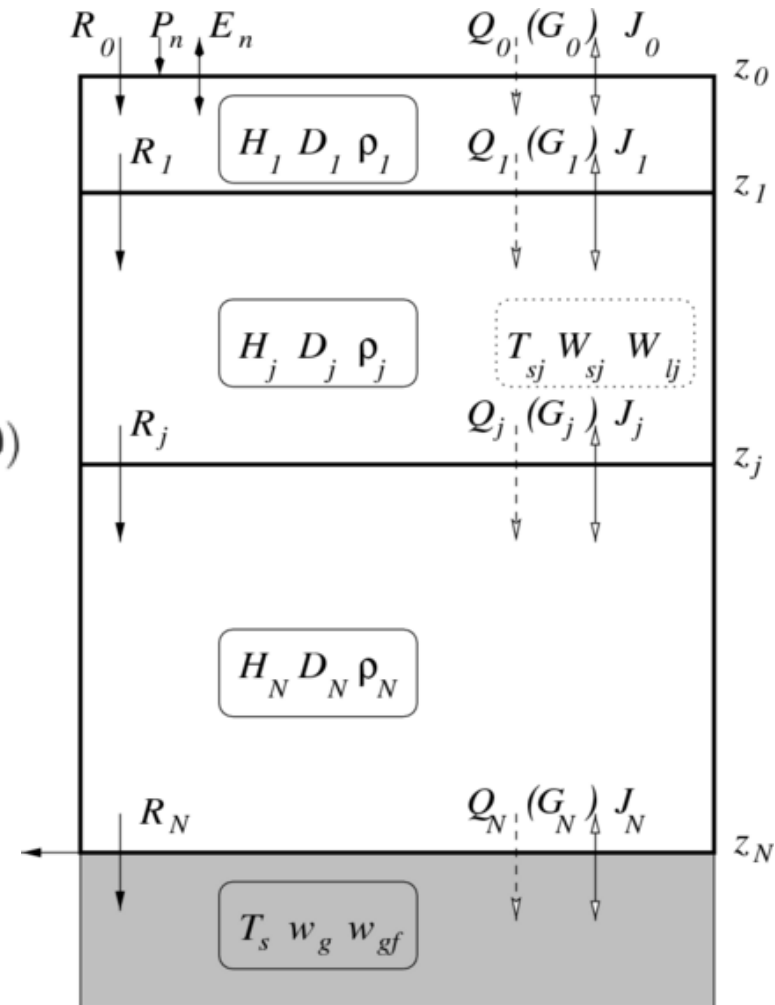
$$H_{si} = c_{si} D_{si} (T_{si} - T_f) - L_f (W_{si} - W_{li})$$

2 prognostic variables for the price of 1

$$T_{si} = T_f + (H_{si} + L_f W_{si}) / (c_{si} D_{si}) \quad (W_{li} = 0)$$

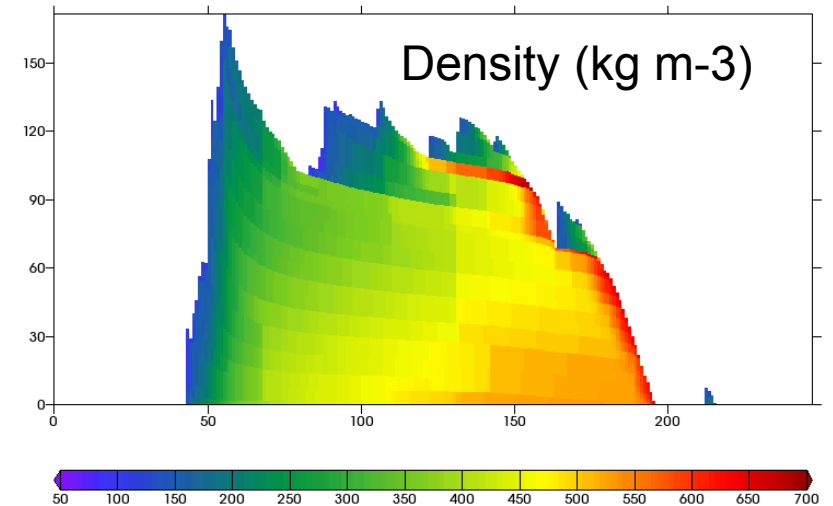
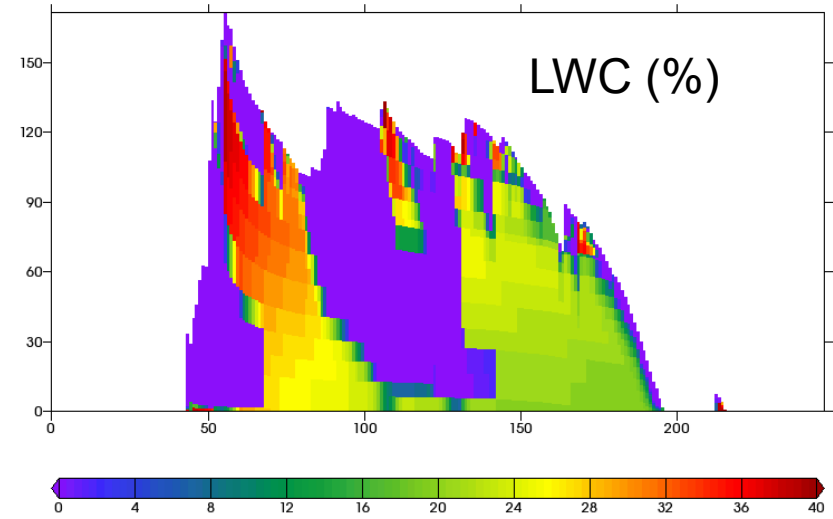
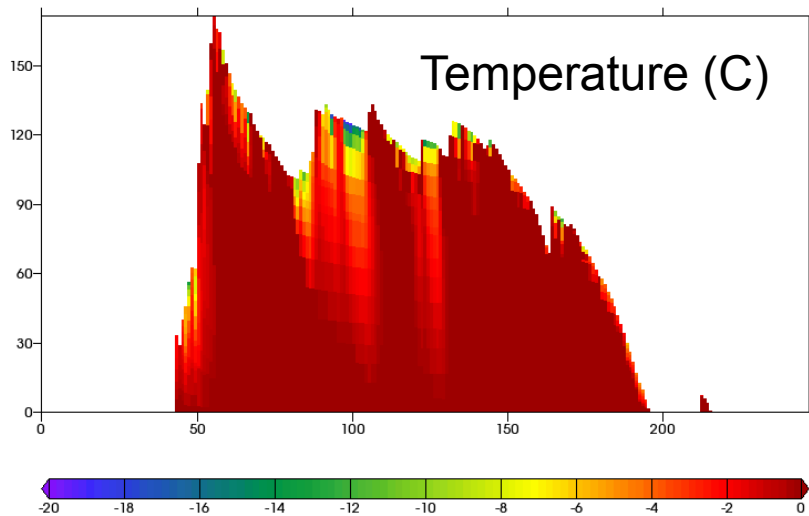
$$W_{li} = W_{si} + (H_{si} / L_f) \quad (T_{si} = T_f)$$

- Refreezing of snowmelt (important for near sfc hydrology and for lower atmos/sfc at night)
- Compaction (density/depth)
- Numerical resolution (strong gradients)
- Explicit interception by canopy, unloading



Longstanding ISSUE :
Snow fraction !!!

4 Processes : cryosphere



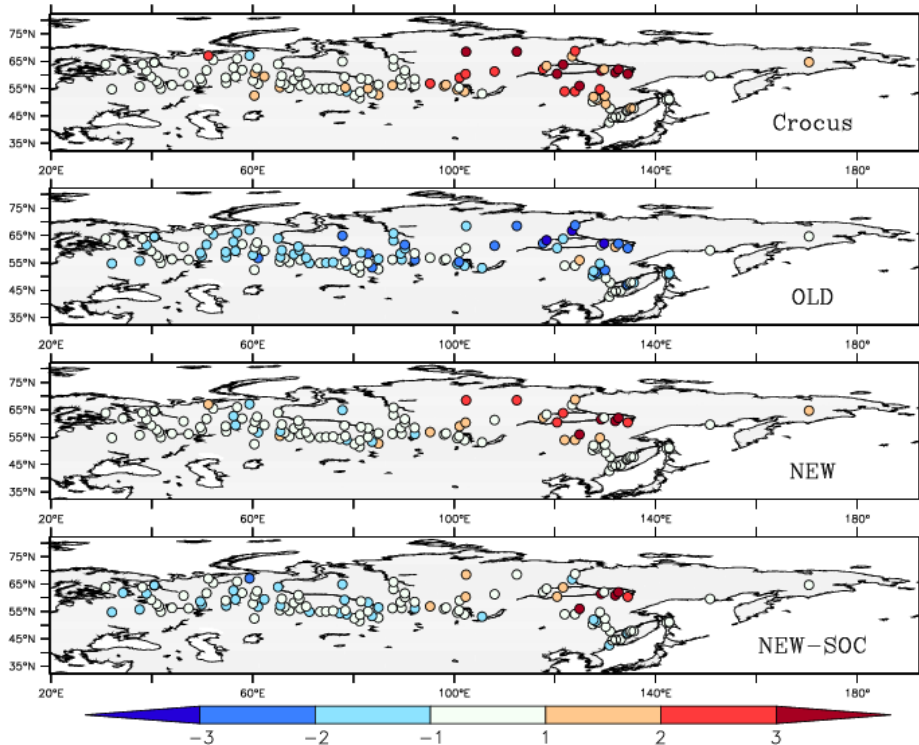
Profile – Simulations for Col de Porte

- Annual cycle
- by Eric Brun, using ISBA-ES with 10 layers (V. Vionnet)

4 Processes : cryosphere

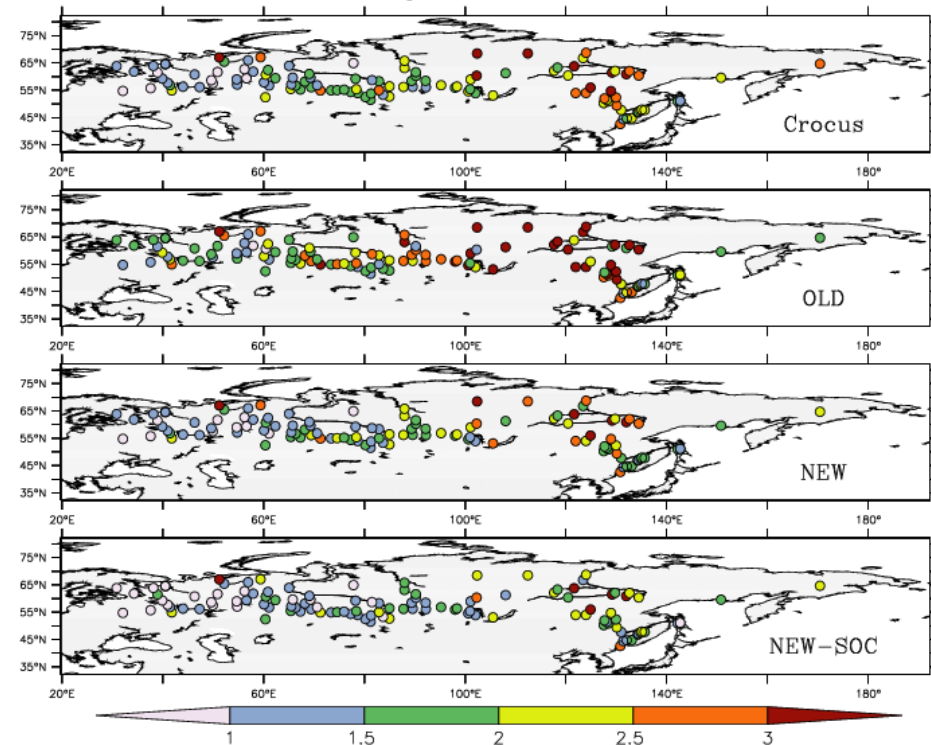
Soil Temperature at -20cm: global statistics over 1979 – 1993 (WFDEI forcing)

Soil Temperature bias at 20 cm



bias

Soil Temperature rms at 20 cm



rms of anomalies

(from B. Decharme)

4 Parameterizations : sub-grid hydrology

LSMs simulate this :
Vertical transfers over a
homogeneous (possibly
tiled) soil



We need to simulate this :
Complex landscape at various
resolutions (from 100m to
100 km)

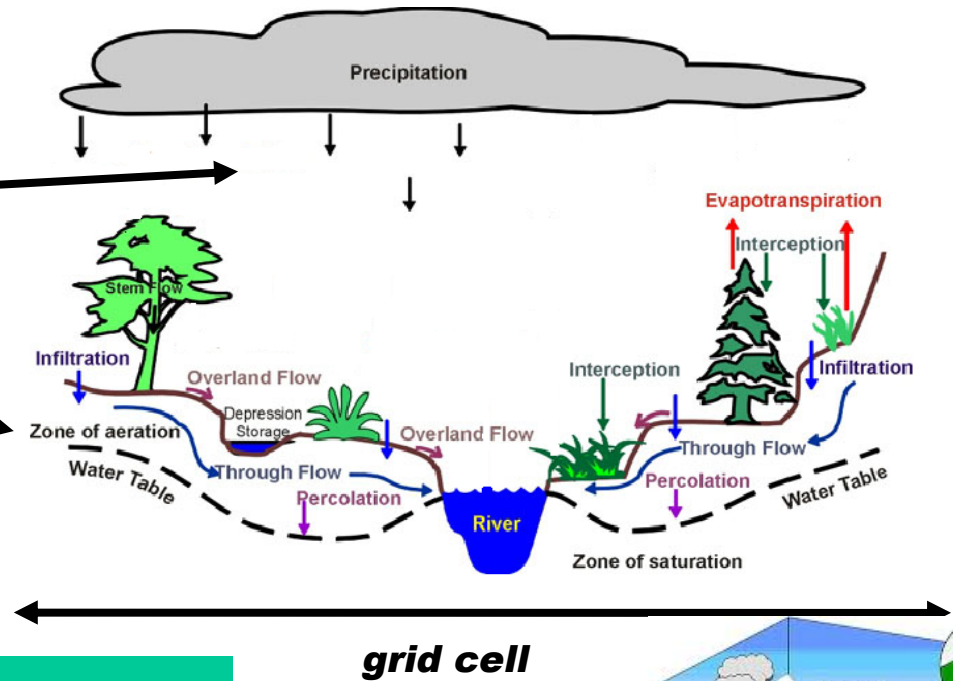


> Subgrid hydrology
accounts for
heterogeneity in a cell

4 Parameterizations : hydrology

Spatial variability of hydrologic processes :

- *Precipitation*
- *Topography*
- *Soil properties*
- *Vegetation (Tiles)*

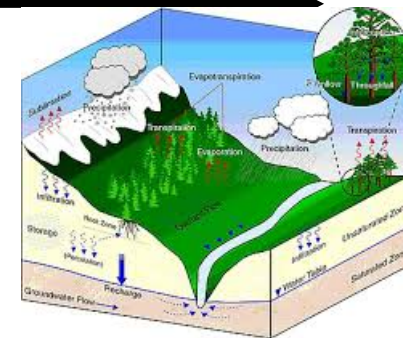


1) Vertical transfers

- Exponential profile of k_{sat} with soil depth
- Subgrid drainage

2) Horizontal heterogeneity

- Tiling
- Subgrid precipitation and runoff (Horton and Dune) using PDFs

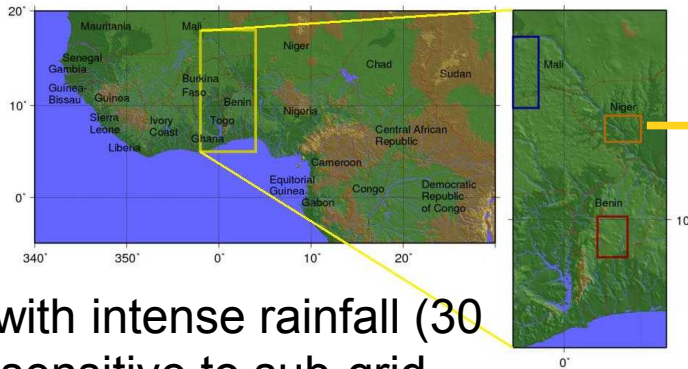


Especially relevant for large (global) and/or regional applications

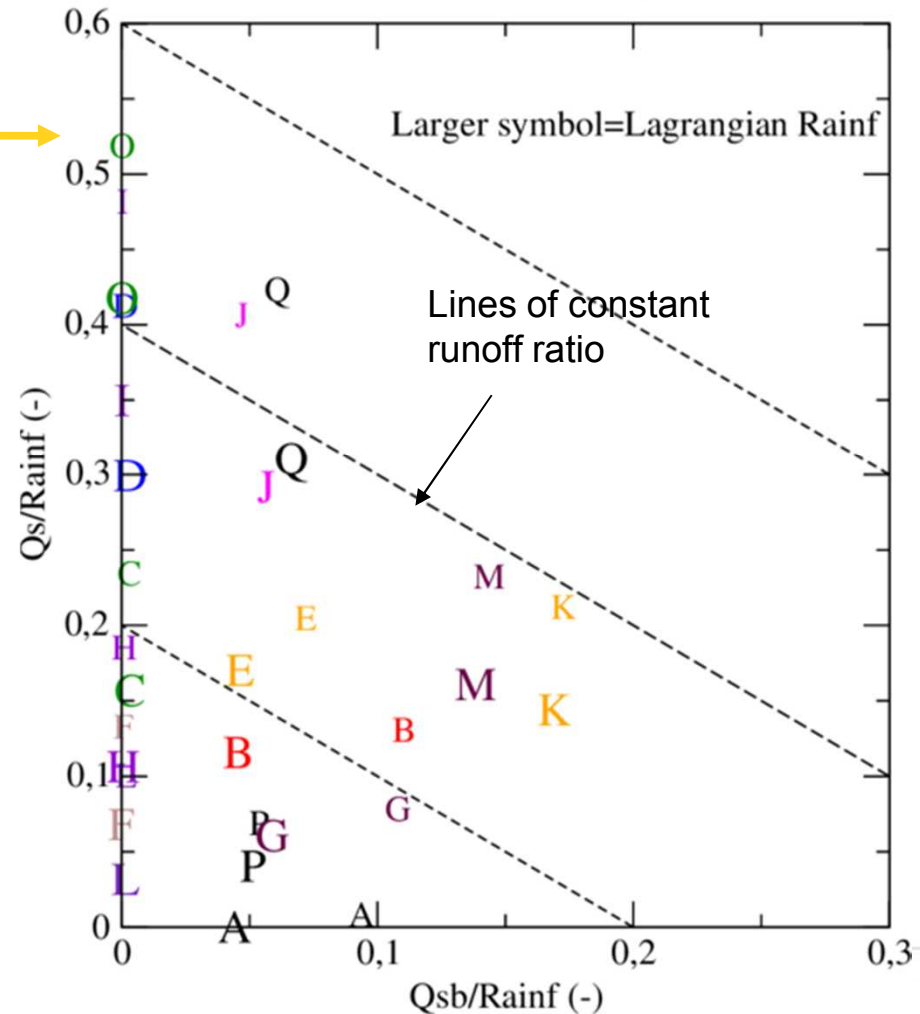
4 Parameterizations : hydrology



ALMIP AMMA Land Surface Model Intercomparison Project



Niger
(domain+time AVG)



Semi-arid with intense rainfall (30 min)- very sensitive to sub-grid parameterization of runoff !

Distinction between Q_s and Q_{sb} is important :

Q_s =fast (correlated with rainfall)
generally can't be recycled !

Q_{sb} =slow

(after E_t and E_g extraction, vertical flow...after recycling)

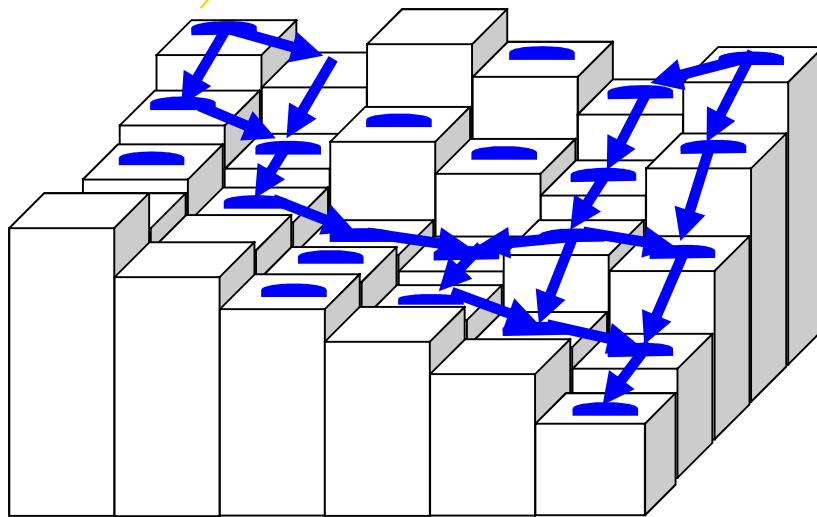


4 Parameterizations : hydrology – lateral flow

Overland Flow Processes in Noah-Router

(NCAR Tech Note: Gochis and Chen, 2003)

IF (Surface Head > Retention Depth) →
Route Water as Overland Flow



2-Dimensional
Diffusive Wave
Overland Flow Routing
Ogden, 1997

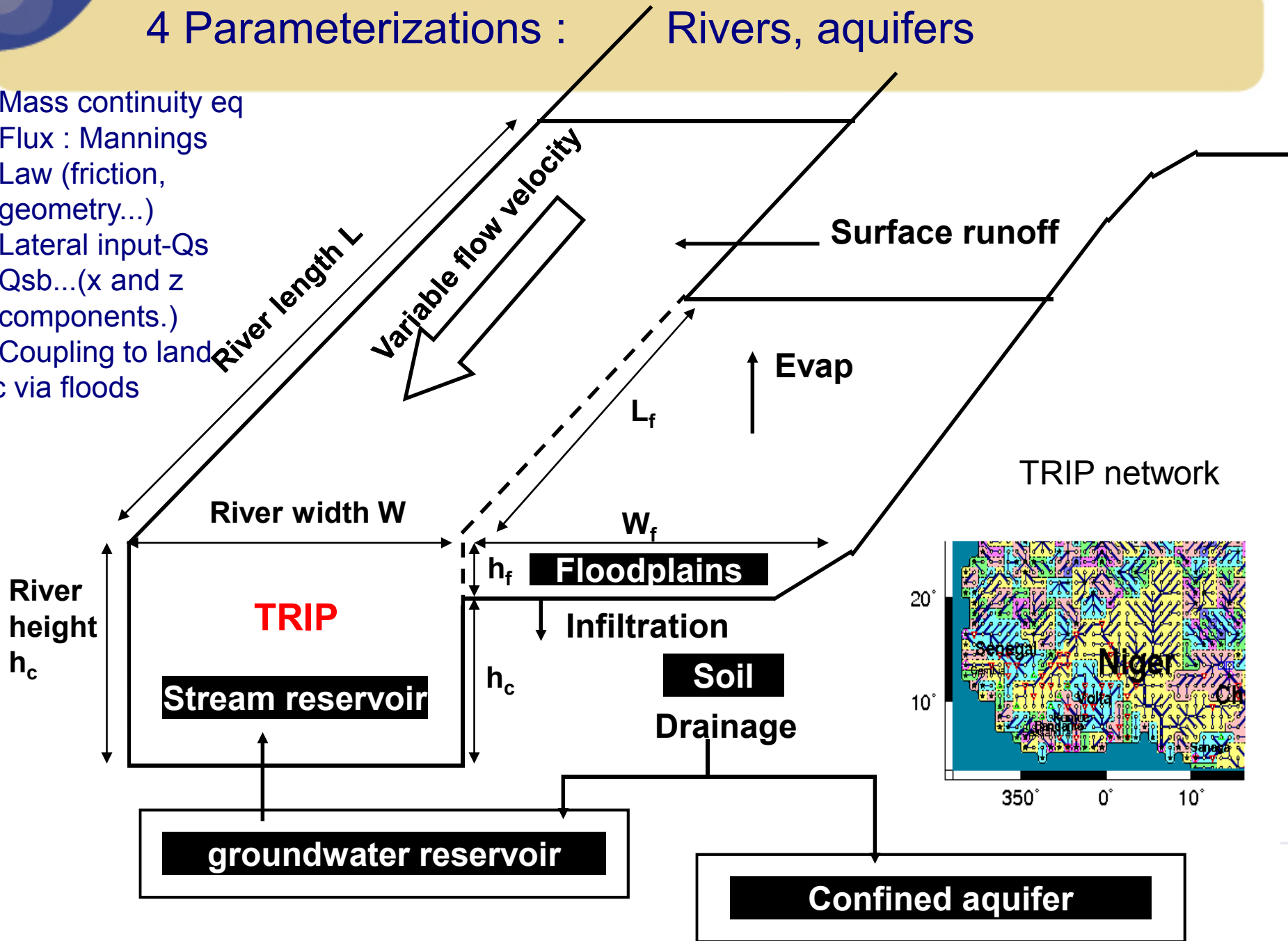
- **New Parameters: retention depth, surface roughness**
- **Ponded water in excess of retention depth subject to overland flow**
- **Overland flow: fully-unsteady, explicit, finite-difference, 2-dimensional diffusive wave (generally applicable to length scales < 1km)**

$$dhdx = (h_{i+1,j} - h_{i,j}) / gsize$$

$$sfx = SOX_{i,j} - dhdx + 1E-30 \longrightarrow q_x = \frac{(sfx / ABS(sfx)) \alpha h^{5/3} dt}{dx}$$

4 Parameterizations : Rivers, aquifers

- Mass continuity eq
- Flux : Mannings Law (friction, geometry...)
- Lateral input- Q_s
- $Q_{sb}...$ (x and z components.)
- Coupling to land sfc via floods



4 Parameterizations : lakes

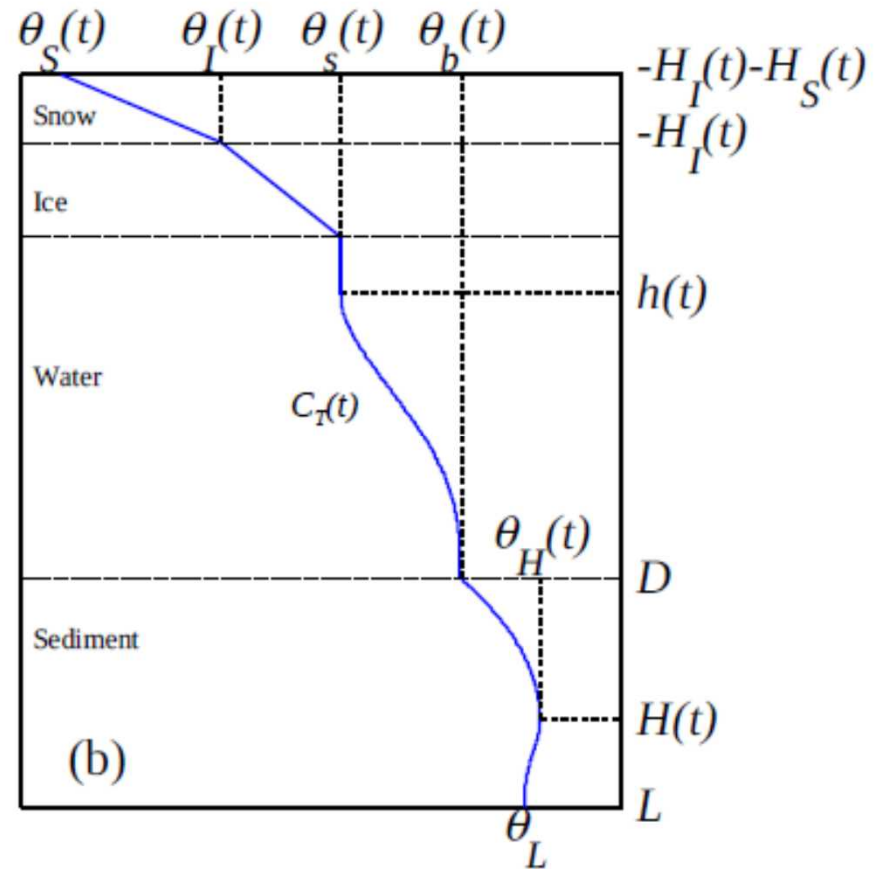
Problem : Small to medium sized or shallow lakes can have significant diurnal Ts ranges, thus impacting the PBL on NWP timescales

Flake-a 2 layer model with a parameterized vertical T profile (ideal for NWP- used by DWD, ECMWF, HIRLAM...)

Issues/Challenges :

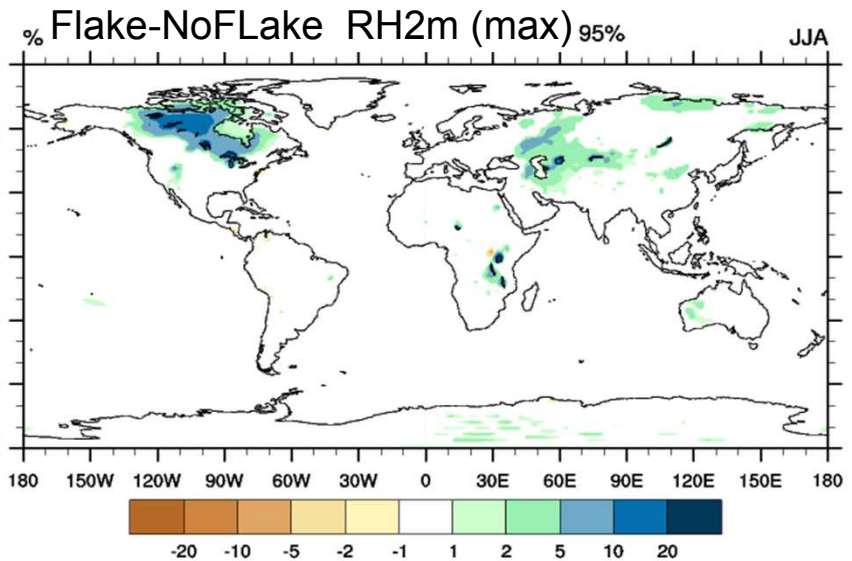
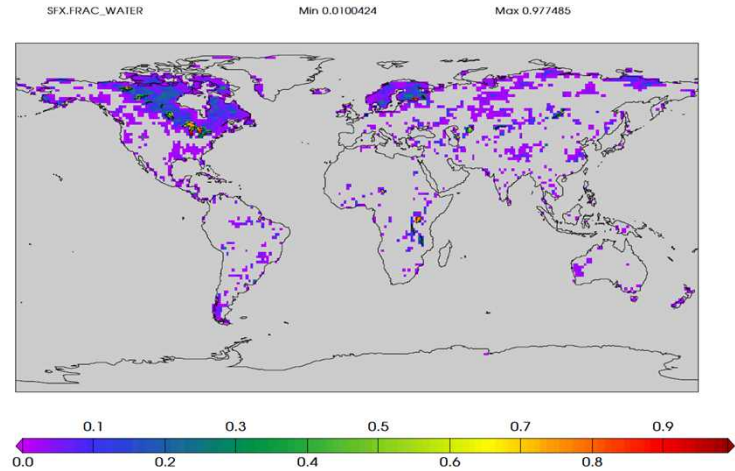
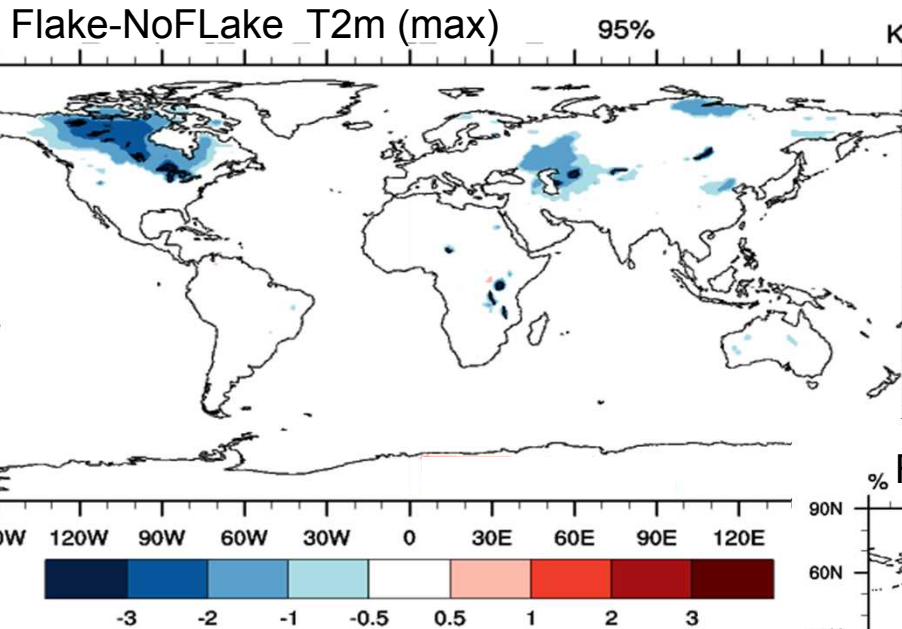
- lake fraction
- lake depth (critical, yet difficult to determine)
- wind (fetch) issues – z0
- transmission (optical parameters), sediment layer

Flake (D. Mironov, 2003)



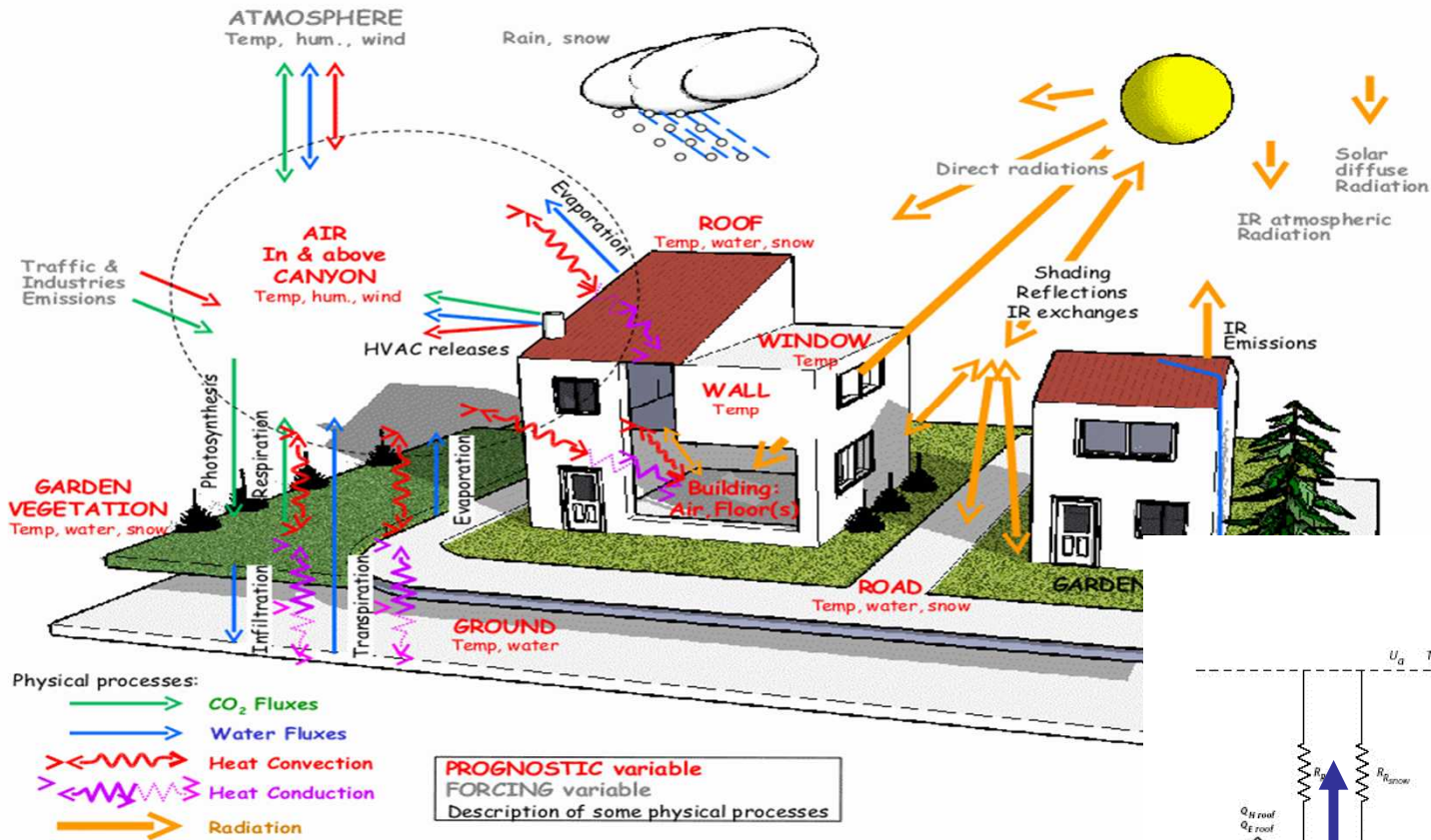
4 Parameterizations : lakes

Summertime cooling effect of lakes : JJA maximum T2M (ARPEGE-GCM)

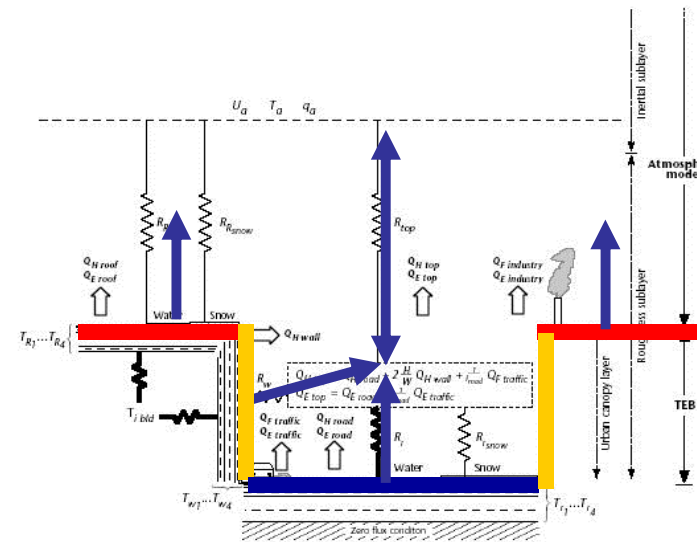


- 1971-2010 – T127 (1.5° at Eq.)
- 2 configs : without (old) & with FLake

4 Parameterizations : Urban areas



(example from TEB, Masson et al.,)



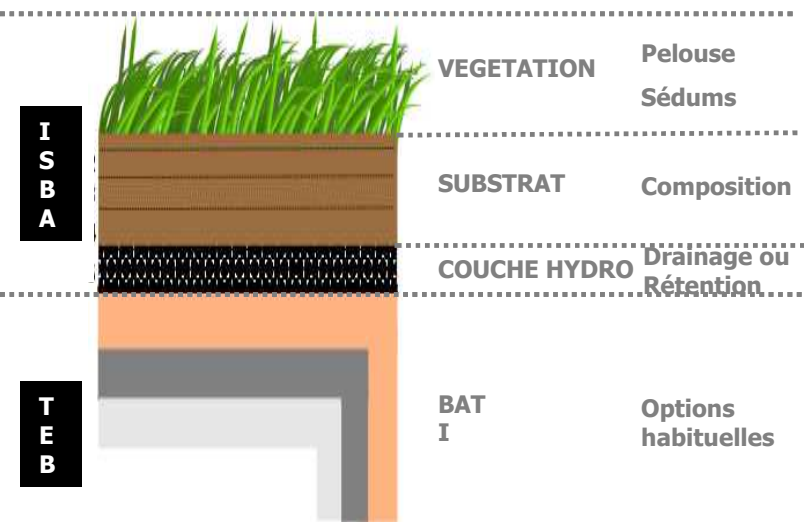
4 Parameterizations : Urban areas

Urban vegetation

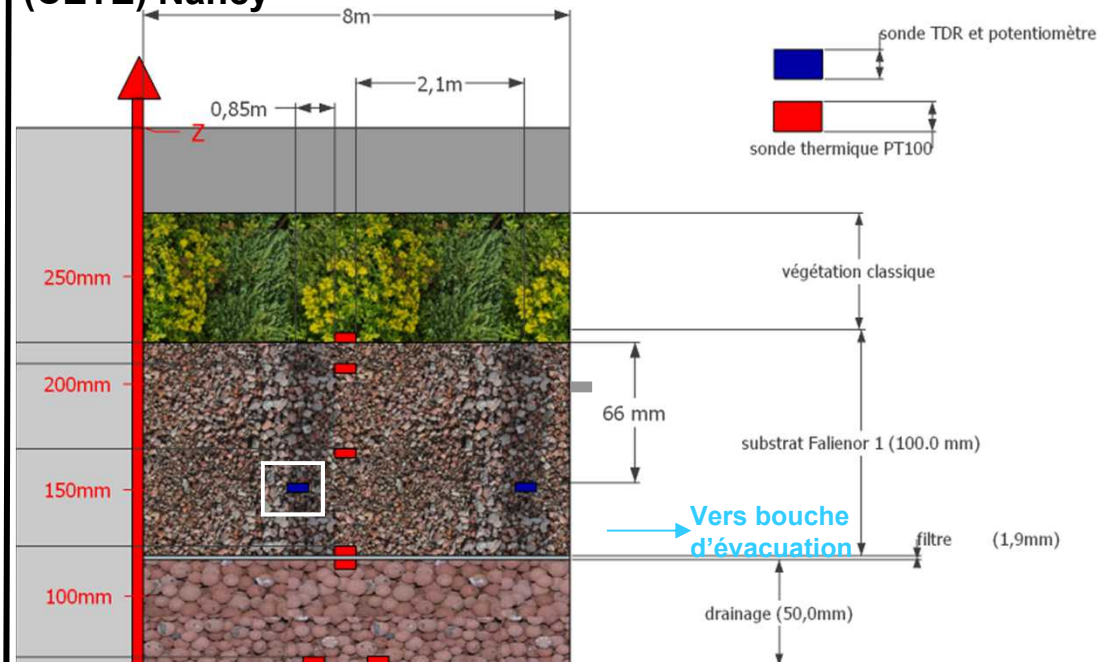
- 1) **Gardens** (Lemonsu et al 2012)
Radiative interactions between walls/gardens
Interactions with the micro-climate in the canyon
- 2) **Vegetated Rooftops** (De Munck et al 2013)
Climate change adaptation (urban areas)

Implementation of ISBA on roofs of TEB

- Some articulier processes :
sedum, substrate, sol de 10cm...



(CETE) Nancy



- water contents
- temperatures at different levels
- rooftop discharge

5 Summary : Issues and future work

Need for improved representation of Anthropization

Hydrology – dams, irrigation, reservoirs (lakes, but with volumes!) For a closed water balance, irrigation sources must be tracked (rivers, reservoirs, ground water)

Crops – harvest schedules, for different types

Carbon dynamics

Photosynthesis (many parameters/degrees of freedom !)

Plant phenology, senescence (feedbacks possible, inter-model var)

Cryosphere

Snow fraction - Orography links ? Hysteresis (density or aging)

Coupling ? (ESM-SnowMIP)

Soil freezing (highly grid res dependent!). Permafrost (highly dynamic lakes, bogs in high latitudes, emissions...)

Extremely Stable surface layers (snow, but not exclusively)

Ice sheet hydrology (Greenland...)

Still serious issues with Bowen ratio (vegetation but mostly soil moisture)

Most problematic in semi-arid/stressed areas (most strongly coupled and social implications)

Moving to higher Resolution

Horizontal : More « scalable » parameterizations needed...lateral soil moisture, radiative effects...

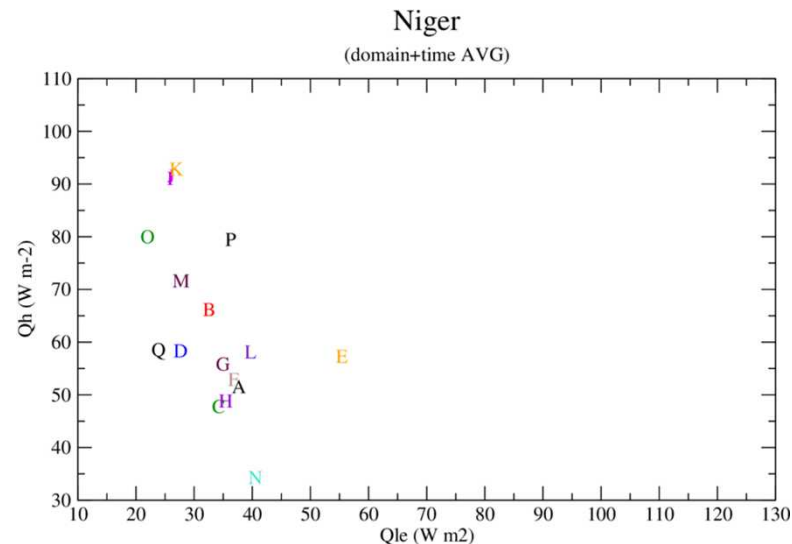
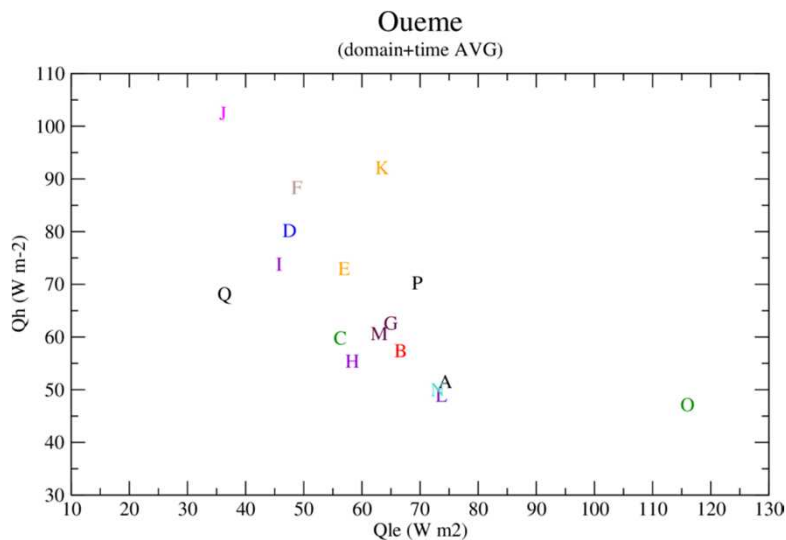
Vertical : lowest atmospheric model layer conundrum (higher res, taller objects)

Not very consistent treatments yet :

- Aerosols
- Dynamic vegetation (PFT distributions)
- Biogeochemistry



ALMIP AMMA Land Surface Model Intercomparison Project



Rnet partitioning into Qh, Qle :

- Relatively large scatter, especially in terms of Qh
- Rnet decreases as move N, Qh → Rnet as move N, but LSM relative position same, also inter model var > inter-annual var

