

# The Role of Soil Moisture in Land-Atmosphere Interactions

**Z. (Bob) Su**

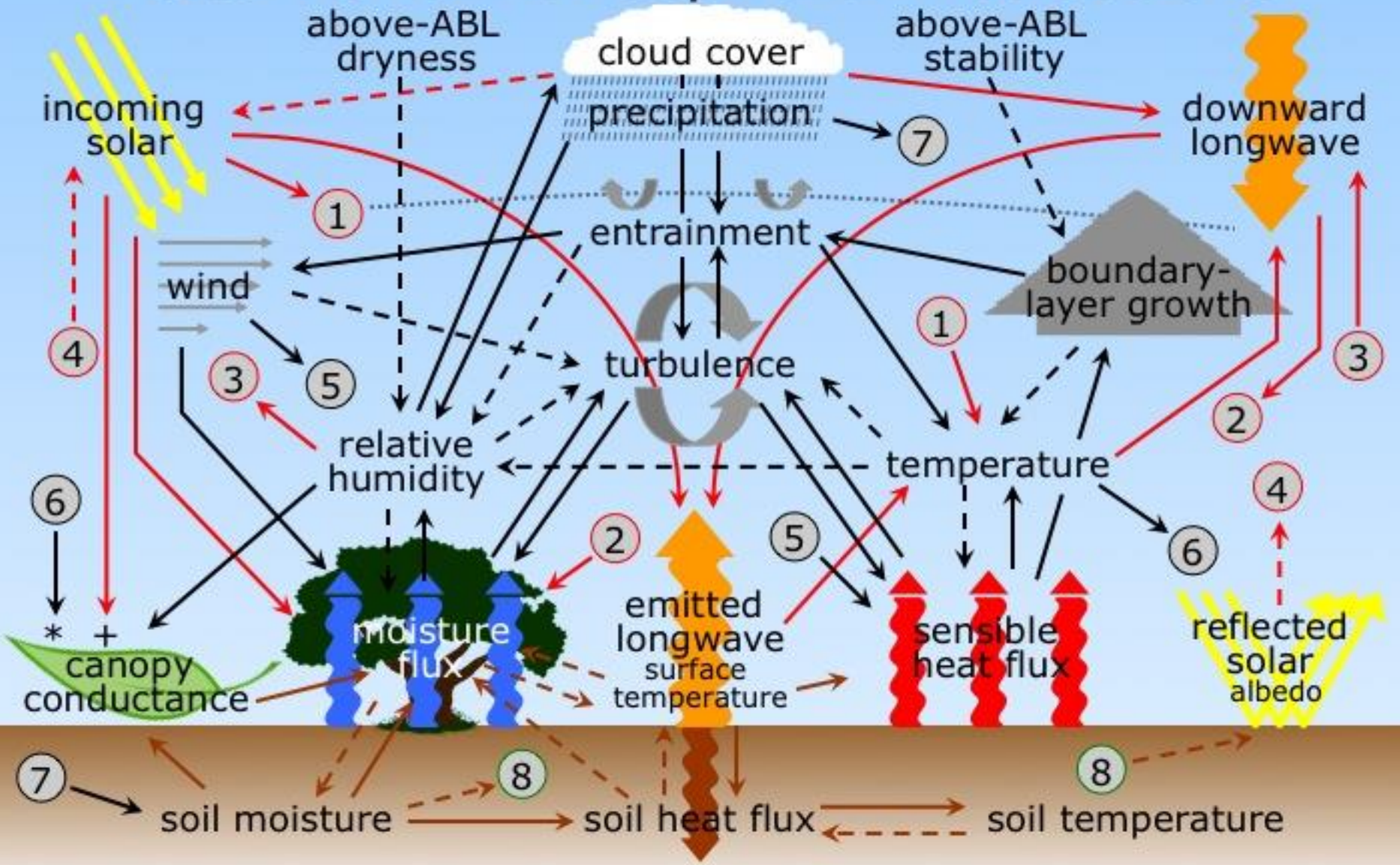
z.su@utwente.nl

[www.itc.nl/wrs](http://www.itc.nl/wrs)

with contributions by: R. van der Velde, Y. Zeng,  
D. Zheng, L. Dente, S. Lv, X. Chen

in collaboration with: P. de Rosnay, G. Balsamo, Y. Ma, J. Wen, M. Ek

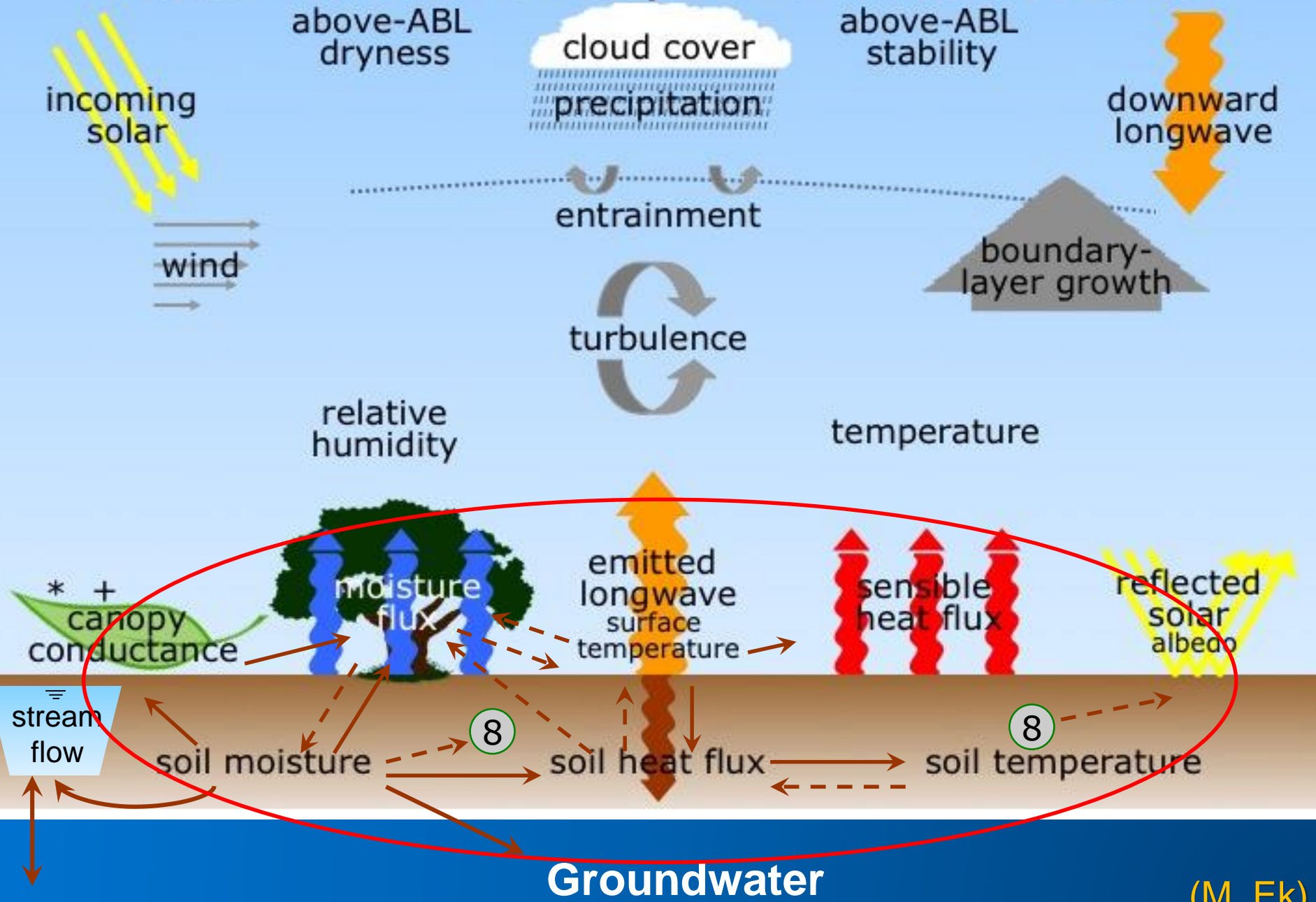
# Local Land-Atmosphere Interactions



→ radiation    → surface layer & ABL    → land-surface processes    → feedbacks:  
 + positive feedback for C3 & C4 plants, negative feedback for CAM plants    → positive  
 \* negative feedback above optimal temperature    - - - → negative (M. Ek)



# Local Land-Atmosphere Interactions



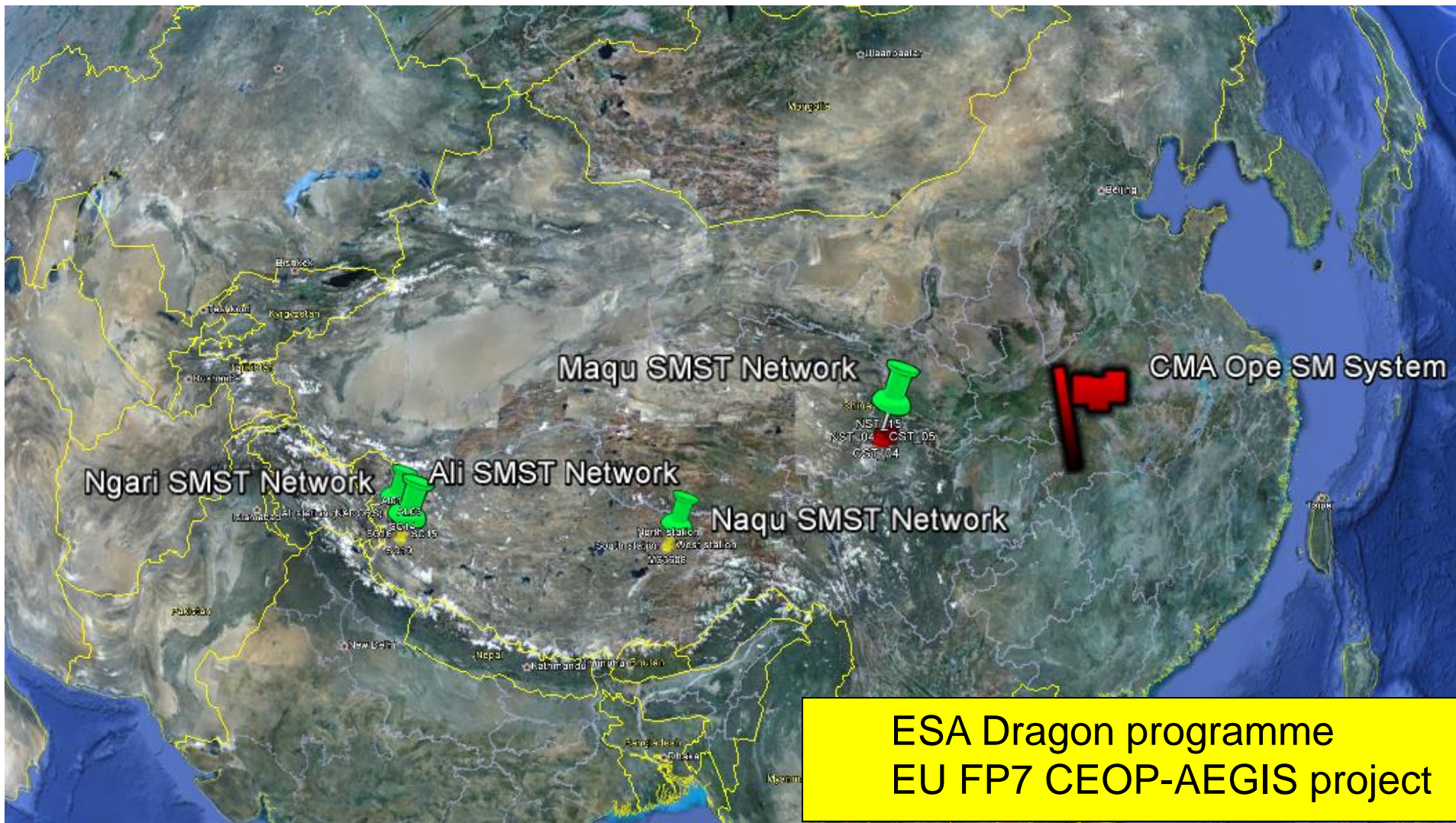
(M. Ek)

# ITC GEO Soil Moisture Soil Temperature Networks



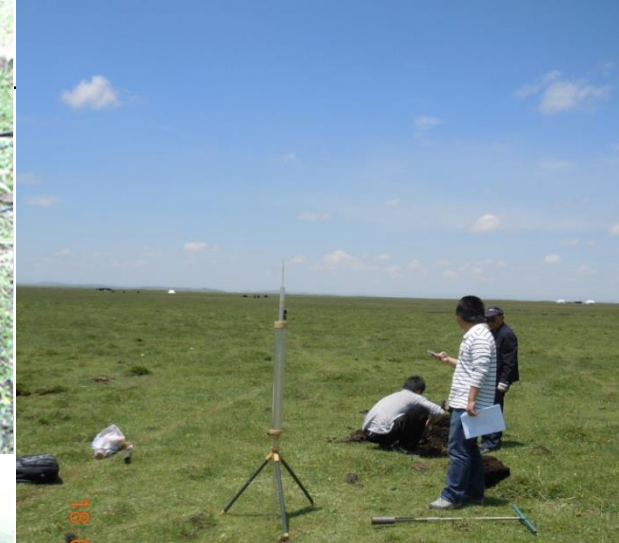
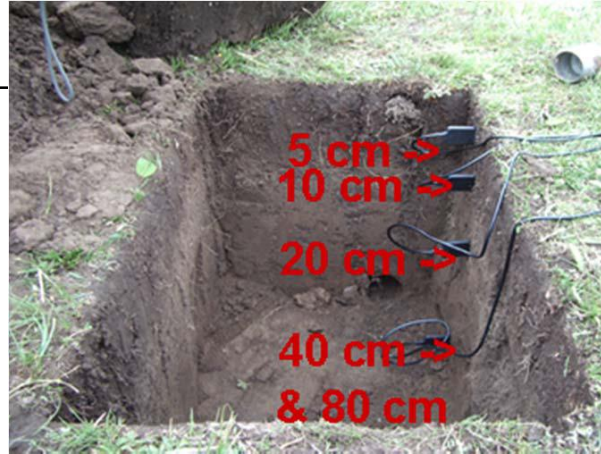


# Tibetan Plateau observatory of plateau scale soil moisture and soil temperature (Tibet-Obs)

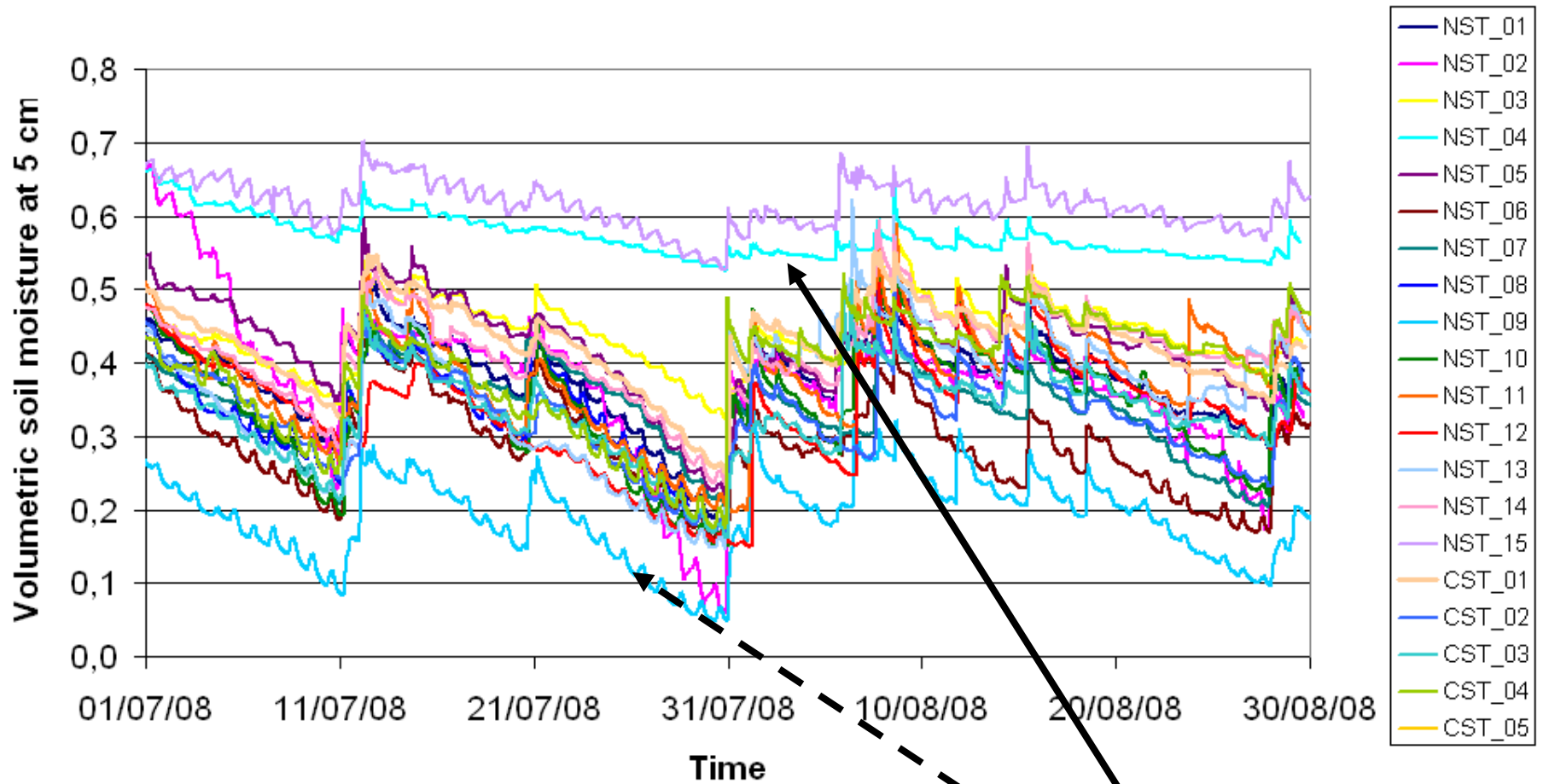




# Maqu Station: Field Site and Experiment

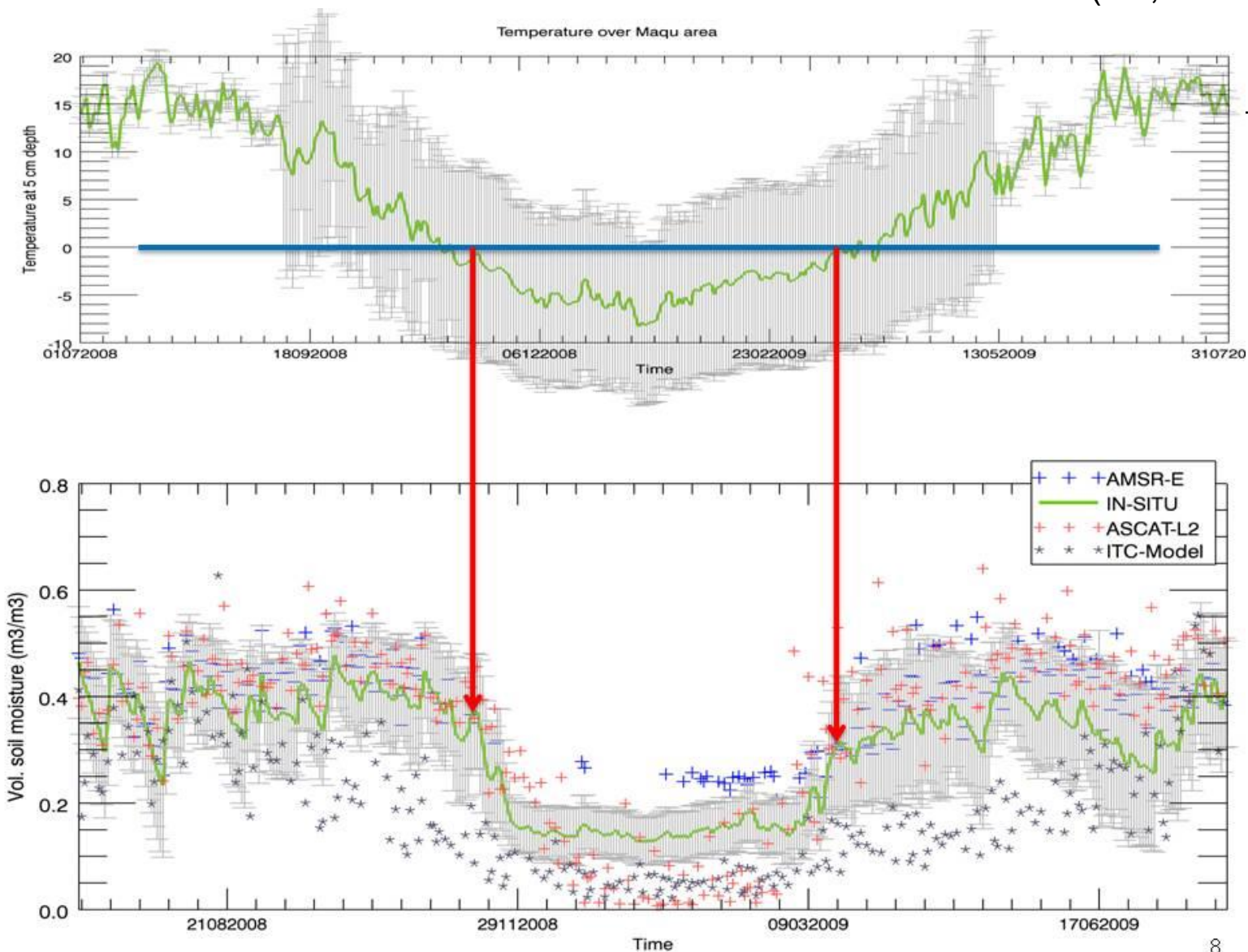


# Maqu: Soil moisture at 5 cm depth



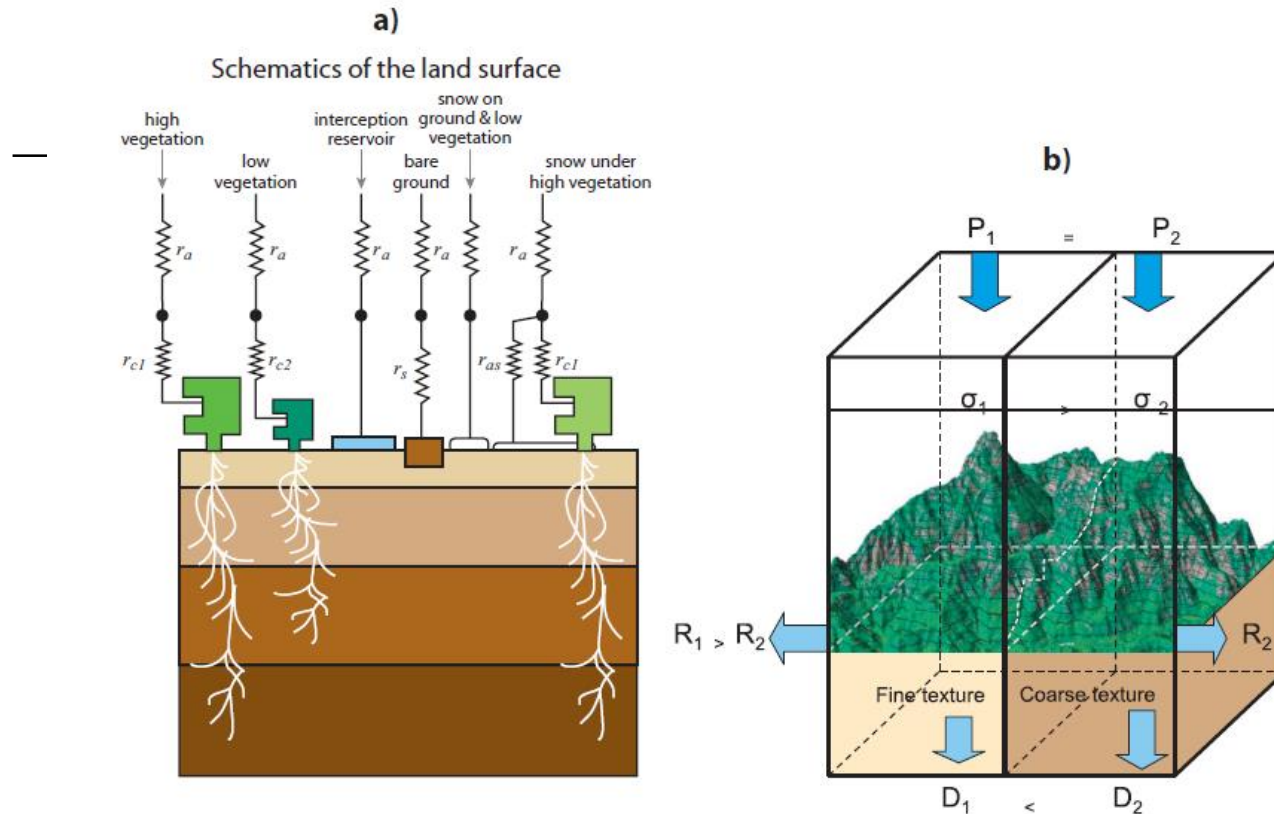
# Quantification of uncertainties in global products

(Su, et al., 2011, HESS)





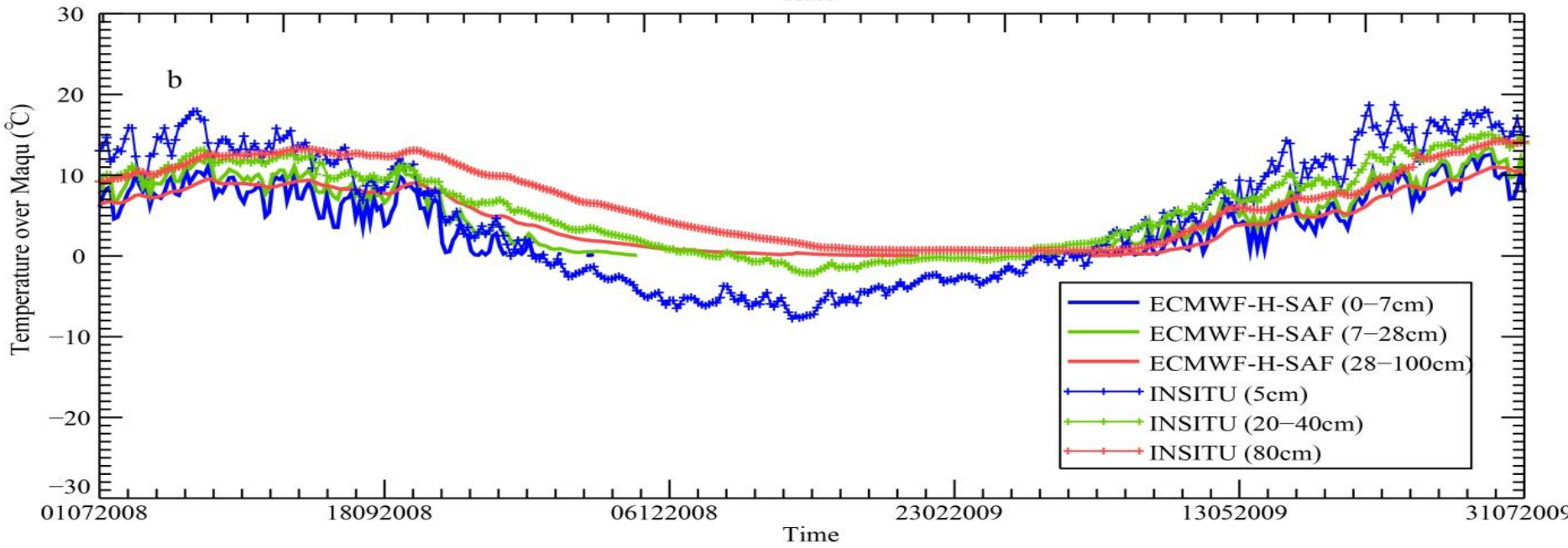
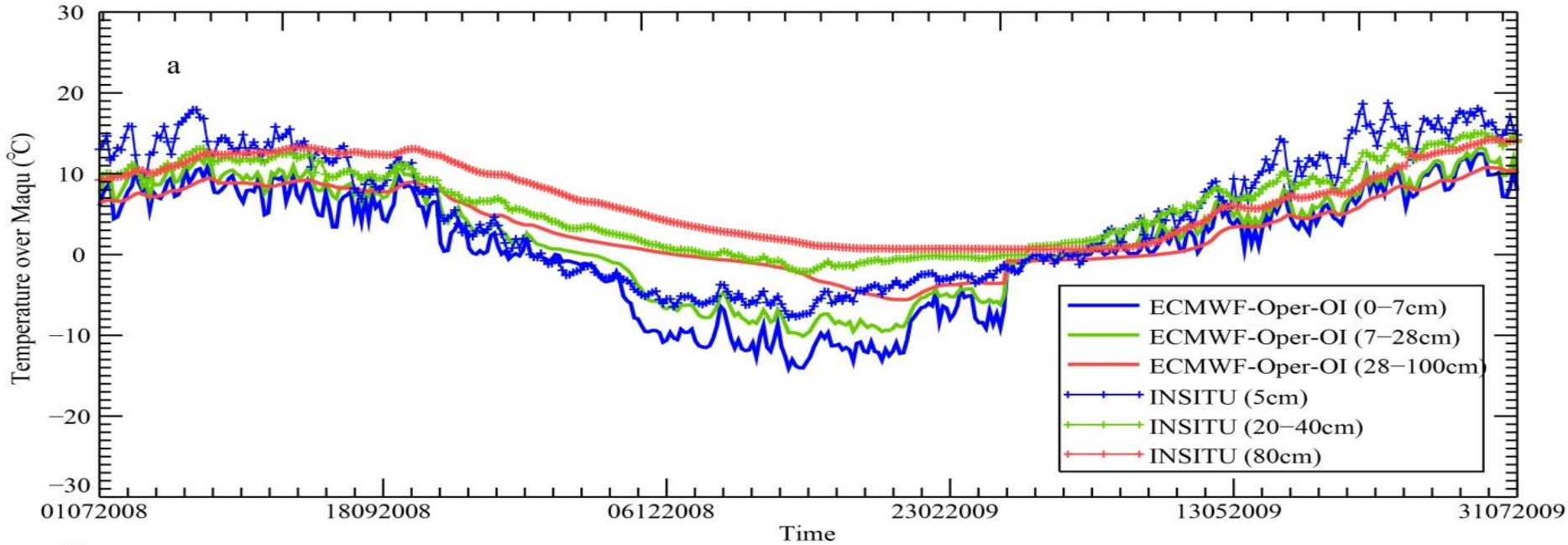
# The Tiled ECMWF Scheme for Surface Exchanges over Land (TESSEL) & the HTESSEL (Hydrology TESSEL)



(a) TESSEL land-surface scheme, (b) spatial structure in HTESSEL (for a given precipitation  $P_1 = P_2$  the scheme distributes the water as surface runoff and drainage with functional dependencies on orography and soil texture respectively) (Balsamo et al., 2006)

# How good is soil temperature simulation/analysis?

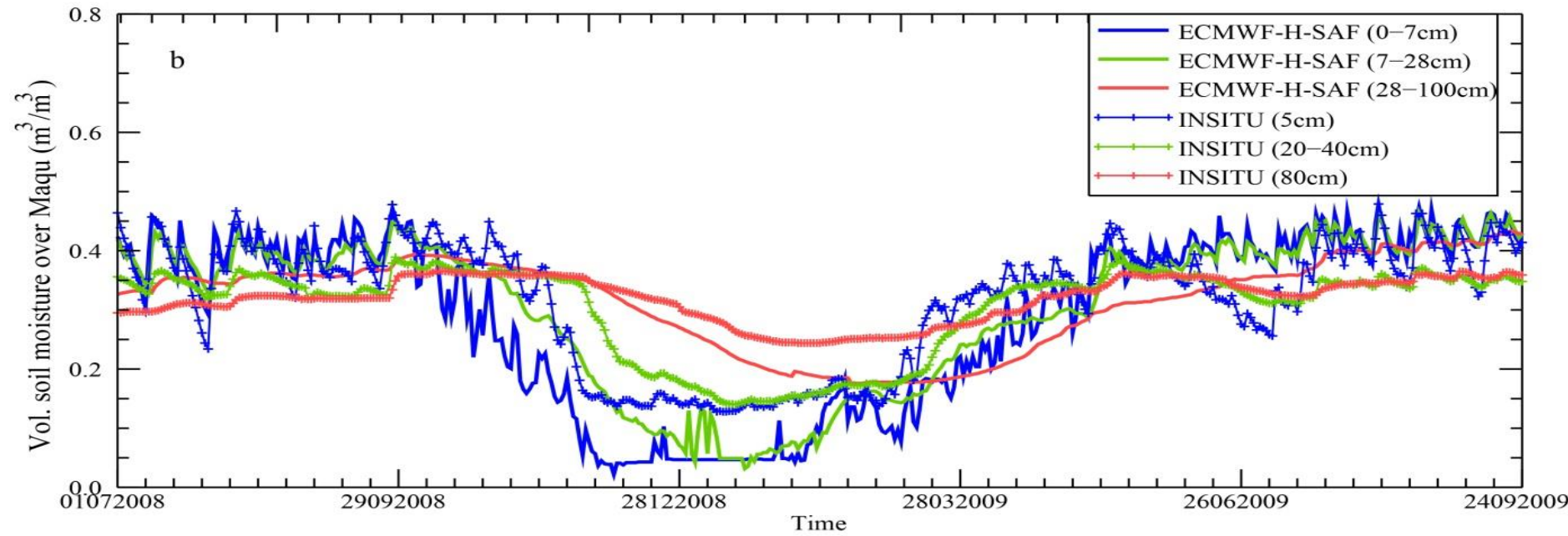
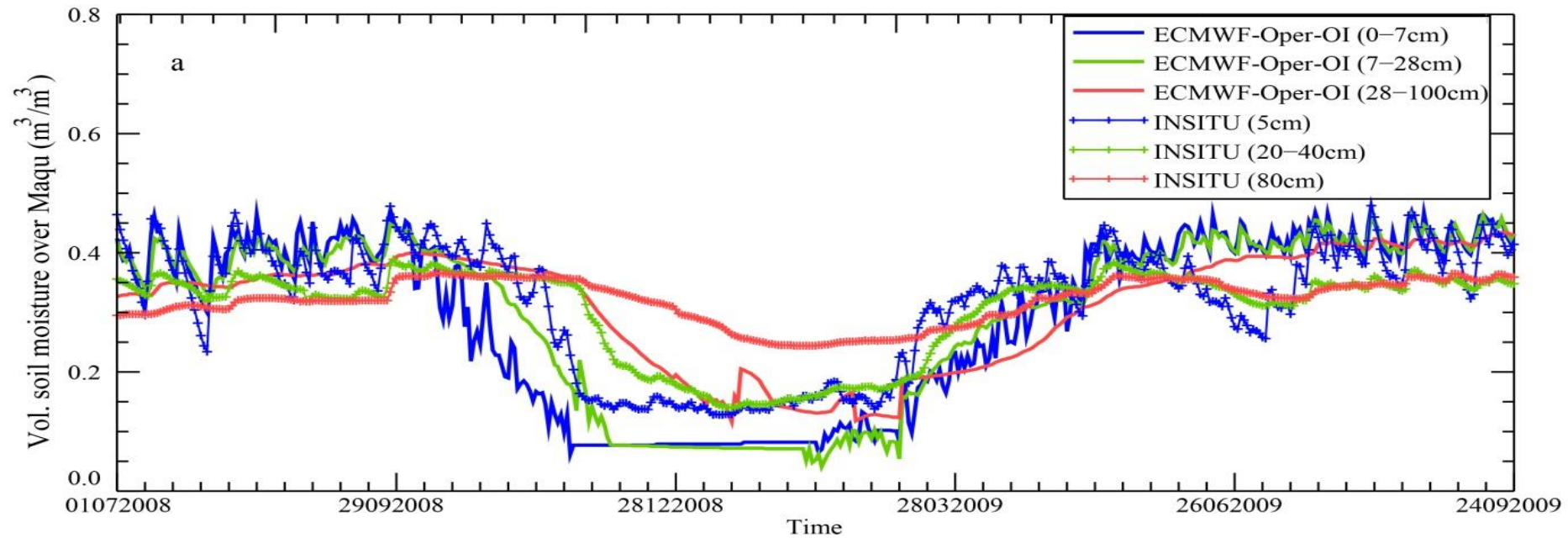
(Su & de Rosnay, et al. 2013, JGR)



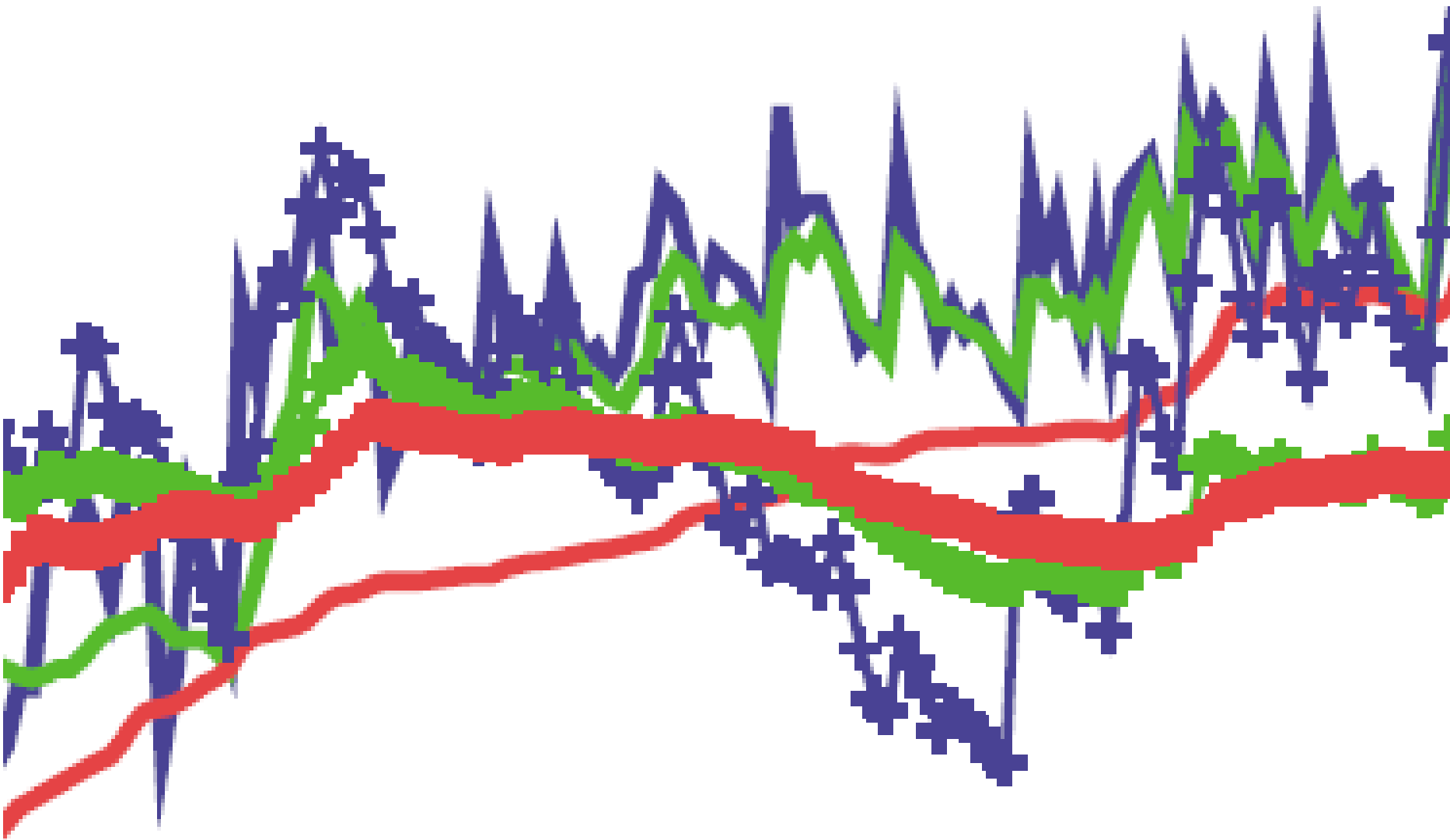


# How good is soil moisture analysis/assimilation?

(Su & de Rosnay, et al. 2013, JGR)



# How good is soil moisture assimilation?





# Noah LSM

N: National Centers for Environmental Prediction (NCEP)  
O: Oregon State University (Dept of Atmospheric Sciences)  
A: Air Force (both AFWA and AFRL - formerly AFGL, PL)  
H: Hydrologic Research Lab - NWS (now Office of Hydrologic Dev -- OHD)

Noah LSM provides a complete description of the physical processes with a limited number of parameters.

- Soil water flow;
- Soil heat flow;
- Heat exchange with the atmosphere;

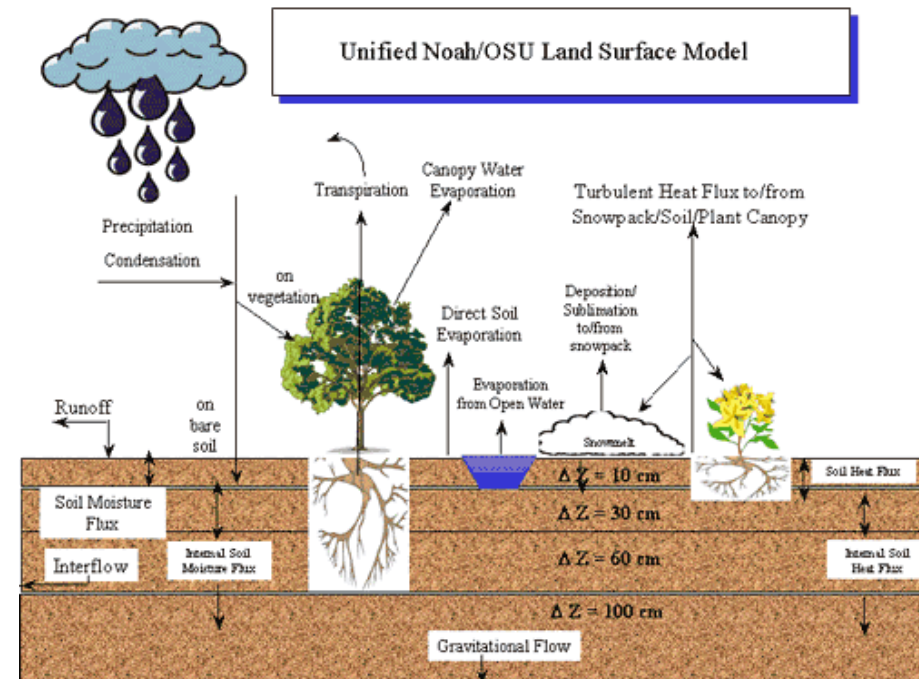
**(Zheng et al., 2013, JHM; Zheng 2014a,b in review.)**

- Snow pack.

**(Malik et al., 2012, JHM;  
JGR, 2013; RSE, 2011)**

- Frozen soil; ???

**(NWO SMAP project)**



# AUGMENTATIONS TO NOAH SOIL WATER FLOW MODEL PHYSICS

- i) Impact of organic matter considered on the soil water retention curve via the additivity hypothesis,
- ii) Saturated hydraulic conductivity ( $K_s$ ) implemented as an exponentially decaying function with soil depth,
- iii) Vertical root distribution modified to better represent the Tibetan alpine grassland conditions (abundance of roots in the top soil layer).

*(Diffusivity form of Richards's equation revised to allow the simulation of the soil water flow across soil layers with different hydraulic properties).*

Three numerical experiments:

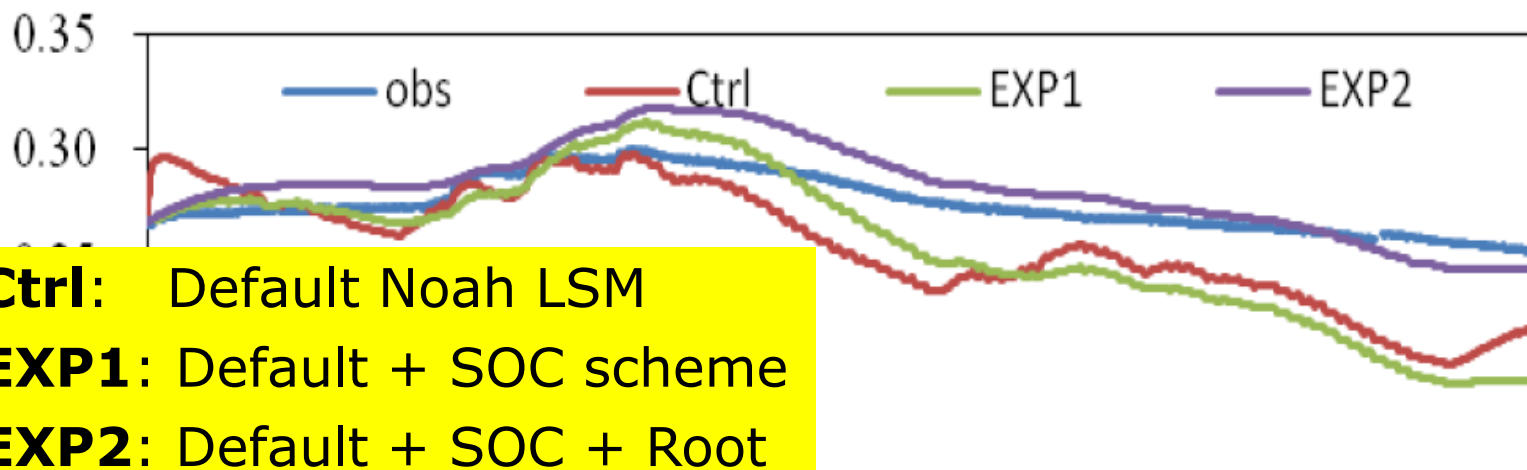
- **Ctrl**: a Noah control run with default model structure,
- **EXP1**: a Noah run with modified soil hydraulic parameterization,
- **EXP2**: a Noah run with modified soil hydraulic parameterization and vertical root distribution.



# Augmentations to Noah soil water flow model physics



(c) soil moisture at 70cm



- **Ctrl:** Default Noah LSM
- **EXP1:** Default + SOC scheme
- **EXP2:** Default + SOC + Root
- **Ctrl underestimates** the of top layer soil moisture under wet conditions, **overestimates** it during dry-down episodes, and **systematically underestimates** it in the deeper soil layers.
- **EXP1 resolves** the soil moisture underestimation in the upper soil layer under wet conditions, but the overestimation during dry-downs remains.
- **EXP2 captures** the soil moisture dynamics of the upper layer under dry conditions and **improves** the simulations of the deeper layers.

# AUGMENTATIONS TO NOAH TURBULENT HEAT FLUX AND SOIL HEAT TRANSPORT MODEL PHYSICS

Four numerical experiments:

- **Ctrl:** a Noah control run with default model structure,
- **EXP1:** a Noah run after removing vegetation muting effect,
- **EXP2:** a Noah run with  $\beta_{veg}$  as function of the  $LAI$  and  $GVF$ ,
- **EXP3:** a Noah run Zilitinkevich's coefficient,  $C_{zil}$ , parameterized as an indirect function of canopy height via  $z_{0m}$ ,

# Results: Heat Flux Simulation with Noah

## ▪ Numerical Experiments

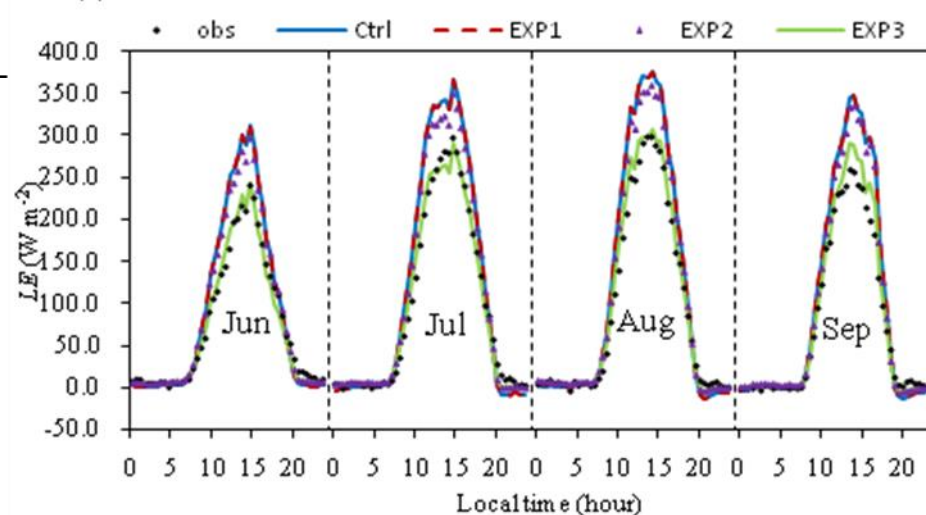
**Ctrl:** Default Noah LSM

**EXP1:** Default +  $k_h$

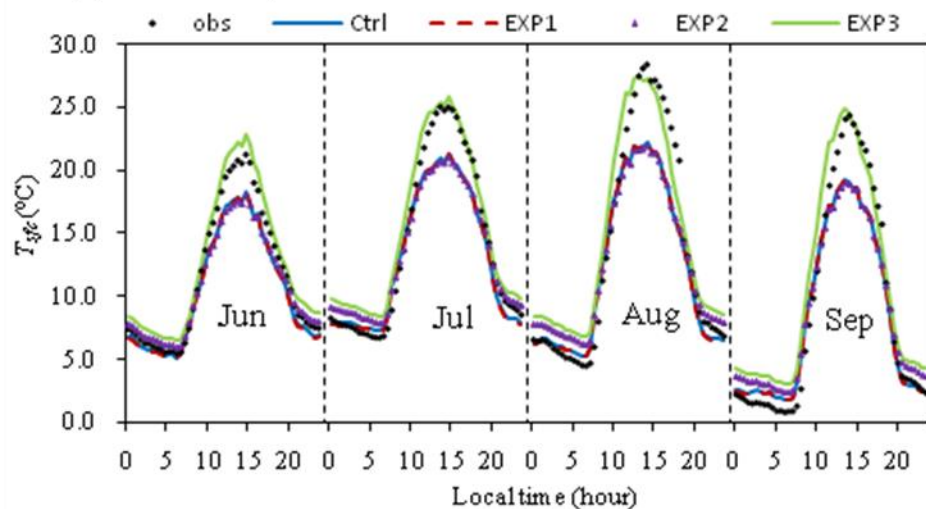
**EXP2:** Default +  $k_h$  +  $\beta_{veg}$

**EXP3:** Default +  $k_h$  +  $\beta_{veg}$  +  $z_{0h}$

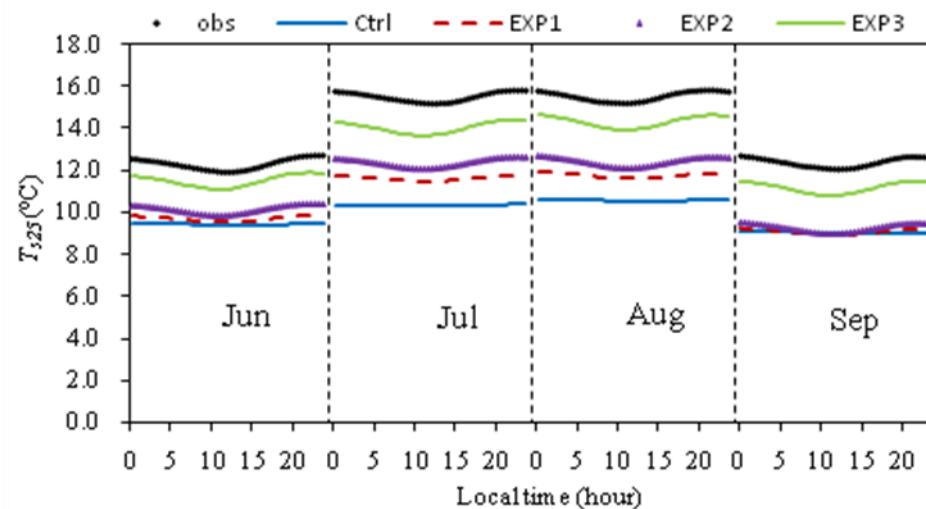
(a) latent heat flux



(b) surface temperature



(c) soil temperature at 25cm



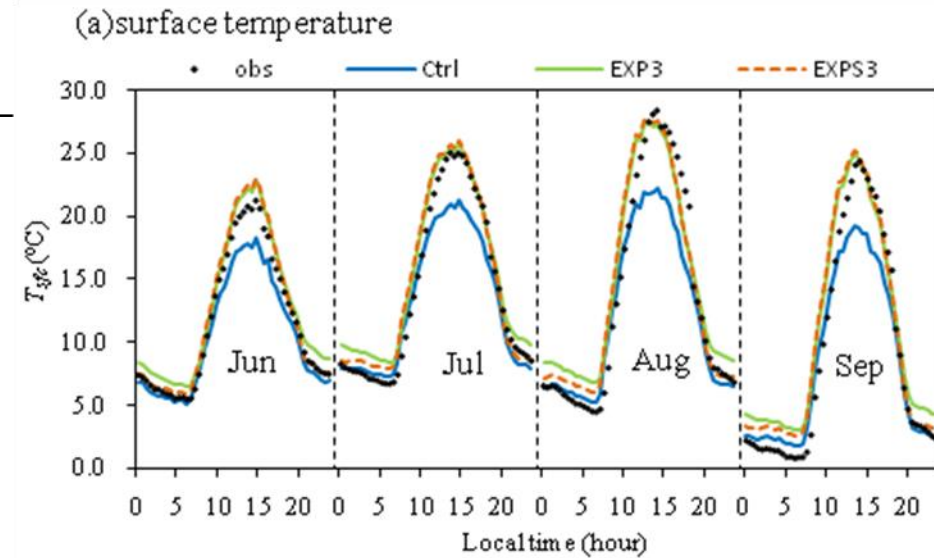


# Improvement in Nighttime Surface and Soil Temperatures

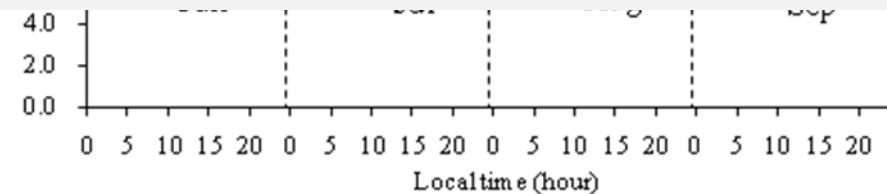
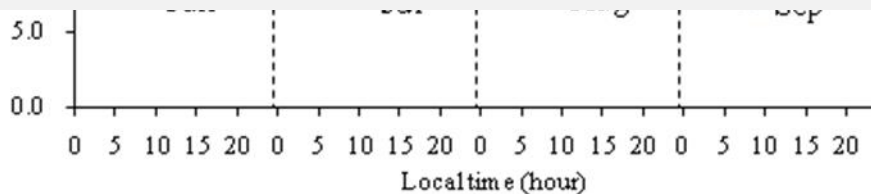
$$G_0 = \kappa_{h0} \frac{T_{sfc} - T_{s1}}{\Delta z_1}$$

$$\kappa_{h0} = \kappa_h(\theta_1) \cdot \exp(-\beta_{veg} GVF)$$

$$\beta_{veg} = \begin{cases} 0.5LAI/GVF, & \text{daytime} \\ 2.0 & \text{, nighttime} \end{cases}$$



**Surface energy budget calculations by physically based LSMs can only be ameliorated if the water budget is well treated.**



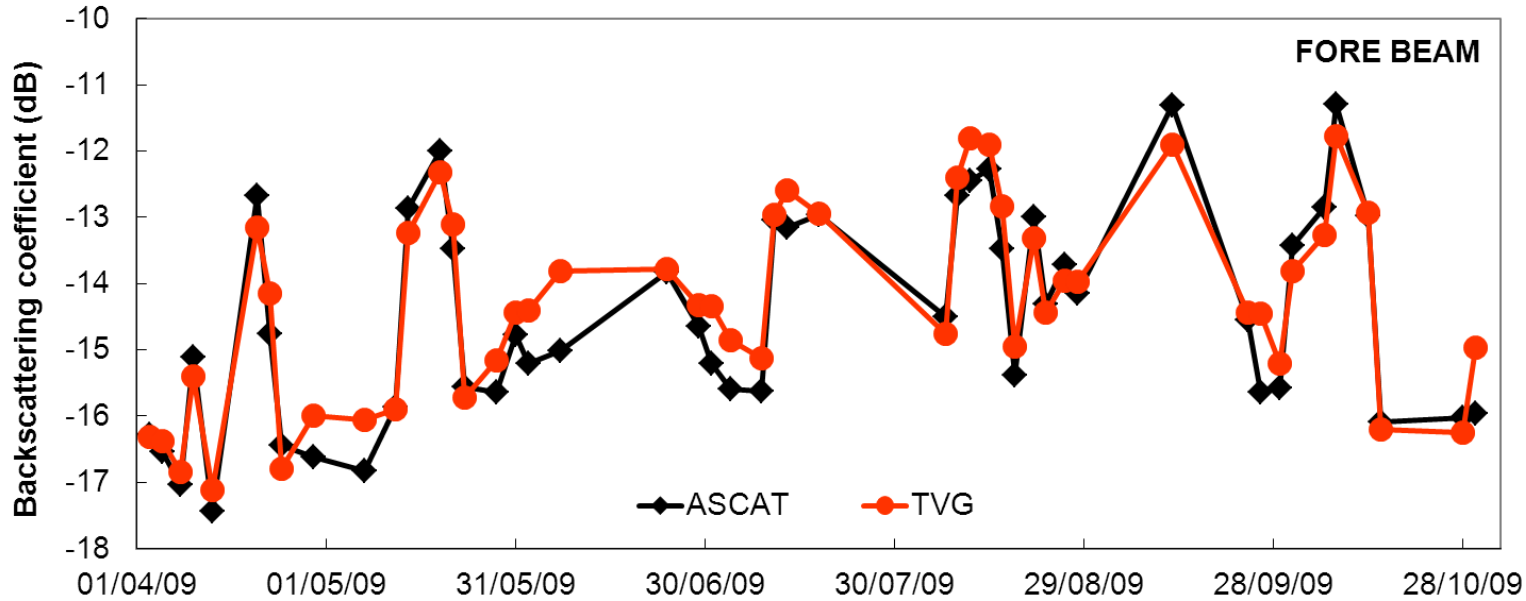


# Tor Vergata Model – Simultaneous Modeling of Active And Passive Microwave Signatures

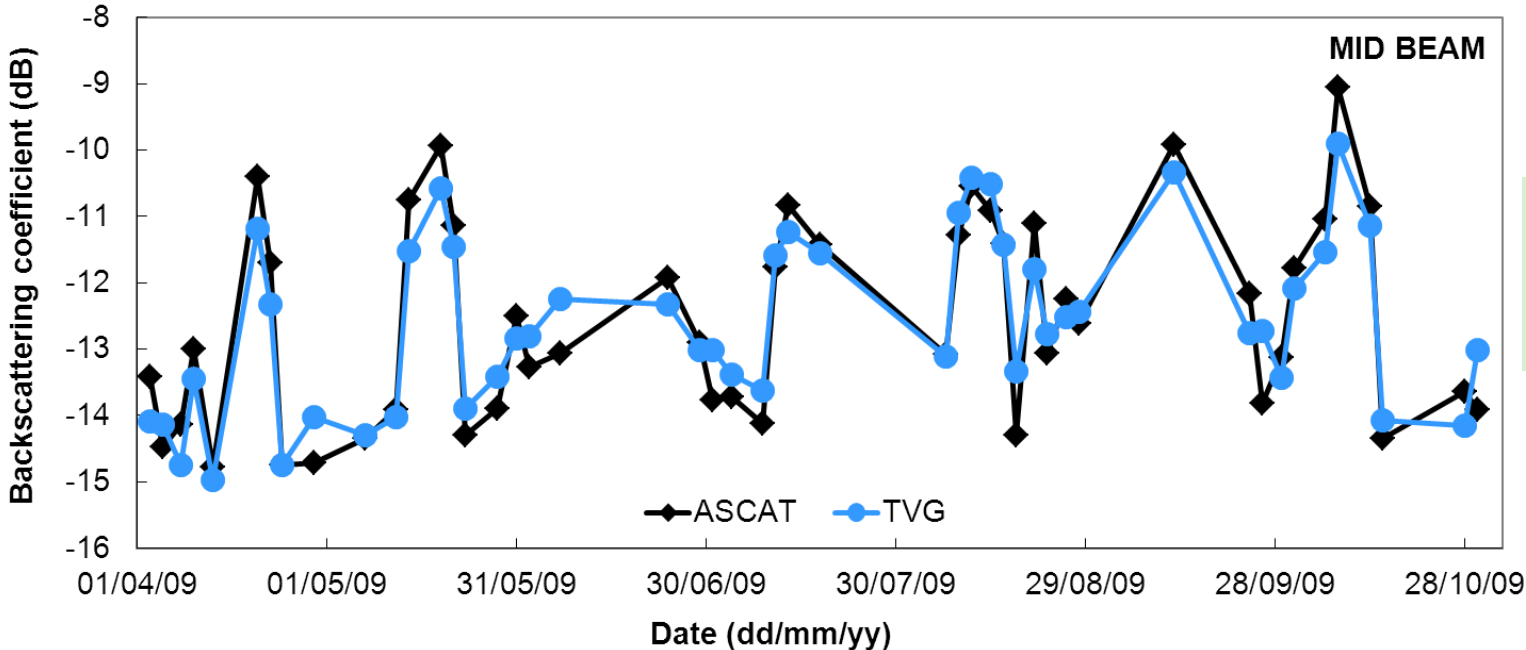
- To use a single discrete scattering model to simulate both emission and backscattering, with a unique set of input parameters
- To combine the use of active and passive microwave satellite signatures to constrain the model
- To improve the modelling and understanding of microwave emissivity and backscattering coefficient over grassland with litter
- To contribute to an optimal use of SMAP-like data
- To improve the soil moisture retrieval

*L. Dente, P. Ferrazzoli, Z. Su, R. van de Velde, L. Guerriero, 2014, Combined use of active and passive microwave satellite data to constrain a discrete scattering model, RSE.*

# RESULTS: MODEL CALIBRATION (2009) – ACTIVE CASE



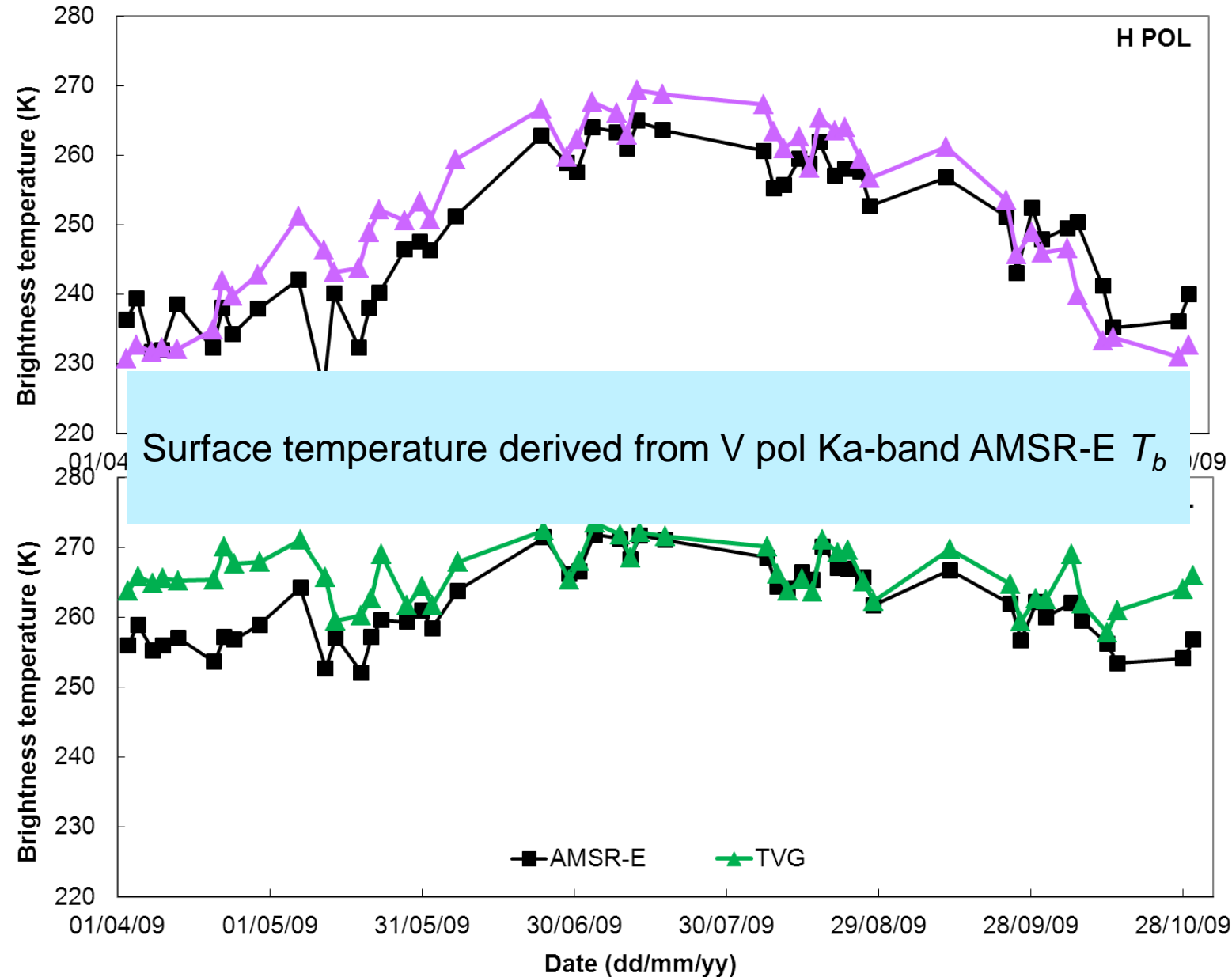
$R^2 = 0.9$   
 $rmse = 0.5$  dB  
 $bias = 0.2$  dB



$R^2 = 0.9$   
 $rmse = 0.5$  dB  
 $bias = -0.04$  dB



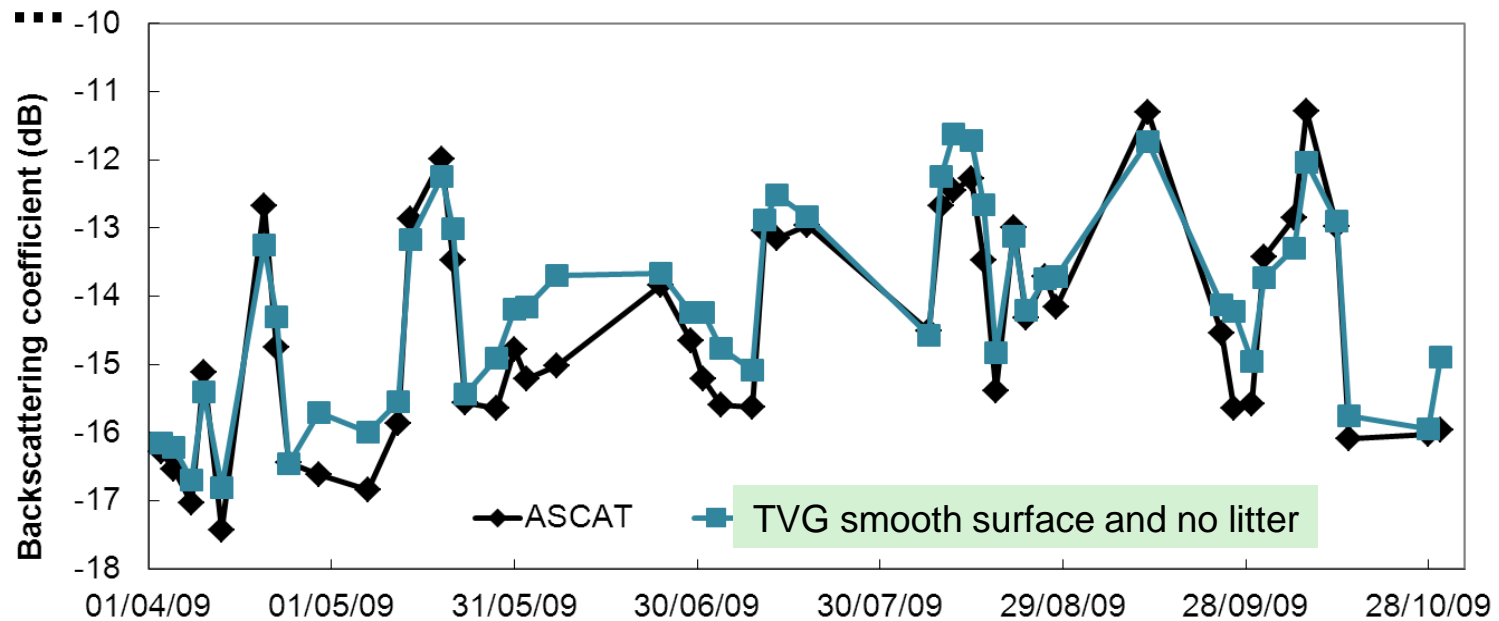
# RESULTS: MODEL CALIBRATION (2009) – PASSIVE CASE



$R^2 = 0.8$   
 $rmse = 6.3$  K  
 $bias = 2.7$  K

$R^2 = 0.5$   
 $rmse = 5.9$  K  
 $bias = 4.3$  K

# IF ONLY THE ACTIVE MICROWAVE DATA WERE USED

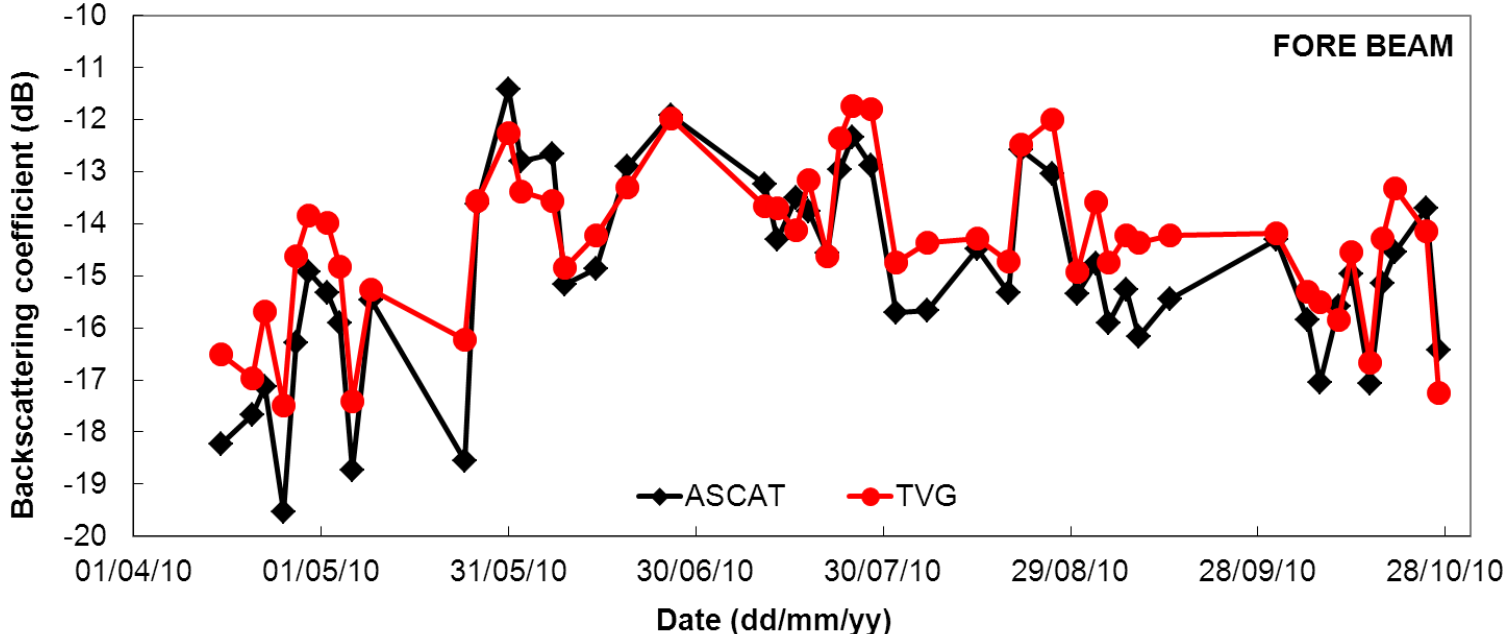


... a good match with ASCAT observations was possible with unrealistic assumptions:

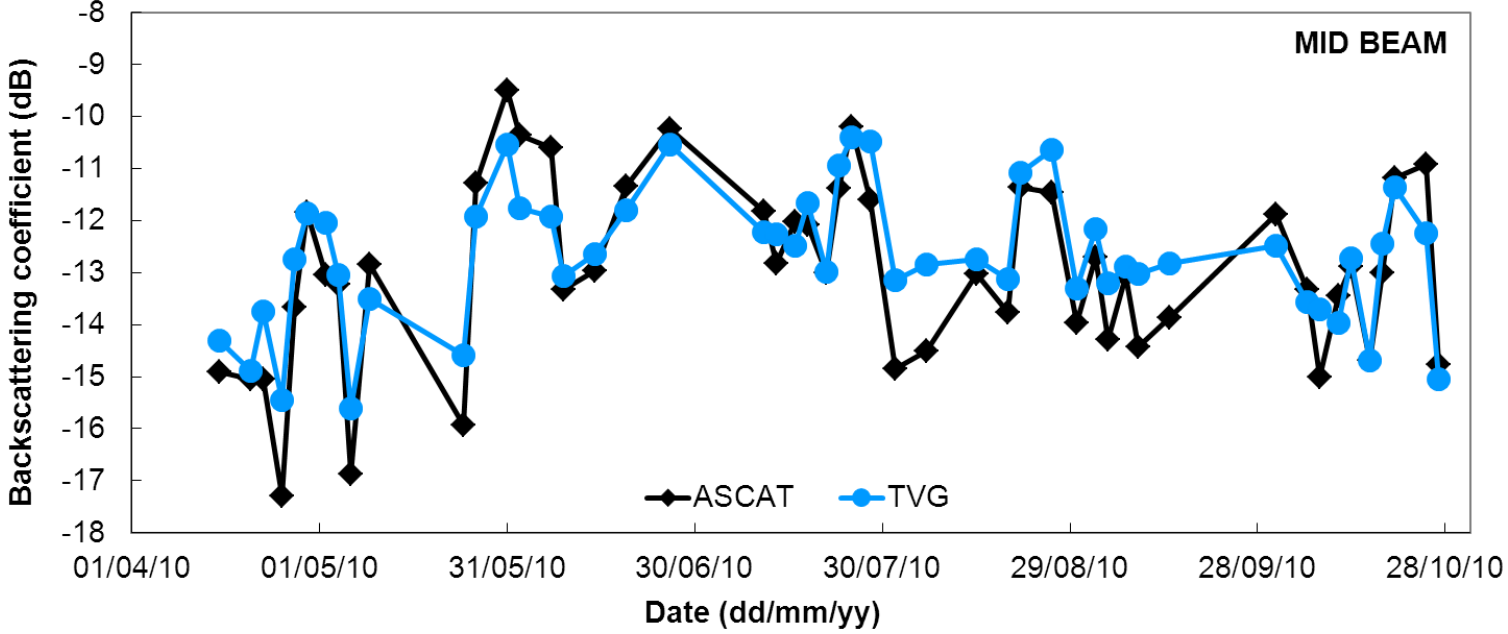
- absence of litter
- smooth surface

However, the same assumptions led to a large underestimation of  $T_b$ !

# RESULTS: MODEL VALIDATION (2010) – ACTIVE CASE



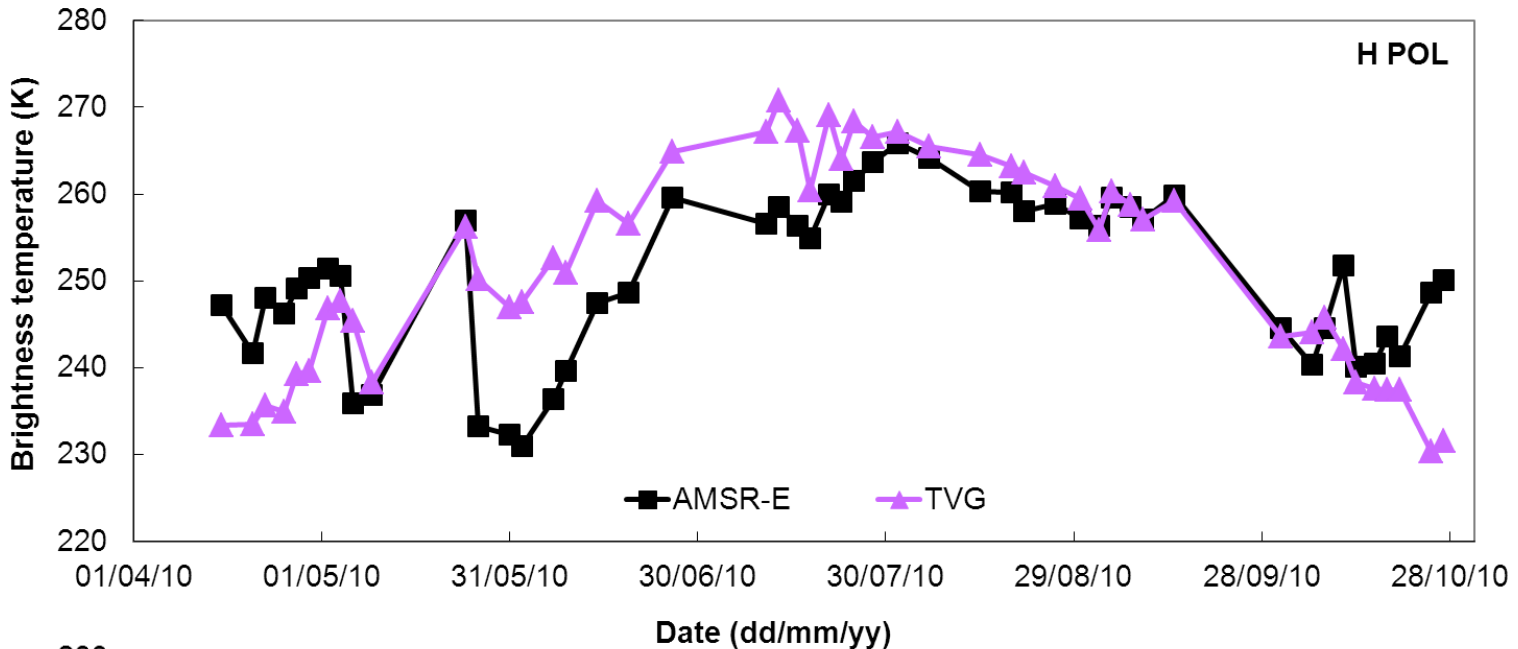
$R^2 = 0.8$   
 $rmse = 1 \text{ dB}$   
 $bias = 0.6 \text{ dB}$



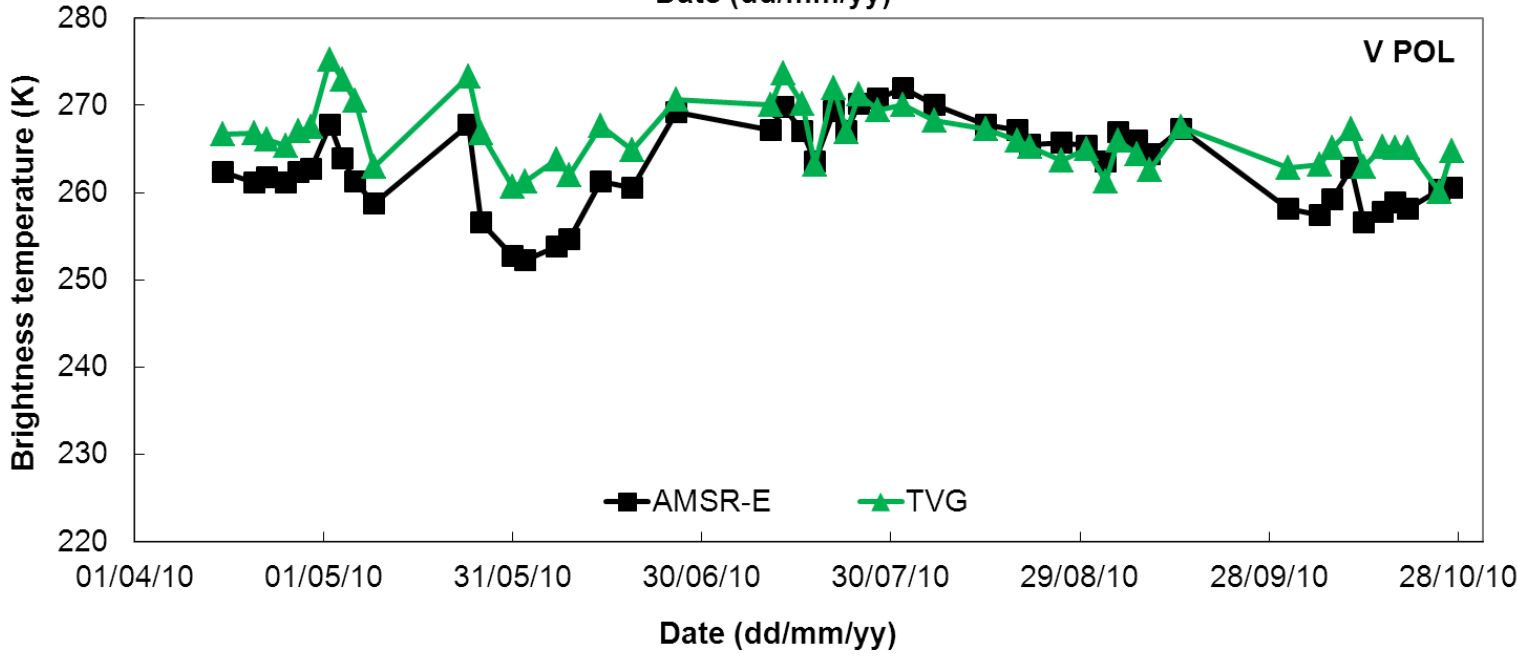
$R^2 = 0.8$   
 $rmse = 0.8 \text{ dB}$   
 $bias = 0.3 \text{ dB}$



# RESULTS: MODEL VALIDATION (2010) – PASSIVE CASE



$R^2 = 0.5$   
 $rmse = 8.7$  K  
 $bias = 1.3$  K

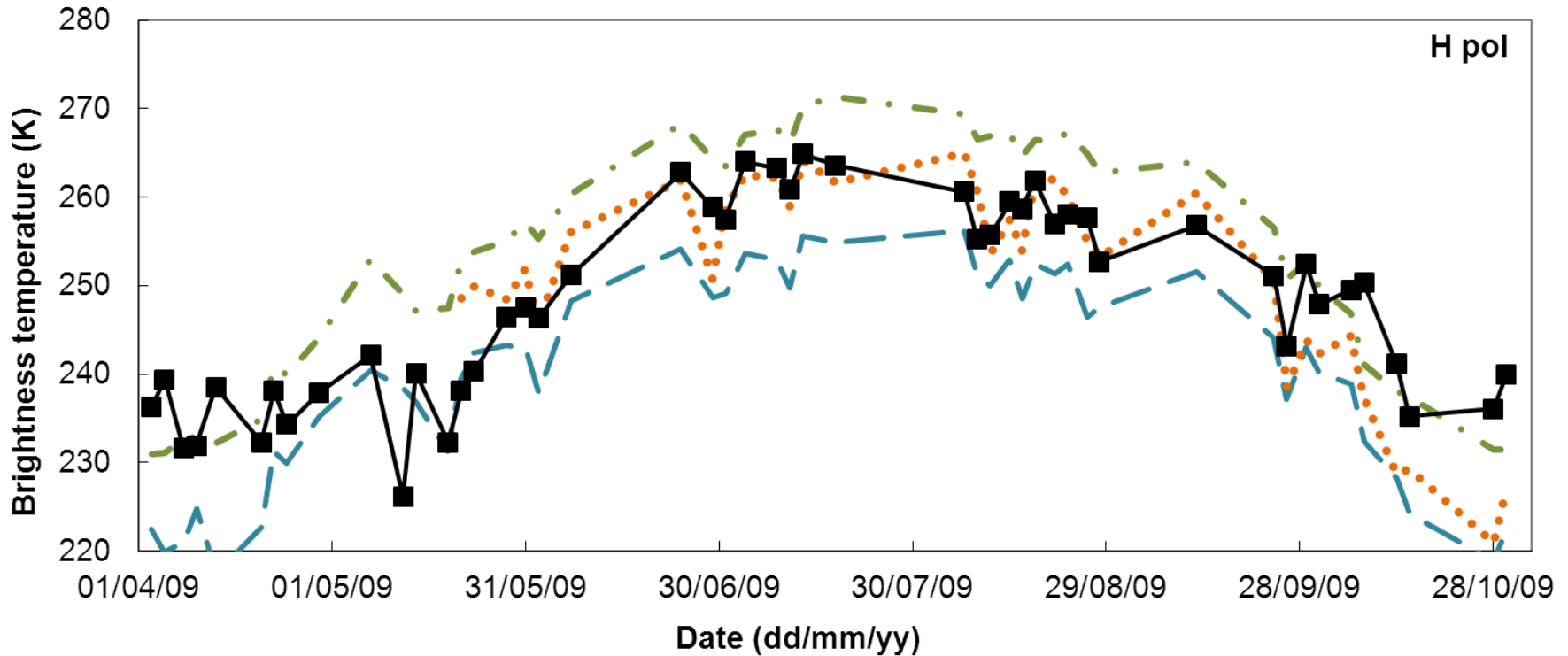


$R^2 = 0.5$   
 $rmse = 5.0$  K  
 $bias = 3.4$  K

# WHAT IF SURFACE TEMPERATURE IS NOT SIMULTANEOUSLY OBSERVED

## OBSERVED

... when a different surface temperature is used.



—•— 5 cm depth data

$R^2 = 0.8$   
 $rmse = 8.2$  K  
 $bias = 5.1$  K

— ERA interim

$R^2 = 0.8$   
 $rmse = 9.7$  K  
 $bias = -7.8$  K

••• LW upward radiation

$R^2 = 0.7$   
 $rmse = 6.2$  K  
 $bias = -1.7$  K

—■— AMSR-E

$R^2 = 0.8$   
 $rmse = 6.3$  K  
 $bias = 2.7$  K

# An Improved Two-layer Algorithm for Estimating Effective Soil Temperature using L-band Radiometry

$$T_B = \varepsilon T_{eff}$$

(Lv et al., 2014, RSE)

$$T_{eff} = \int_0^{\infty} T(x) \alpha(x) \exp\left[-\int_0^x a(x') dx'\right] dx \quad (\text{Ulaby et al. 1978; 1979})$$

$$\alpha(x) = \frac{4\pi}{\lambda} \varepsilon''(x) / 2[\varepsilon'(x)]^{\frac{1}{2}} \quad (\text{Wilheit 1978})$$

A two-layer system:

$$T_{eff} = T_0 (1 - e^{-B_0}) + T_{\infty} e^{-B_0}$$

$$B_0 = \alpha_1 x_1$$

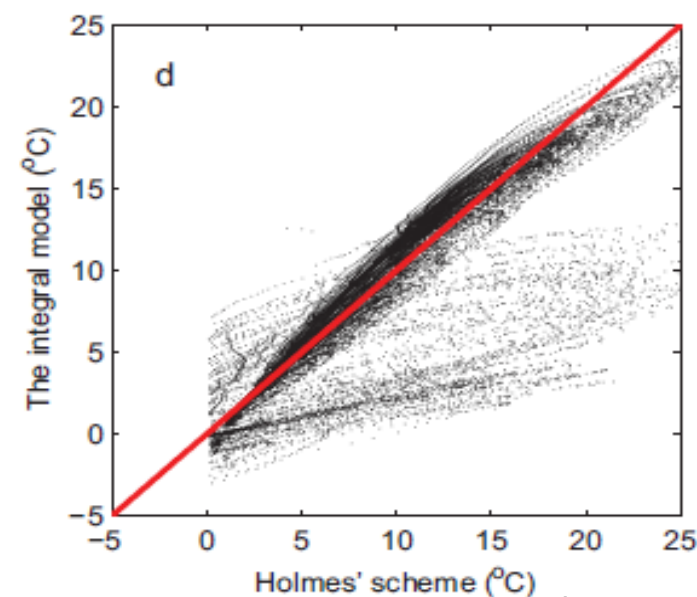
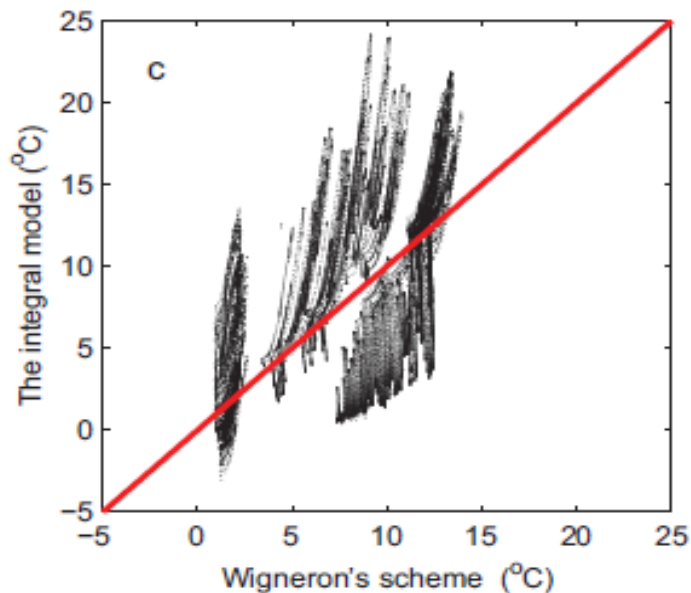
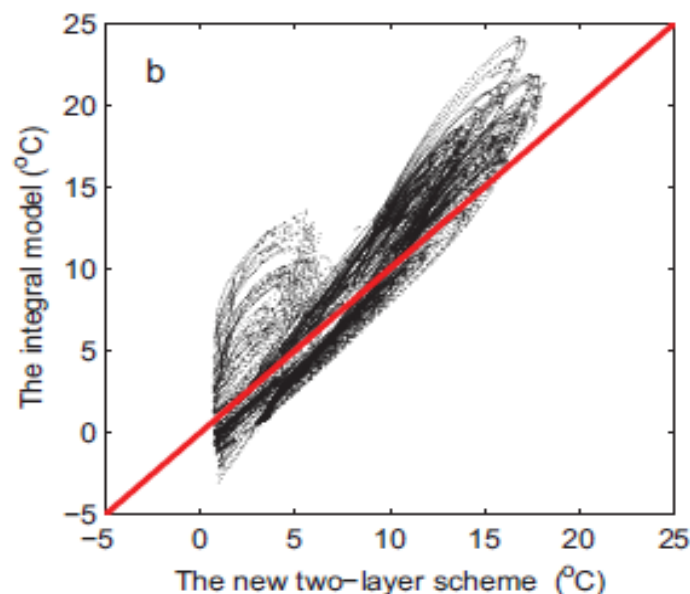
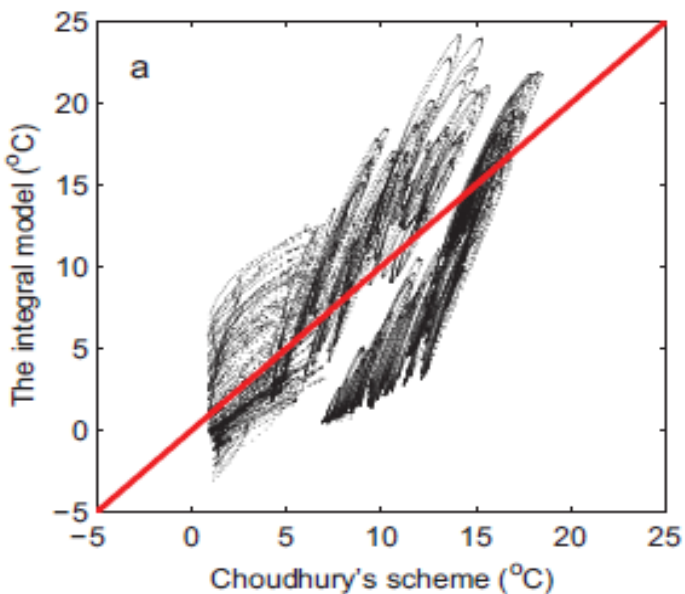
$$B_0 = \Delta x \cdot \frac{4\pi}{\lambda} \cdot \frac{\varepsilon''}{2\sqrt{\varepsilon'}}$$

$$C = 1 - e^{-B_0}$$

$$= 1 - \exp(-\Delta x \alpha_1)$$

$$= 1 - \exp\left(-\Delta x \cdot \frac{4\pi}{\lambda} \cdot \frac{\varepsilon''}{2\sqrt{\varepsilon'}}\right)$$

# The weight function C is a parameter affected by wavelength (a), soil moisture (b), sampling depth (c), and soil temperature (d)





# **Can we infer what is below the surface?**

## **Numerical Analysis of Air-Water-Heat Flow in the Unsaturated Soil: the role of Air Flow in Land Surface Models?**

### **a Two-phase Heat and Mass Transfer Model (STEMMUS)**

*Zeng, Y., Su Z., Wan, L. and Wen, J., 2011, Numerical Analysis of Air-Water-Heat Flow in the Unsaturated Soil - Is it Necessary to Consider Air Flow in Land Surface Models. Journal of Geophysical Research – Atmosphere, 116(20), D20107, doi: 10.1029/2011JD015835.*

*Zeng, Y., Su, Z., Wan, L. and Wen, J., 2011, A simulation analysis of the advective effect on evaporation using a two-phase heat and mass flow model. Water Resources Research, 47(10), W10529, doi: 10.1029/2011WR010701.*

## Soil Moisture Equation

$$\frac{\partial}{\partial t}(\rho_L \theta + \rho_V \theta_a) = -\nabla q_m \quad q_m = q_{Lh} + q_{La} + q_{LT} + q_{Vh} + q_{Va} + q_{VT} \left[ \frac{\partial T}{\partial z} + K_{Lh} \right]$$

$q_{Lh}$  &  $q_{Vh}$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) is the isothermal liquid and vapor flux;

$q_{LT}$  &  $q_{VT}$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) is the thermal liquid and vapor flux;

$q_{La}$  &  $q_{Va}$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) is the convective liquid and vapor flux due to air pressure gradient.

**efficient for  
Flow due to  
Gradient**

## Dry Air Equation

$$\frac{\partial}{\partial t}[\varepsilon \cdot \rho_{da}(S_a + H_c S_r)] = -\nabla q_a \quad q_a = q_{ah} + q_{aT} + q_{aa} \cdot \rho_{da} \frac{S_a k_g}{\rho_g} \frac{\partial P_g}{\partial z} - D_{Vg} \frac{\partial \rho_{da}}{\partial z} + H_c \rho_{da} \frac{q_L}{\rho_L}$$

$q_{ah}$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) is the isothermal air flux;  $q_{aT}$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) the thermal air flux;  $q_{aa}$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) the convective flux.

$q_{aa}$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) the convective flux.

## Energy Equation

$$\frac{\partial}{\partial t}[(\rho_s \theta_s c_s + \rho_L \theta c_L + \rho_{da} \theta_a c_a + \rho_V \theta_a c_V)(T - T_r) + \rho_V L_0 \theta_a] - \rho_L W \frac{\partial \theta}{\partial t}$$

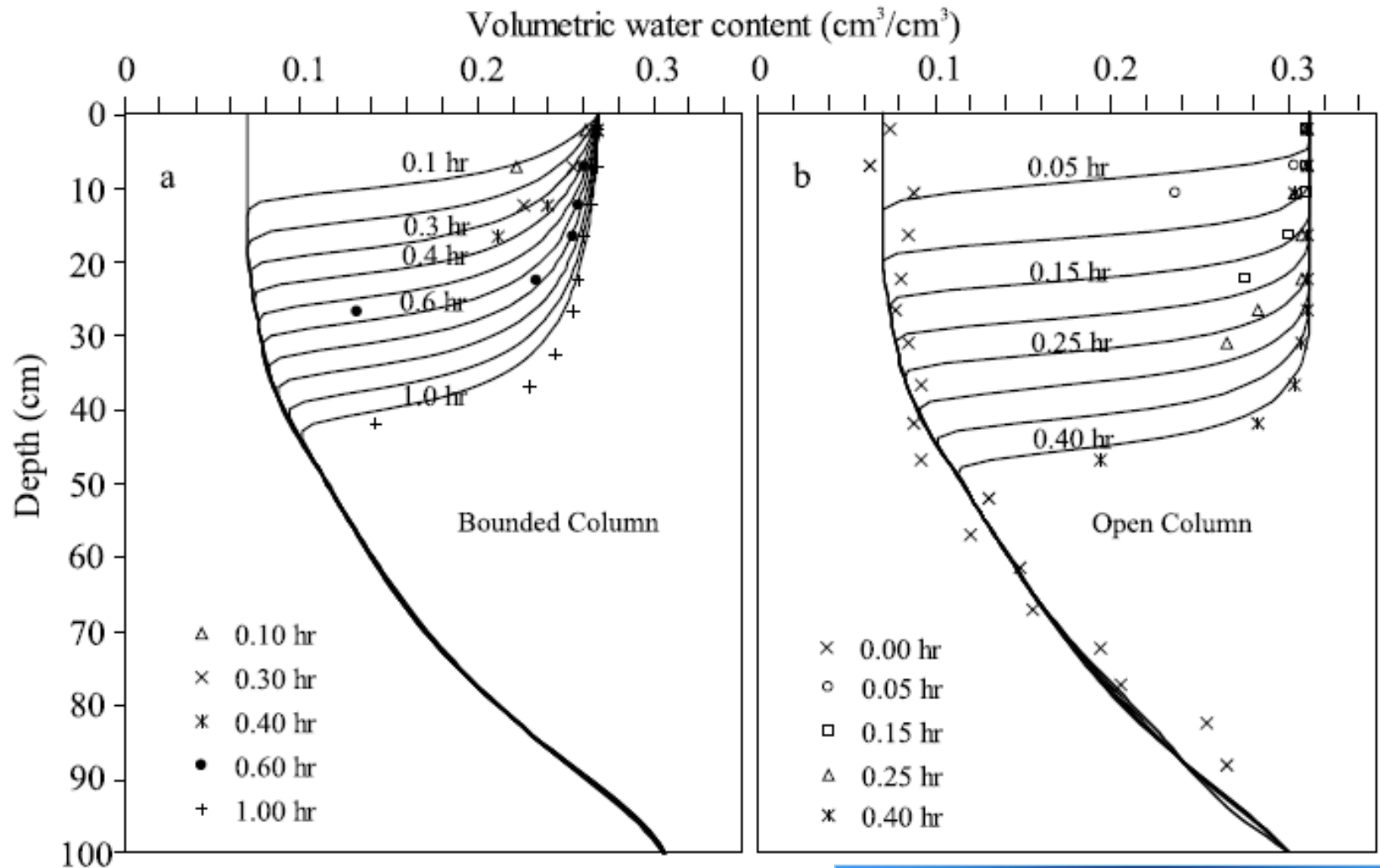
**Differential Heat of Wetting**

$$= \frac{\partial}{\partial z} \left( \lambda_{\text{eff}} \frac{\partial T}{\partial z} \right) - \frac{\partial}{\partial z} \left[ q_L c_L \cdot (T - T_r) + q_V (L_0 + c_V \cdot (T - T_r)) + q_a c_a \cdot (T - T_r) \right]$$

# STEMMUS: Ponding water exp.

Ponding Water

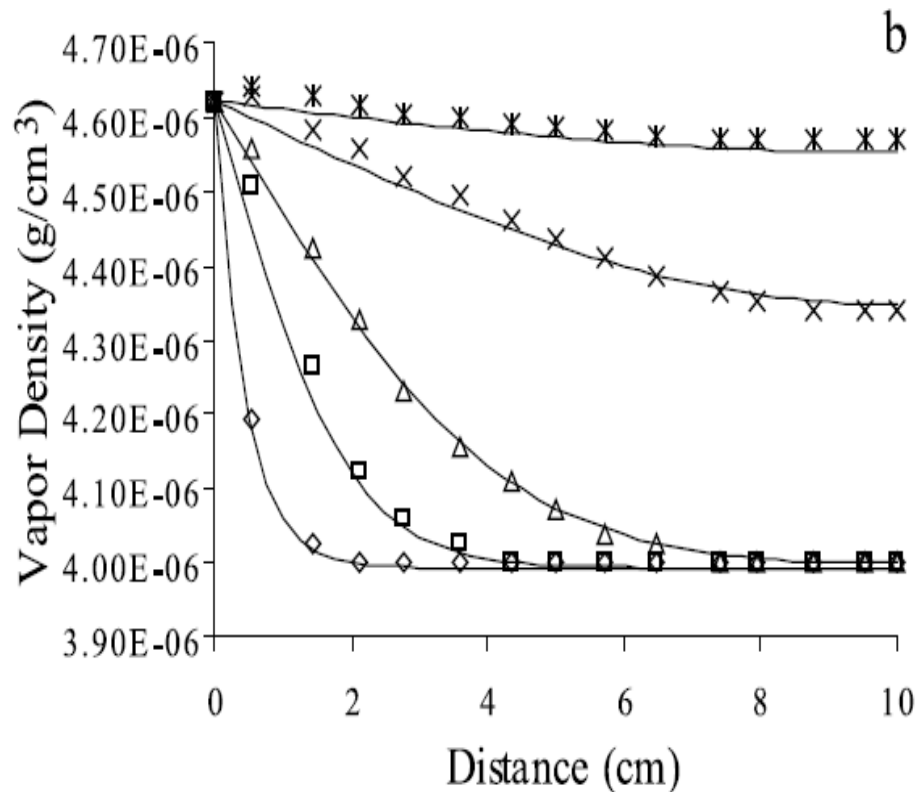
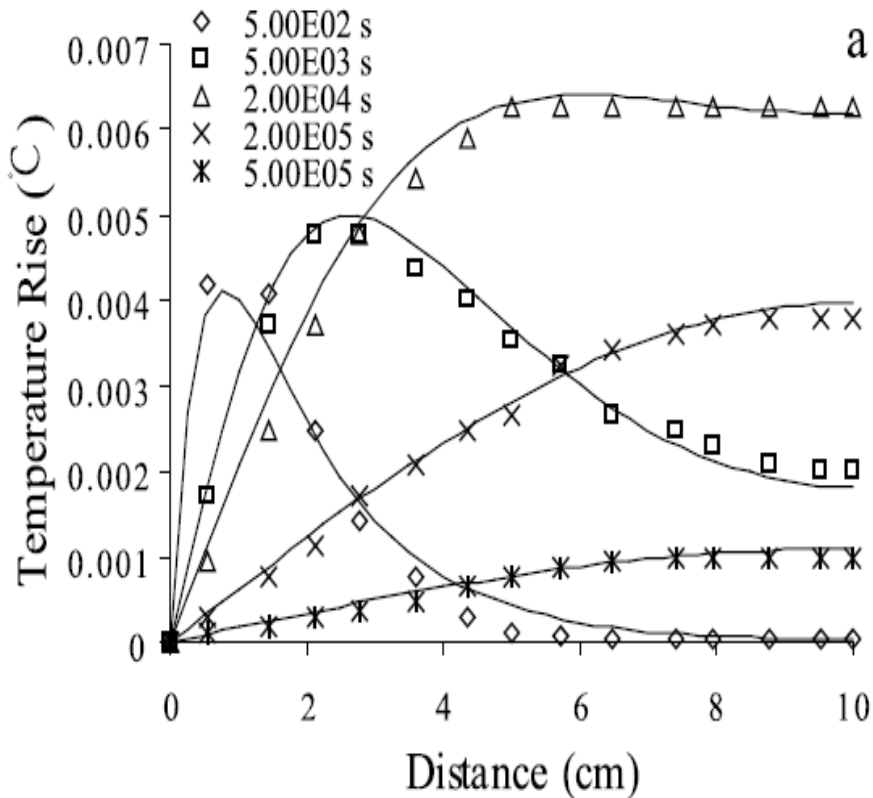
Ponding Water



# STEMMUS: Soil Moisture and Heat Flow Exp.

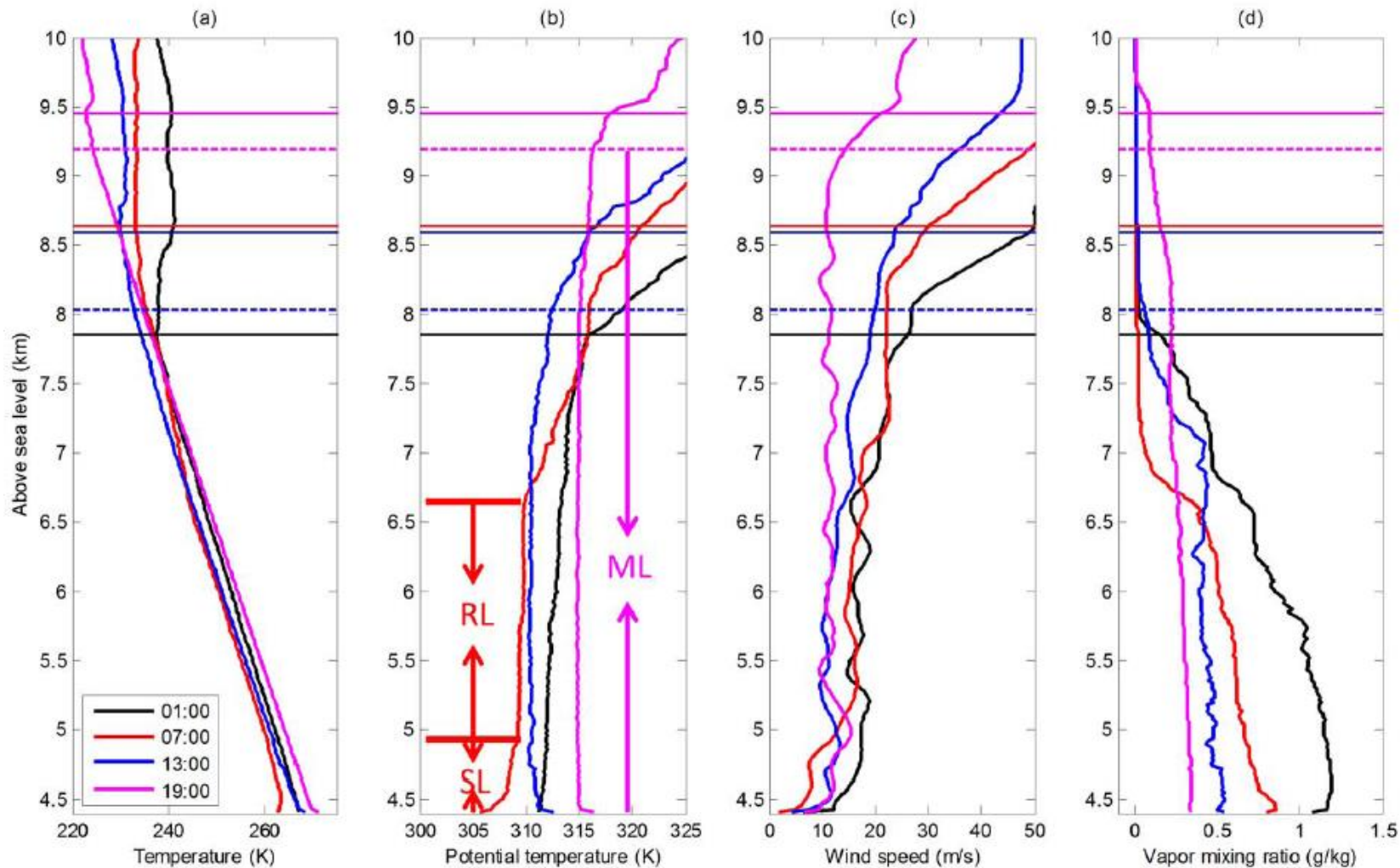
(Zeng, Su, et al. JGR, 2011)

$$\rho_V + \nabla \rho_V$$



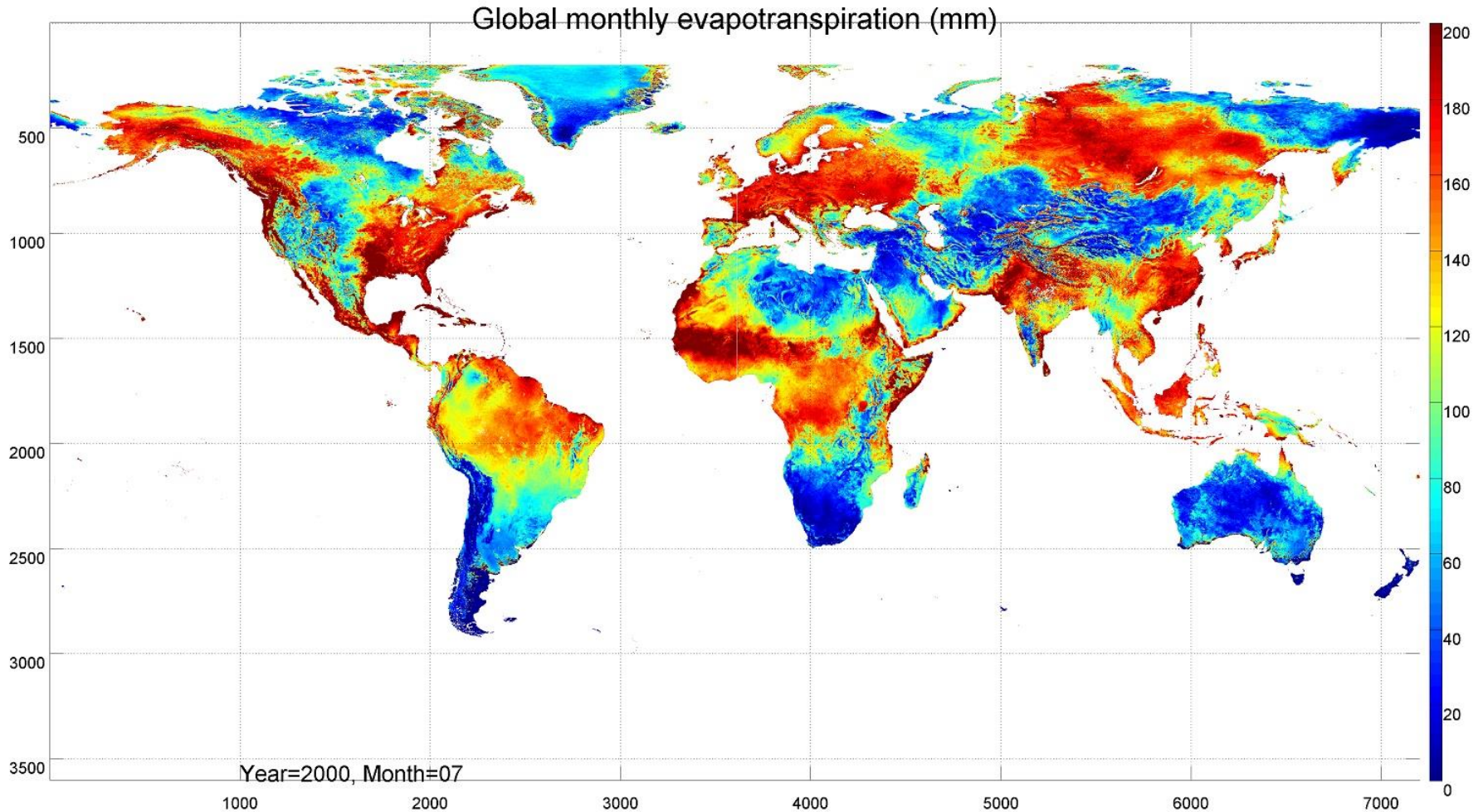


# What causes the high PBL on Tibetan Plateau?



# ITC SEBS DERIVED GLOBAL ENERGY & ET FLUXES

(2000 to present at 5 km\*5 km spatial resolution), data access:  
linkedin SEBS group



(Chen et al., 2014, ACP)

# Referances/ Further Readings

- Dente, L., Vekerdy, Z., Wen, J. and Su, Z., 2012, Maqu network for validation of satellite - derived soil moisture products. *Int. J. Applied Earth Observation and Geoinformation : JAG*, 17 (2012) pp. 55-65.
- Dente, L., Su, Z. and Wen, J., 2012, Validation of SMOS soil moisture products over the Maqu and Twente regions. *Sensors*, 12, 9965-9986.
- Dente, L, Ferrazzoli, P., Su, Z., van der Velde, R., Guerriero, L., (2014), [Combined use of active and passive microwave satellite data to constrain a discrete scattering model](#), *Remote Sensing of Environment*, 2014, DOI: 10.1016/j.rse.2014.08.031
- van der Velde, R., Z. Su, M. Ek, M. Rodell, and Y. Ma, 2009, Influence of thermodynamic soil and vegetation parameterizations on the simulation of soil temperature states and surface fluxes by the Noah LSM over a Tibetan plateau site, *Hydrology and Earth System Sciences*, 13, 759-777.
- van der Velde, R., Salama, M.S., van Helvoirt, M.D. and Su, Z. (2012) Decomposition of uncertainties between coarse MM5 - Noah - Simulated and fine ASAR - retrieved soil moisture over Central Tibet. *J. hydrometeorol.*, 13 (6), 1925-1938.
- van der Velde, R., Su, Z., van Oevelen, P., Wen, J., Ma, Y. and Salama, M.S. (2012) Soil moisture mapping over the central part of the Tibetan Plateau using a series of ASAR WS images. *Remote sens. Environ.*, 120,175-187.
- Malik, M.J., van der Velde, R., Vekerdy, Z. and **Su, Z.** (2012) Assimilation of satellite observed snow albedo in a land surface model. In: *Journal of hydrometeorology*, 13 (2012)3 pp. 1119-1130.
- Malik, M.J., van der Velde, R., Vekerdy, Z., **Su, Z.** and Salman, M.F., 2011, Semi - empirical approach for estimating broadband albedo of snow. *Remote sensing of environment*, 115 (2011)8 pp. 2086-2095.
- Malik, M.J., van der Velde, R., Vekerdy, Z. and **Su, Z.** (2014) Improving modeled snow albedo estimates during the spring melt season. In: *Journal of geophysical research : D: Atmospheres*, 119 (2014)12 pp. 7311-7331.
- Lv, S., Wen, J., Zeng, Y., Tian, H. and **Su, Z.** (2014) An improved two - layer algorithm for estimating effective soil temperature in microwave radiometry using in situ temperature and soil moisture measurements. In: *Remote sensing of environment*, 152 (2014) pp. 356-363..

# Referances

- Chen, X. Z. Su et al, 2014, *Development of a 10-year (2001–2010) 0.1-degree dataset of land-surface energy balance for mainland China*, ACP, Diss.
- Su, Z., 2002, *The Surface Energy Balance System (SEBS) for estimation of turbulent heat fluxes*, Hydrol. Earth Syst. Sci., 6(1), 85-99.
- Su, Z., 2005, *Estimation of the surface energy balance*. In: *Encyclopedia of hydrological sciences : 5 Volumes.* / ed. by M.G. Anderson and J.J. McDonnell. Chichester etc., Wiley & Sons, 2005. 3145 p. ISBN: 0-471-49103-9. Vol. 2 pp. 731-752.
- Su, Z., Wen, J., Dente, L., van der Velde, R., Wang, L., Ma, Y., Yang, K., and Hu, Z. 2011, *The Tibetan Plateau observatory of plateau scale soil moisture and soil temperature (Tibet-Obs) for quantifying uncertainties in coarse resolution satellite and model products*, Hydrol. Earth Syst. Sci., 15, 2303–2316, 2011, [www.hydrol-earth-syst-sci.net/15/2303/2011/](http://www.hydrol-earth-syst-sci.net/15/2303/2011/), doi:10.5194/hess-15-2303-2011.
- Su, Z., de Rosnay, P., Wen, J., Wang, L. and Zeng, Y. (2013) *Evaluation of ECMWF's soil moisture analyses using observations on the Tibetan Plateau*. J. Geophys. Res., [118 \(11\)](https://doi.org/10.1029/2012JD18288), pp 5304–5318.
- Su, Z., Fernández-Prieto, D., Timmermans, J., Xuelong Chen, Hungershofer, K., Roebeling, R., Schröder, M., Schulz, J., Stammes, P., Wang, P. and Wolters, E. (2014) *First results of the earth observation Water Cycle Multi - mission Observation Strategy (WACMOS)*. Int. J. Appl. Earth Obs. Geoinfor., 26 (2014) pp. 270-285.
- Zheng, D., Van Der Velde, R., Su, Z., Booi, M.J., Hoekstra, A.Y., 2013, [Assessment of Roughness Length Schemes Implemented within the Noah Land Surface Model for High Altitude Regions](https://doi.org/10.1029/2012JD18288). J. Hydrometeor., doi: <http://dx.doi.org/10.1175/JHM-D-13-0102.1>.
- Zheng, D., Van Der Velde, R., Su, Z., et al., 2014b, *Augmentations to the Noah 1 model physics for application to the Yellow River source area: Part II. Turbulent heat fluxes and soil heat transport*, J. Hydrometeor., in rev.
- Zheng, D., Van Der Velde, R., Su, Z., et al., 2014b, *Augmentations to the Noah 1 model physics for application to the Yellow River source area: Part II. Turbulent heat fluxes and soil heat transport*, J. Hydrometeor., in rev.
- Zeng, Y., Su Z., Wan, L. and Wen, J., 2011, *Numerical Analysis of Air-Water-Heat Flow in the Unsaturated Soil - Is it Necessary to Consider Air Flow in Land Surface Models*. Journal of Geophysical Research – Atmosphere, 116(20), D20107, doi: 10.1029/2011JD015835.
- Zeng, Y., Su, Z., Wan, L. and Wen, J., 2011, *A simulation analysis of the advective effect on evaporation using a two-phase heat and mass flow model*. Water Resources Research, 47(10), W10529, doi: 10.1029/2011WR010701.



“ECW... What?” Jake said.

“ECMWF,” I said. “The European Centre for Medium-Range Weather Forecasts.”

---

“ECM...WF”, Jake said, looking disgusted. “That’s absolutely and without a doubt the ugliest damned acronym I’ve ever heard in my life.”

**From ‘THE SWENSON CODE  
A Land Surface Modeling Thriller  
by R. Koster’**

**Is this why the bus stop is called the "Weather Centre" ?**

**Thank you very much!**

