## Satellite Data Assimilation Overview

#### **Jean-Noël Thépaut**

with thanks to: ECMWF Satellite Section Christina Köpken, Mike Fisher, Alain Ratier, Hal Bloom



**ECMWF seminar September 2003** 

## Outline

- Introduction to the Satellite Observing System
- What do satellite data measure?
  - Observing techniques
  - Inversion techniques
- Importance of satellite data in current NWP data assimilation systems
  - Data volume
  - Information content
  - Impact studies
- Assimilation of satellite data: current issues
- Future evolution and challenges

# Introduction to the Satellite Observing System

#### Two different types of space agencies

- → Research Agencies
- → Operational Agencies

#### • Two ways of looking at the earth/atmosphere

- → GEO (geostationary satellites)
- → LEO (low earth observing satellites)



## **RESEARCH AGENCIES**

- NASA: National Aeronautics and Space Administration
- NASDA: National Space Development Agency (soon JAXA: Japanese Aerospace eXploration Agency)
- ESA: European Space Agency

#### ...(several other national agencies)

- Research Agencies promote demonstration missions, with innovative technologies
- Research instruments can provide independent information for model and/or other observations validation
- Near Real Time delivery of data is not necessarily a priority
- Research satellites pioneer future operational missions
- In principle, the life time of research missions is short (<10 years)



## **OPERATIONAL AGENCIES**

### EUMETSAT: EUrope's METeorological SATellite organisation

# NOAA: National Oceanic and Atmospheric Administration NOAA-NESDIS-DMSP

- •JMA: Japan Meteorological Agency
- Russia, China,...
  - Operational Systems inherit from Research demonstration missions
  - Operational Satellites are committed to Real Time delivery to end-users
- Operational missions ensure a stabilised long-life mission technology (HIRS instrument onboard NOAA satellites has lasted for ~30 years)



## **Operational versus Research Agencies**

Thanks to a WMO initiative, R&D satellites are now fully considered as part of the Global Observing System

Should ease the transition from research to operations

Has implications on NRT delivery requirements

- Operational centres use pragmatically R&D instruments:
  - for model validation (POLDER, CERES,...)
  - for data assimilation (ERS, QUIKSCAT, AIRS,...)



## **GEOSTATIONARY OBSERVING SYSTEMS**

#### (36 000 km from the earth)

#### Advantages:

- Wide space coverage (whole disk)
- Very high temporal coverage ( a few minutes)
  - Particularly suitable for short-range NWP and Now-casting applications
  - Suitable also for meteorological feature tracking
    - (Atmospheric Motion winds)
  - Suitable for applications in which the diurnal cycle representation is crucial
- Drawbacks:
  - Spatial coverage limited to the disk (need for constellation)
  - Unsuitable to observe the polar regions



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#### Low Earth Orbiting OBSERVING SYSTEMS (400 to 800 km from the Earth)

#### • Advantages:

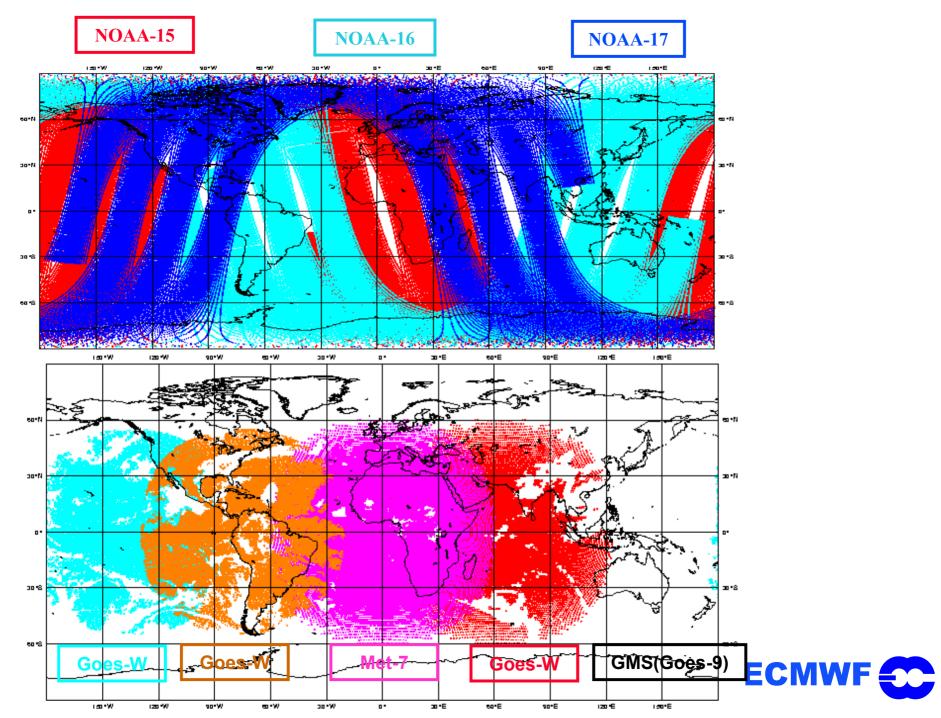
- Cover the whole earth after several cycles (polar orbiting satellites)
- More suitable to sound the atmosphere in the microwave spectrum.
- Drawbacks:
  - Moderate temporal sampling (several hours to go back to the same point)
  - Requires constellation to ensure a reasonable temporal sampling



### **Current Space based Observing System**



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### **Outline**

#### Introduction to the Satellite Observing System

#### What do satellite instruments measure?

 Importance of satellite data in current NWP data assimilation systems

Assimilation of satellite data: current issues

Future evolution and challenges



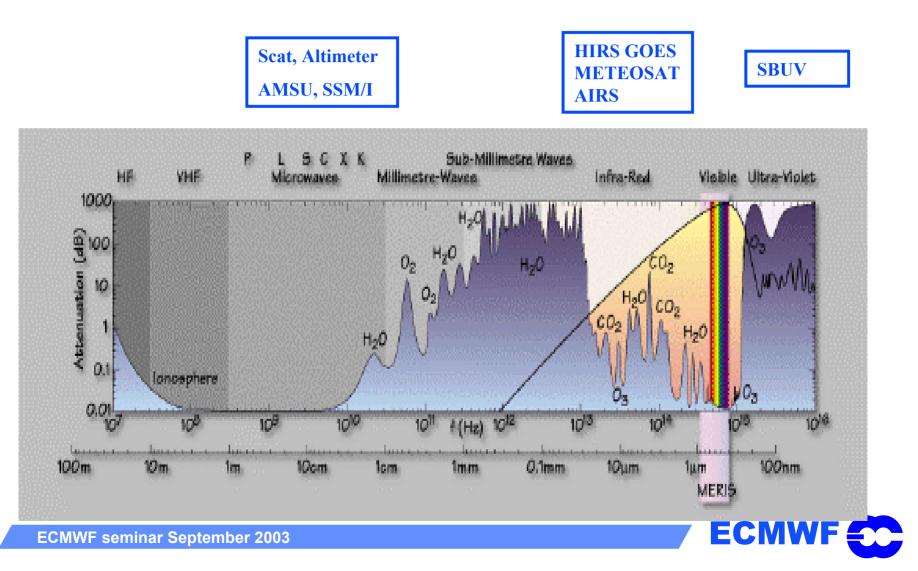
## What do satellite instruments measure?

- Satellite instruments are specific in that they do not measure directly geophysical quantities (temperature, moisture, ozone, wind,...)
- Satellite instruments measure the radiation emitted by the Earth/Atmosphere
- The conversion of this measurement into a geophysical information is an inverse problem
- Data assimilation techniques try to solve this inverse problem as "optimally" as possible

 $Y_b = H(X_b)$  Forward modelling problem (Radiative Transfer Equation)  $X_a = H^{-I}(Y_{obs})$  Inverse problem (need for prior information)



 Depending on the wavelength, the radiation at the top of the atmosphere is sensitive to different atmospheric constituents



## **Three ways of sensing the Earth/Atmosphere**

#### Passive technologies

Passive instruments sense the:

- natural radiation emitted by the Earth/Atmosphere
- solar radiation reflected by the Earth/Atmosphere
- Active technologies
  - Active instruments:
    - Emit radiation towards the Earth/Atmosphere
    - Sense how much is scattered (or reflected) back
- GPS technologies
  - GPS receivers:
    - Measure the phase delay of a GPS signal when refracted through the atmosphere



## **Passive technologies**

- "Imaging" instruments
  - Sense in spectral "window" regions where the atmosphere is close to transparent, therefore sense essentially the surface emission
  - Provide indirectly information on:
    - VIS/IR: surface temperature, cloud top, wind (through cloud motion), snow/ice, vegetation
    - → µW: surface ocean wind speed, sea-ice, total column water vapour, cloud liquid water, rain
  - Vis/IR instruments: AVHRR on NOAA, MODIS on TERRA/AQUA, GOES+METEOSAT/MSG,...
  - Microwave instruments: SSM/I on DMSP, TMI on TRMM, AMSR on AQUA and ADEOS-2,...



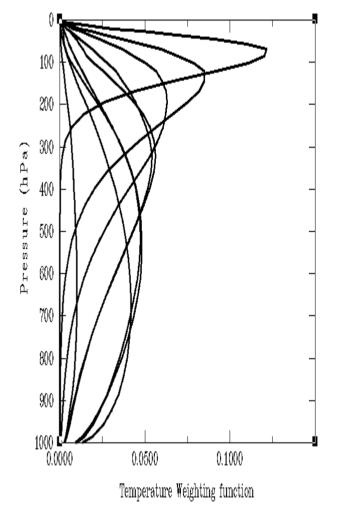
## **Passive technologies**

- "sounding" instruments
  - Sense in spectral regions where the contribution from the surface is negligible (strong atmospheric absorption bands)
  - Provide indirectly information on:
    - IR: profiles of temperature-humidity-ozone, surface temperature (limited to non cloudy areas)
    - → µW: temperature and humidity profiles (limited to non rainy areas)
  - IR instruments: HIRS on NOAA, AIRS on AQUA, GOES,...
  - Microwave instruments: AMSU-A, AMSU-B on NOAA,...



## **Passive sounding instruments: AMSU-A**

#### Sense radiation from different atmospheric layers by selecting different absorption bands





## **Active technologies**

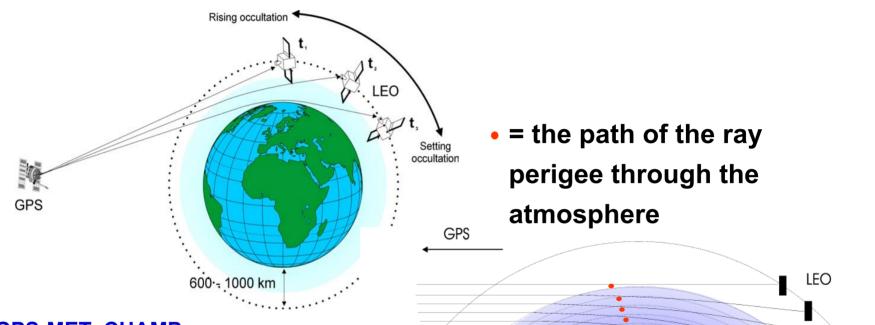
#### Active instruments

- Send radiation to a target (Earth/Atmosphere) and measure what is back reflected/scattered.
- Provide indirectly information on:
  - → Surface wind (scatterometers, radar altimeter)
  - Sea surface height, wave height and spectra (altimeters, SARs)
  - → Rain, cloud and aerosol profiles (radars, lidars)
  - → Atmospheric wind profiles (Doppler lidars)
  - → Moisture profiles (DIALS)

TRMM-PR, ERS-2 (Scat/RA/SAR), SeaWinds on QuikScat and ADEOS-2, ENVISAT (RA-2, ASAR)



## **GPS radio occultation technologies**



#### • GPS-MET, CHAMP

•The impact of the atmosphere on the signal propagation depends on the refractivity => the vertical profile of the refractivity (and further down temperature, humidity and pressure) at the location of the ray perigee can be inverted from the observation



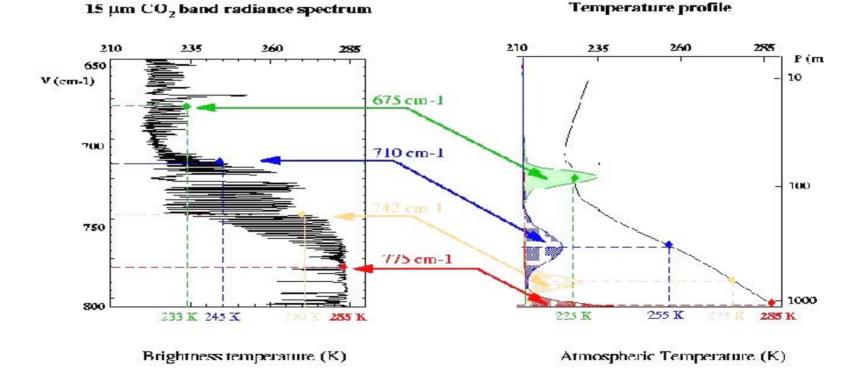
## **GPS radio occultation technologies**

- GPS receivers on LEO work in the following way:
  - Sense the phase delay of a radio signal as its propagation path descents or ascents through the atmosphere and derives the bending angle of the ray propagation path
  - The impact of the atmosphere on the signal propagation depends on the refractivity => the vertical profile of the refractivity (and further down temperature, humidity and pressure) at the location of the ray perigee can be inverted from the observation
  - RO is self calibrating (because the it is based on change rate of the phase delay and not on absolute phase) and provides high vertical resolution
  - ♦ GPS-MET, CHAMP,...



•Atmospheric/Oceanic models need initial conditions in terms of geophysical parameters

•Data assimilation solves this inverse problem





### **Inversion Problem: Example**

Given one observation  $\mathcal Y$  (radiance), a background  $\mathcal X_b$  (temperature/moisture/ozone/surface pressure/...), R and B the associated error covariances,

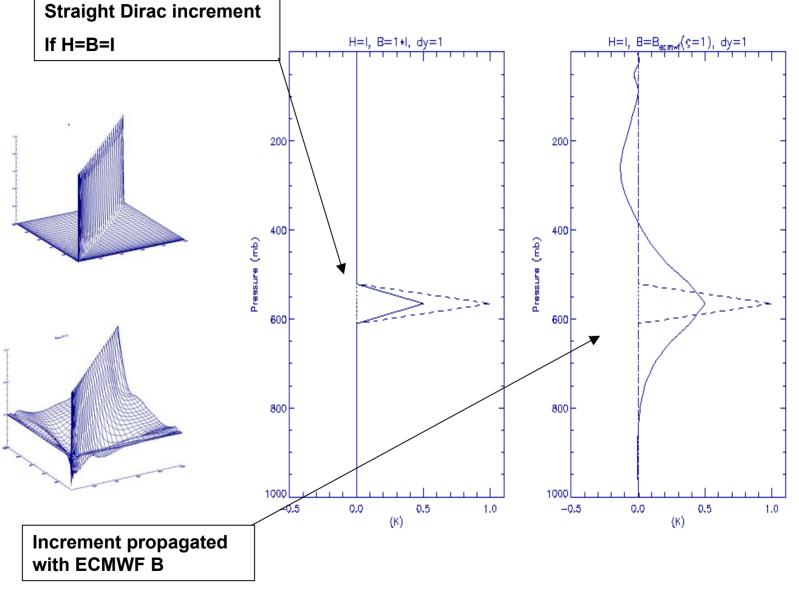
The analysis equation reads:

$$x_a = x_b + \frac{BH^T}{HBH^T + R} [y - H(x_b)]$$

The convolution of B and H will determine how a given measurement information will be distributed in space and among different geophysical quantities



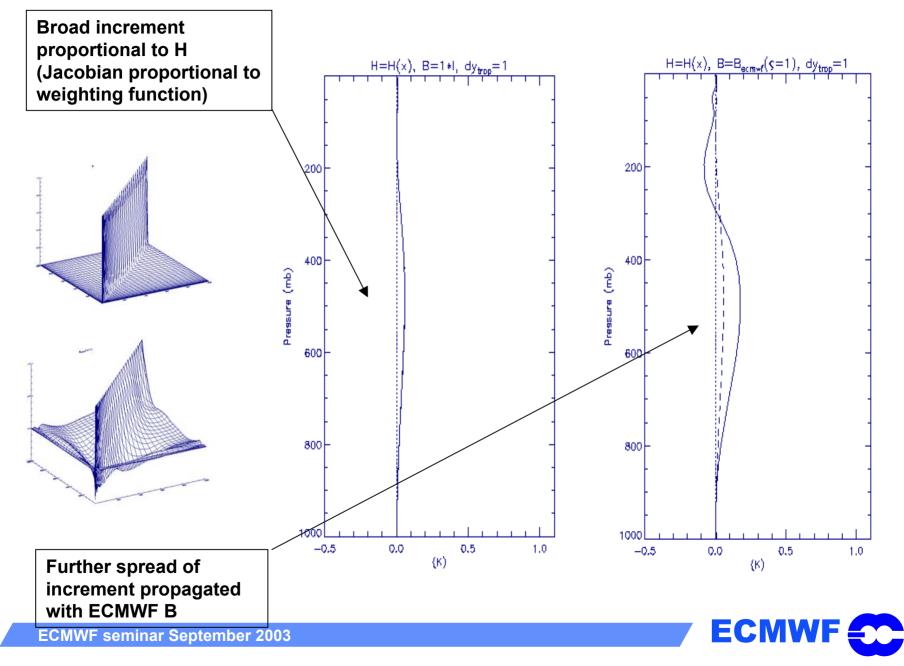
### **Inversion Problem: Example**





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### **Inversion Problem: Example**



## Inversion problem: Importance of **B**

- B together with H will propagate the information coming from the satellite radiances that can sense very broad atmospheric layer. Modelling of B is therefore crucial for a proper assimilation of satellite radiances
- Problem even more complicated when:
  - radiance information has to be distributed in temperature and moisture
- Problem even even more complicated when:
  - Radiance information has to be distributed in temperature, moisture, ozone, CO2, cloud, rain,...
- Problem even even more complicated when:
  - Radiance information has to be distributed in space and time



- Data assimilation in some way or another converts radiance measurements in temperature/moiture/winds,...
- Different possibilities
  - Use of externally generated retrievals
  - Use of interactive retrievals (e. g. 1D-Var retrievals)
  - Direct use of radiances (e.g. 3D-Var or 4D-Var)

 In NWP at least, the direct assimilation of satellite raw radiances has progressively replaced the assimilation of retrievals



- The direct assimilation of radiances has several advantages over that of retrievals:
  - avoid the contamination by external background information for which error characteristics are poorly known
  - Avoid further complicated errors entailed by the processing of the data provider
  - Avoid vulnerability to changes in the processing of the data provider
  - Allow a faster implementation of new data (no delay due to readiness of pre-processing)
  - 3D and 4D-Var allow for some (weak) non linearities in the observation operator
  - Increments further constrained by many other observations/information



#### Exceptions exist:

## Atmospheric Motion Vectors from geostationary satellites

- → Poor ability to represent clouds in observation operators
- Very easy to implement in the system (e.g. MODIS polar winds)
- Surface Winds from Scatterometers
  - Observation operator highly nonlinear
  - → Validation easier with ancillary data
- Ozone information from UV instruments
  - → Poor modelling of the Radiative Transfer in the UV
- The approach has to be based on pragmatism



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#### **ECMWF operations September 2003 (26R3)**

- AQUA AIRS
- 3xAMSUA (NOAA-15/16/17) + AQUA AMSUA
- 3 SSMI (F-13/14/15)
- 2xHIRS (NOAA-16/17)
- 2xAMSU-B (NOAA-16/17)
- Radiances from 5xGEOS (Met-5/7 GOES-9/10/12)
- Winds from 4xGEOS (Met-5/7 GOES-10/12) and MODIS/TERRA
- SeaWinds from QuiKSCAT
- ERS-2 Altimeter / SAR (limited coverage)
- **SBUV (NOAA 16)**

27 satellite data sources!

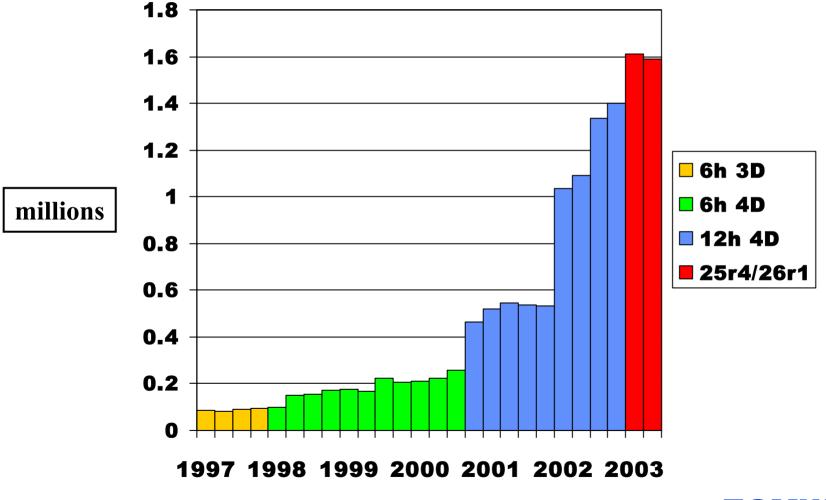


ENVISAT OZONE (MIPAS)

#### **Observations used at ECMWF**

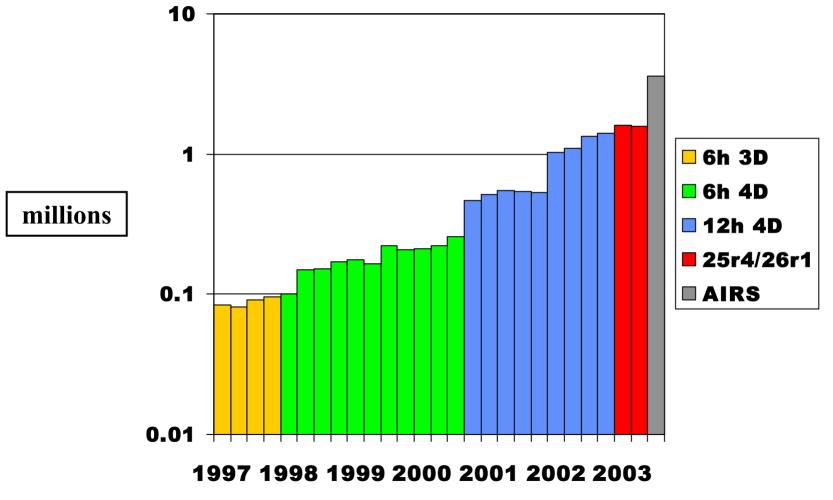
Boundary & Initial Field	Conventional Observations	Current Satellites Or Instruments	Future Satellites Or Instruments
Orography Surface Type/Veg. Snow Cover Soil Moisture Albedo	SYNOP (T <sub>2m</sub> ,RH <sub>2m</sub> ) Manual OBS	GPS AVHRR, MODIS, AIRS AVHRR, SSM/I METEOSAT, GOES, GMS	IASI,CrIS,GIFTS,polder SMOS SEVIRI
SST/salinity Sea Ice Cover Waves / Roughness	Ship, Buoy	AVHRR, ATSR, AATSR SSM/I, AVHRR, AMSR Alt, SAR, RA2, ASAR	SMOS,Jason-2 SSM/IS
Wind Temperature	RS, Aircraft, Pilot Profiler, SYNOP, Ship, Buoy RS, Aircraft, SYNOP	AMVs (GEO/MODIS), SSM/I, ERS, QuikScat Adeos-2, Windsat AMSU-A, HIRS, AIRS MODIS	ADM-AEOLUS, ASCAT IASI, CrIS, GIFTS, SSM/IS, GRAS, ACE+,
Humidity	RS, SYNOP	HIRS, AMSU-B, METEOSAT SSM/I, GOES,AIRS, MODIS	IASI, MHS, SSM/IS, SEVIRI, GRAS, ACE+,
Clouds/aerosols	SYNOP	AVHRR, HIRS, GEO Sat. MODIS, AIRS	IASI, CrIS, GIFTS,Earthcare SEVIRI, CLOUDSAT,polder
Rain	Rain gauges	TRMM/TMI, SSM/I	Calipso,… SSM/IS, AMSR, (E)GPM
Ozone / Chemical Species	Ozone sondes	SBUV, SCIA, AIRS HIRS-9, MIPAS, GOMOS	IASI, OMI, OMPS, GOME-2

## Number of observational data used in the ECMWF assimilation system (prior AIRS)





## Number of observational data used in the ECMWF assimilation system (with AIRS)





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#### Current data count **26R3** (18/06/03 00Z)

Screened			assimilated			
• :	Synop:	190370	(0.27%)	<ul> <li>Synop</li> </ul>	: 38112	(1.06%)
•	Aircraft:	233306	(0.33%)	• Aircrat	t: 146749	(4.07%)
• :	Satob:	543340	(0.78%)	• Satob:	71220	(1.97%)
• [	Dribu:	15081	(0.02%)	• Dribu:	4381	(0.12%)
•	Гетр:	110998	(0.16%)	• Temp:	63763	(1.77%)
• •	Pilot:	98364	(0.14%)	• Pilot:	56324	(1.56%)
• UpperSat : 68358565		(97.97%)	• Upper	Sat : 3107200	(86.19%)	
• •	PAOB:	530	(0.00%)	• PAOB:	185	(0.00%)
• :	Scat:	222410	(.32%)	• Scat:	117196	<b>i (3.25%)</b>
тот	AL:	69 772 964		TOTAL:	3 605 1	30

99.07% of screened data are Satellite Data

91.41% of assimilated data are Satellite Data



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## **Information content**

- A pure data count can be misleading (although these absolute figures have direct cost/disk space implications)
- There are various ways of estimating the information content of data types (see Cardinali's lecture)
  - **Exemple:** *DFS* = Degrees of Freedom for Signal  $DFS = tr(\mathbf{I} - \mathbf{AB}^{-1})$  **B** Background error covariance matrix
    - $DFS = n \sum_{\lambda \in \sigma(\mathbf{AB}^{-1})} \lambda$

H Observation operator

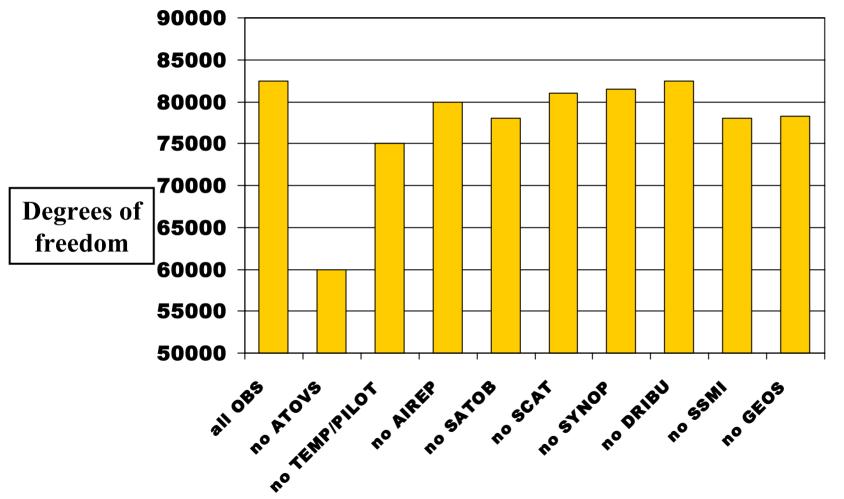
where

$$\mathbf{A} = \left(\mathbf{B}^{-1} + \mathbf{H}^{\mathrm{T}}\mathbf{R}^{-1}\mathbf{H}\right)^{-1}$$

- **R** Observation error covariance matrix
  - Analysis error covariance matrix



## Information content of the ECMWF analysis (Fisher, 2003)



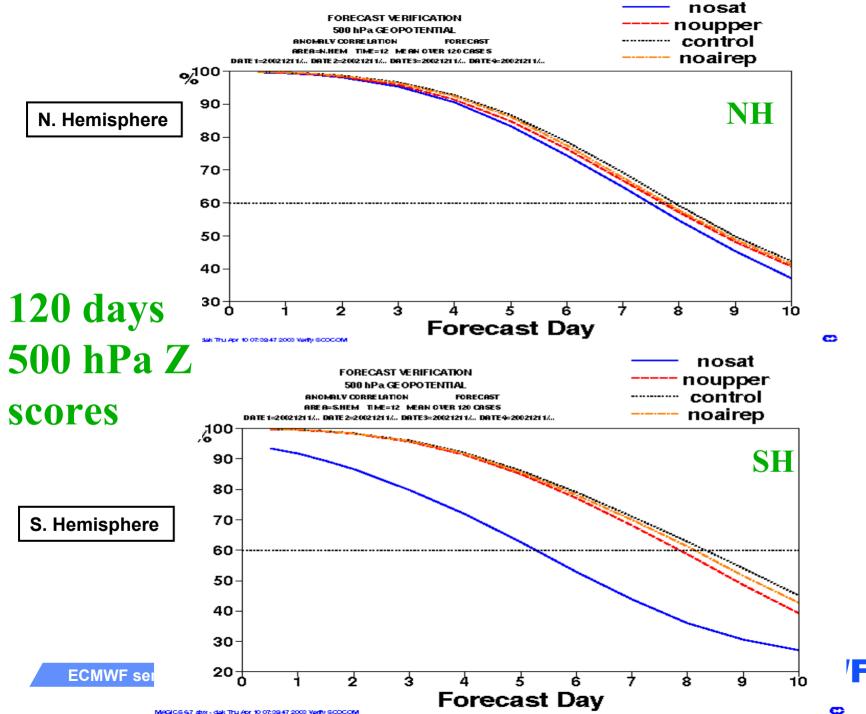


# **Impact studies**

 Observing System Experiments (OSEs) are a very useful sanity check for both the data assimilation and the observing system (see Dumelow's lecture)

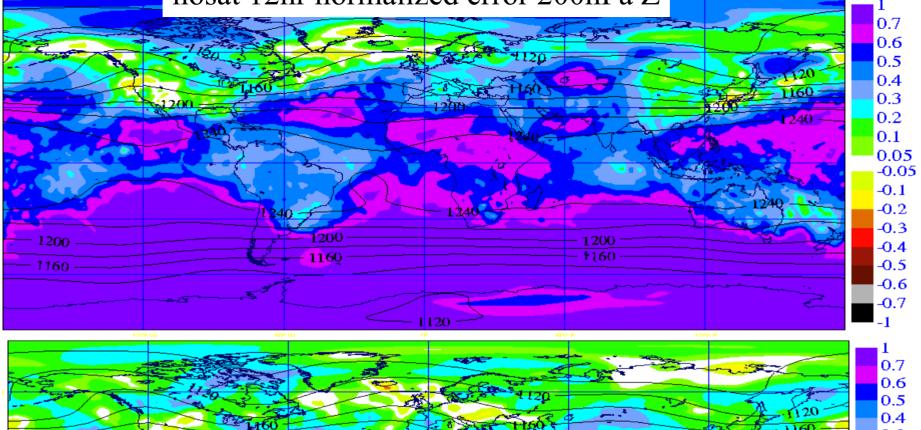
 A 120 case OSE has been undertaken at ECMWF (Kelly, 2003) to evaluate the quality of the different major Observing Systems





C

### nosat 12hr normalized error 200hPa Z



+200

1200

1160

nosat 48hr normalized error 200hPa Z

1200

1160

200

1160

-0.5 -0.6 -0.7 -1

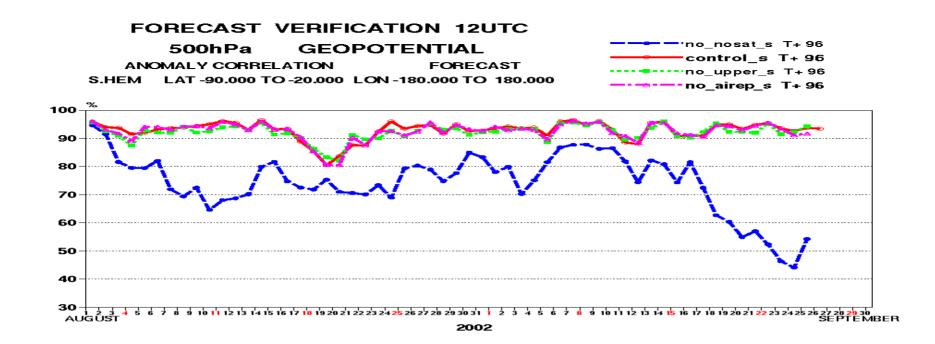
0.3

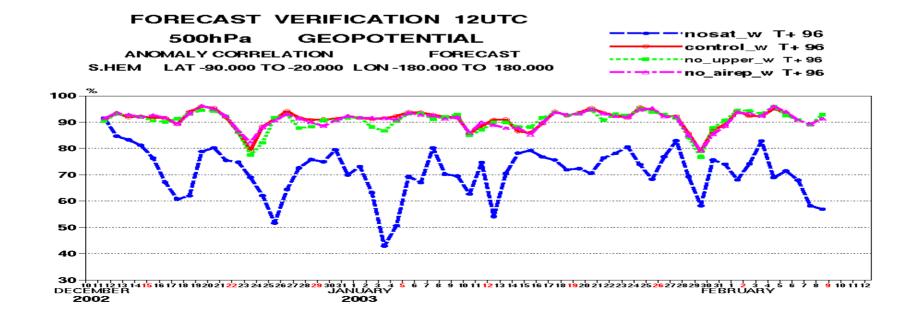
0.2

0.1 0.05 -0.05 -0.1 -0.2 -0.3

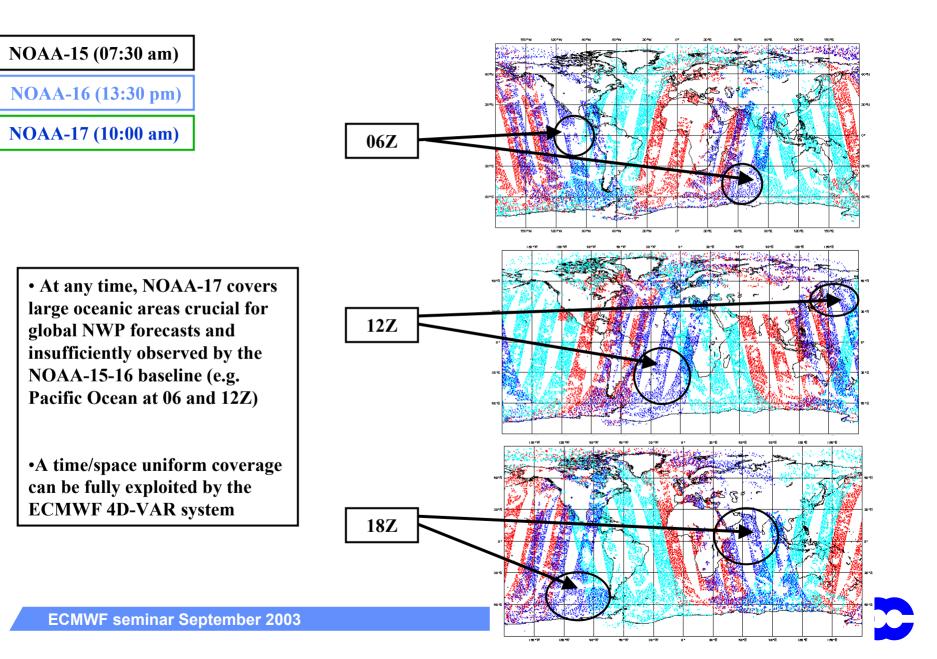
-0.4

1240

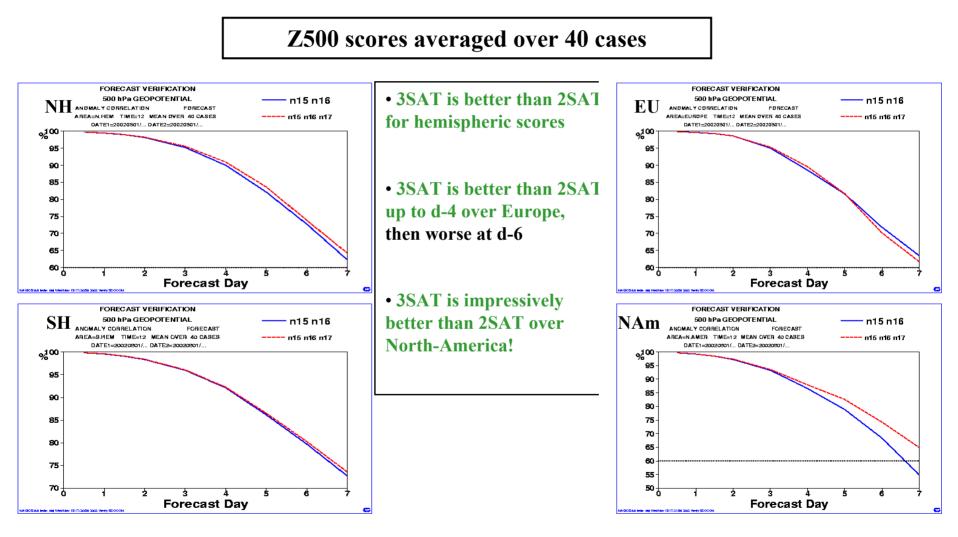




#### Impact of 3 sounding (AMSU-A) instruments



### **Outcome of the assimilation studies** (3SAT versus 2SAT)





# **Other (less spectacular?) examples of successful assimilation of satellite data**

Assimilation of geostationary clear-sky water vapour radiances

Allow a global control of the Upper Tropospheric Humidity in the Tropics

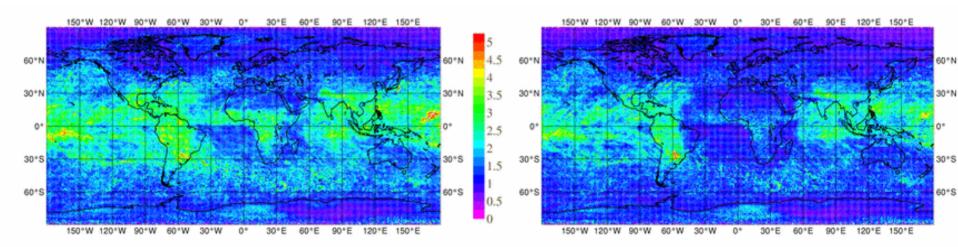
 Assimilation of ozone observations from MIPAS onboard ENVISAT

Allow a reasonable distribution of ozone in the ECMWF analysis



### **Assimilation of Meteosat-7 clear-sky water vapour radiances**

### Impact of the data: Visible with passive HIRS-12 radiances (NOAA-15)

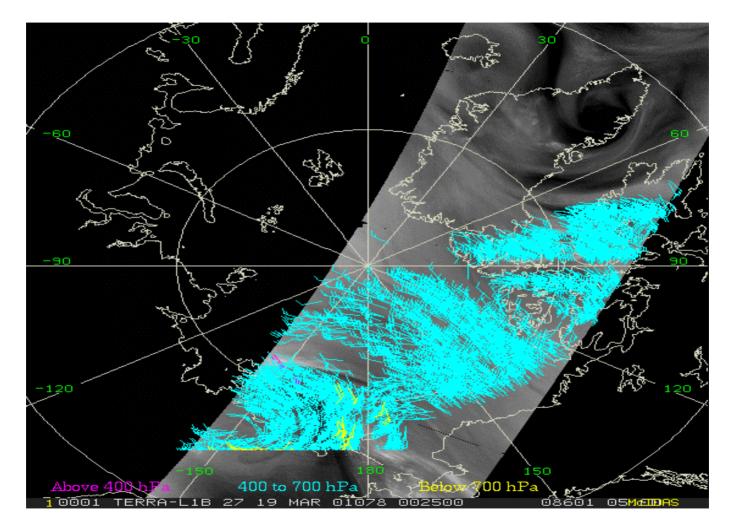


STDV (HIRS-12 – model analysis)



STDV (HIRS-12 – model first guess)

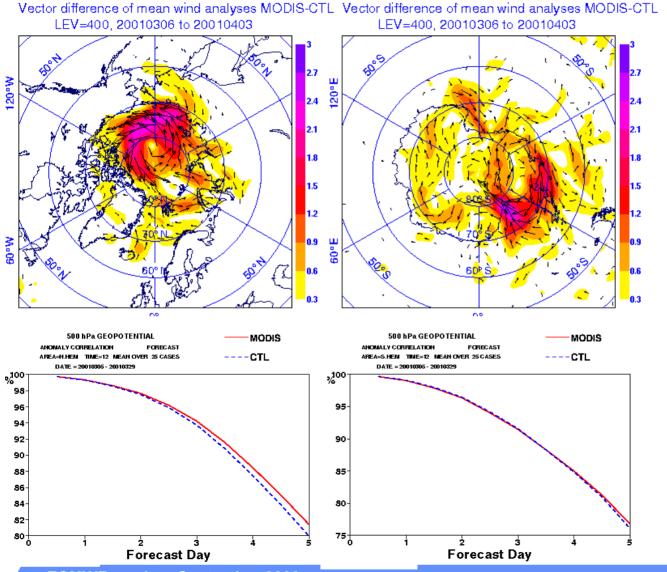
# **Polar WV winds from MODIS**





Source: P. Menzel, 2003

### **Impact of MODIS polar winds**

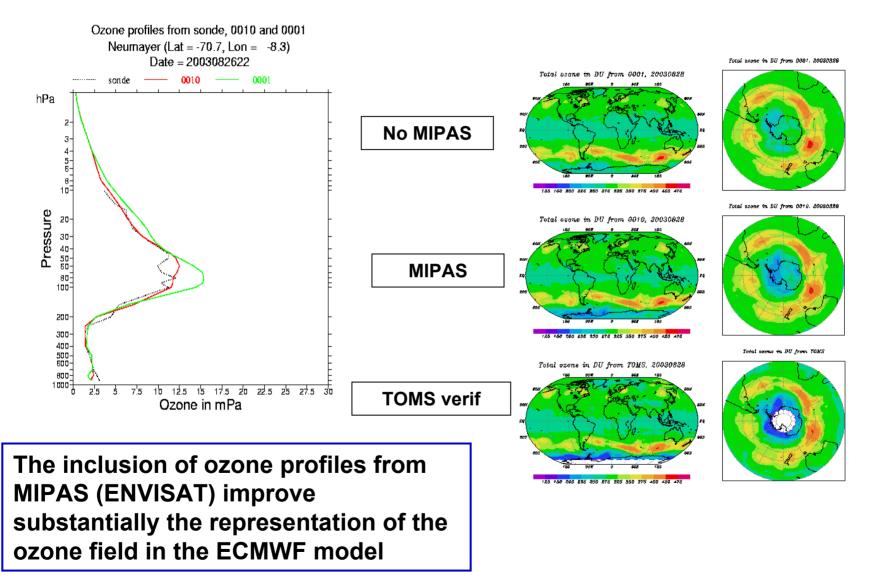


Difference between the mean wind analyses of the MODIS experiment and the control.

Hemispheric forecast scores for the MODIS experiment and the control.



# **Assimilation of ozone data from MIPAS**





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Assimilation of satellite data: current issues

### Future evolution and challenges

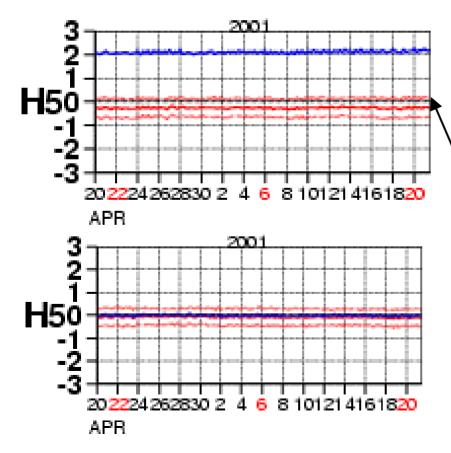


# Important issues for the assimilation of satellite radiances

- **Biases:** 
  - Systematic errors must be removed before the assimilation (bias correction)
  - Various sources of systematic errors:
    - → Instrument error (calibration)
    - Radiative transfer error
    - Cloud/rain detection error
    - Background model error
  - Difficult to disentangle between various sources
  - Importance of MONITORING departures between model background (and analysis) and various observations (see Talagrand and Andersson's lectures) ECN

### **Cross-validation between various instruments (1)**

## **Comparing the model with independent instruments help identifying the source of the bias**



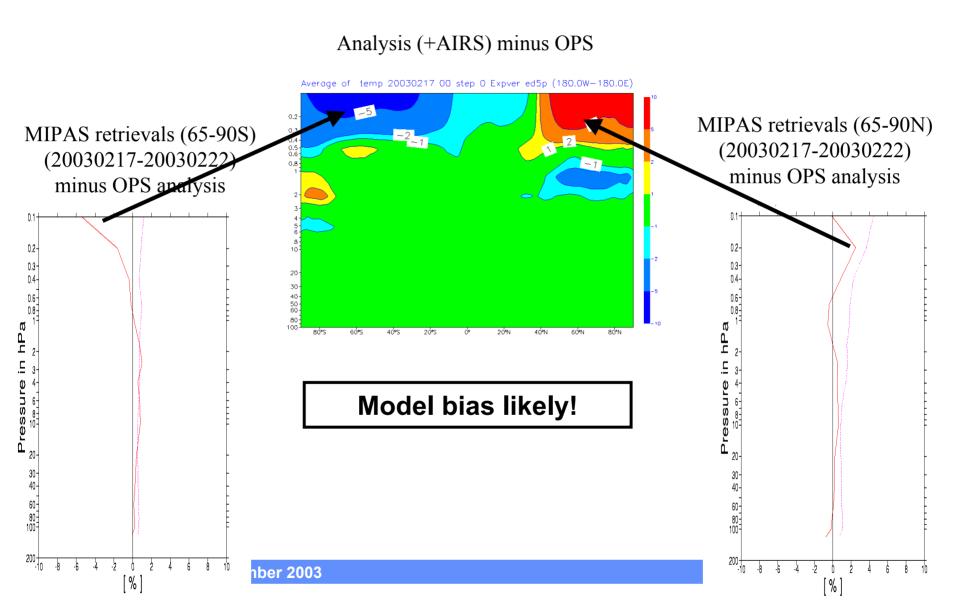
HIRS channel 5 (peaking around 600hPa) on NOAA-14 satellite has +2.0K radiance bias against model

Instrument bias likely!

HIRS channel 5 (peaking around 600hPa) on NOAA-16 satellite has no radiance bias against model.



### **Cross validation between various instruments**



# Important issues for the assimilation of satellite radiances

- Quality control:
  - To reject data of "bad" quality
  - To reject data that cannot be simulated properly by the model (or the observation operator)
    - Clouds, rain, land surface emission,...
- Thinning:
  - Discrepancy between satellite resolution and background error covariance horizontal scales
  - Computational burden of processing high resolution data

Poor representation of observation error correlations



# Important issues for the assimilation of satellite radiances

- Observational error characterization:
  - In principle much easier in radiance space
  - ♦ However,
    - R should represent instrument, radiative transfer and representativeness error (inter channel correlations)
- Radiative transfer forward modelling:
  - To assimilate channels affected by solar reflection
  - To assimilate radiances over land/ice
  - To simulate radiances in the UV domain
  - To properly account for trace gases, clouds, precipitation, aerosols,...



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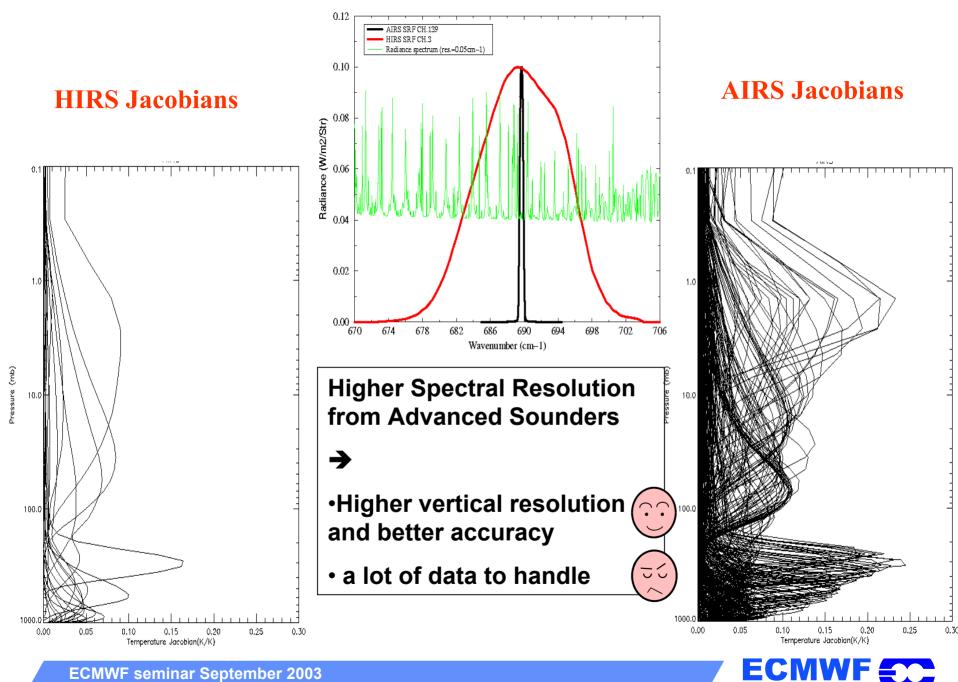


# **Future evolution and challenges**

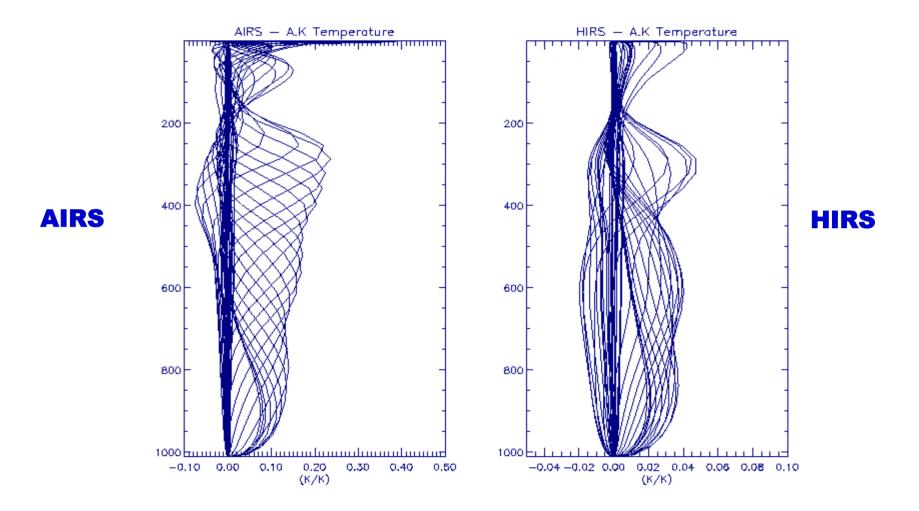
### Assimilation of advanced IR sounders

- Already happening!
- Main issues are:
  - Cloud detection
  - → Data volume handling
  - Efficient monitoring and bias correction
  - →...
- Environment opportunities (see Hollingsworth's lecture)
- Within a few years, operational missions will fly these instruments (3 advanced sounders in 2006)





# Better measure of improved resolution is provided by the averaging kernels



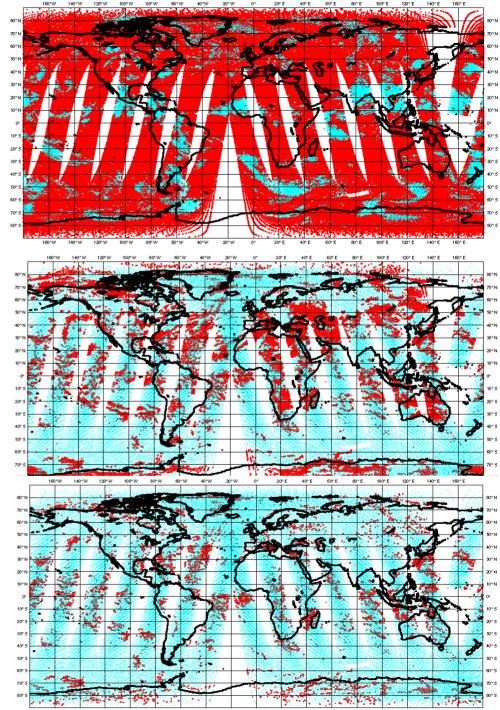




AIRS channel **145** clear data 14.5micron similar to HIRS channel 3 100hPa

AIRS channel **226** clear data 13.5micron similar to HIRS channel 5 600hPa

AIRS channel **787** clear data 11 micron similar to HIRS channel 8 window



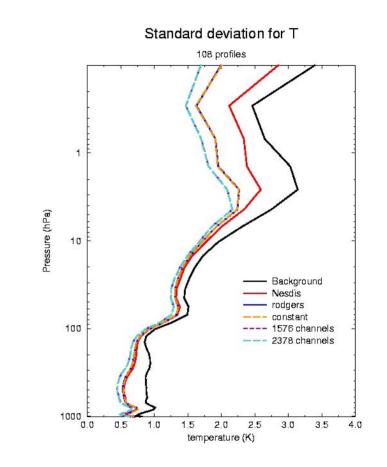
# **Data volume handling**

# •Every AIRS FOV provides 2300 radiances

•A channel selection/data compression strategy has to be designed

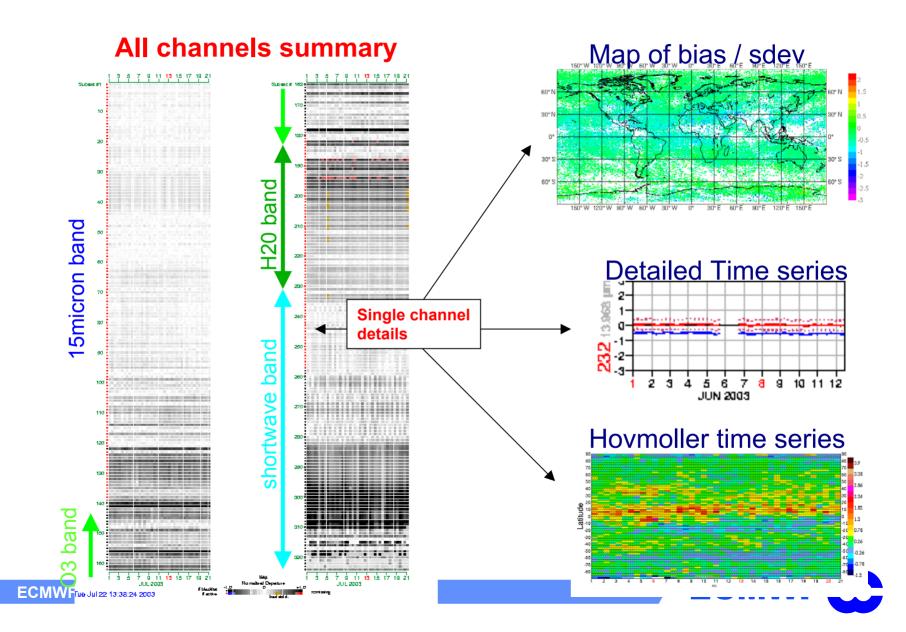
•Day-1 approach using a frozen set of 300 channels performs reasonably well but SNR performance is lost

•Spectral compression using e.g. truncated EOF's is a way to ease the data volume issue and optimally retain the original information in the data (to be tested)





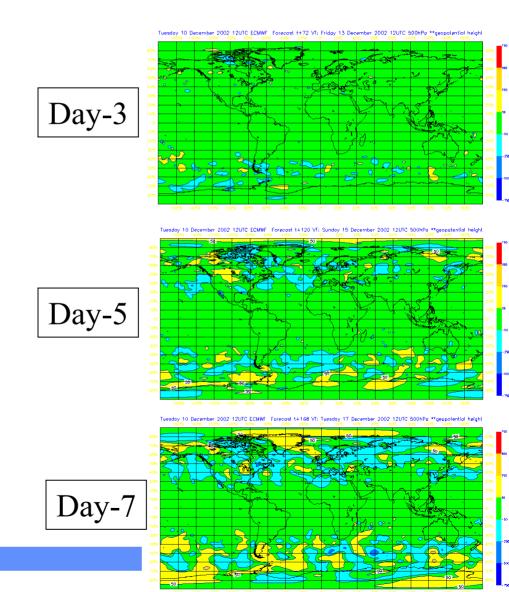
# **AIRS** monitoring



# **AIRS forecast impact**

RMS of 500hPa geopotential forecast error averaged over 40 days (Dec 02/ Jan 03)

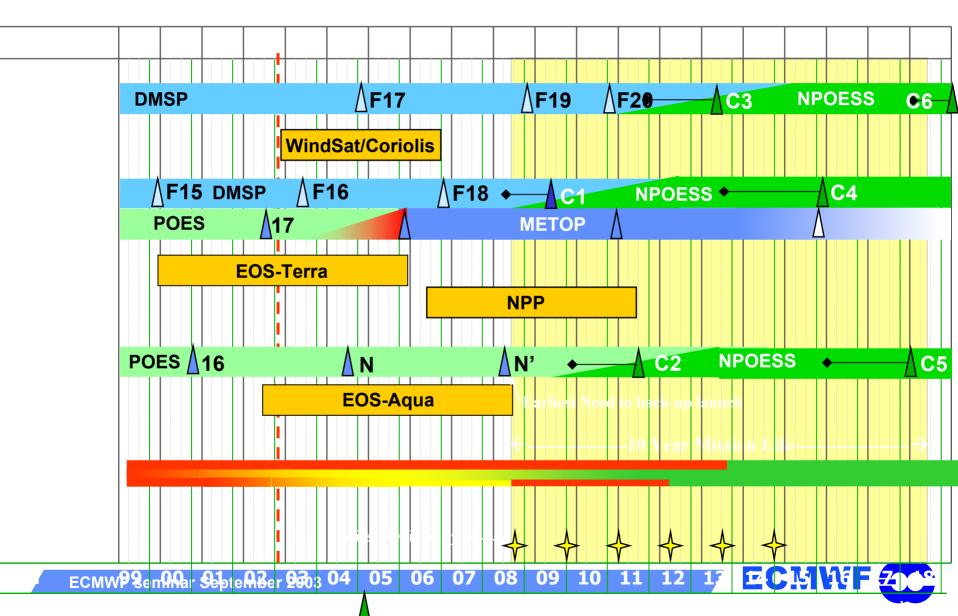
[AIRS error] minus [CTRL error]



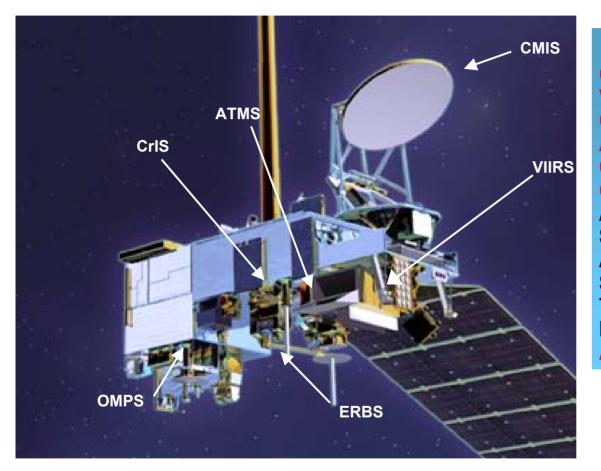
The assimilation of AIRS radiances shows a small but consistent positive impact on forecast quality in all areas

## **Satellite Transition Schedule**

from POES era to NPOESS/EPS (source Hal Bloom)



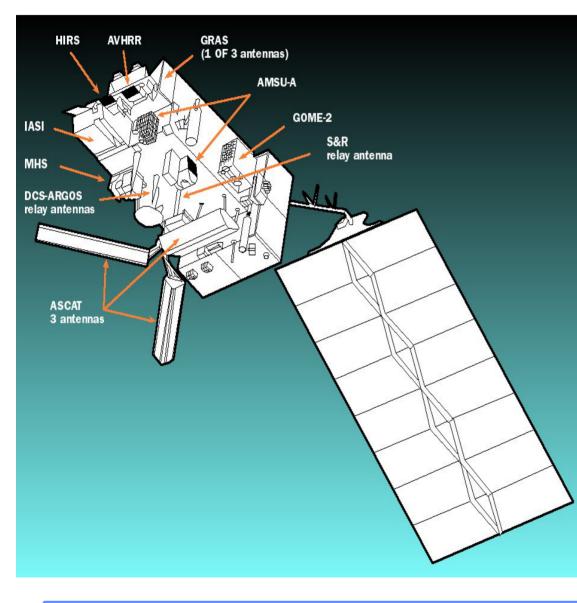
### **NPOESS Satellite**



CMIS - µwave imager VIIRS - vis/IR imager CrIS - IR sounder ATMS - µwave sounder OMPS - ozone GPSOS - GPS occultation ADCS - data collection SESS - space environment APS - aerosol polarimeter SARSAT - search & rescue TSIS - solar irradiance ERBS - Earth radiation budget ALT - altimeter



## **METOP Satellite**



AMSU-A/MHS HIRS AVHRR IASI GRAS GOME-2 ASCAT S&R DCS-ARGOS

- AMSU-A/MHS µwave sounder
  - IR sounder
  - vis/IR imager
  - ad. IR sounder
  - GPS occultation
  - ozone
  - Scatterometer



# **The Initial Joint Polar System**

CMIS - µwave imager

- VIIRS vis/IR imager
- CrIS IR sounder
- ATMS µwave sounder

OMPS - ozone GPSOS - GPS occultation

ADCS - data collection SESS - space environment APS - aerosol polarimeter SARSAT - search & rescue TSIS - solar irradiance ERBS - Earth radiation

- budget
- ALT altimeter

### NPOESS



AMSU-A/MHS - µwave sounder HIRS - IR sounder AVHRR - vis/IR imager IASI - ad. IR sounder - GPS occultation GRAS GOME-2 - ozone ASCAT - Scatterometer S&R **DCS-ARGOS** 

#### **METOP**

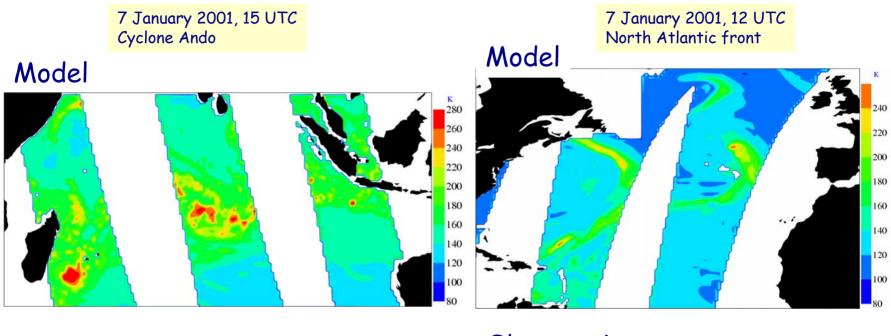


# **Future evolution and challenges**

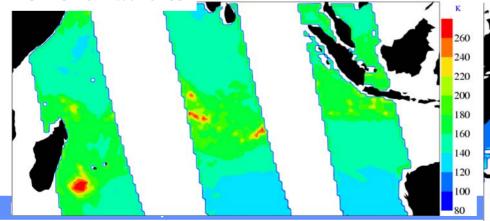
- Assimilation of clouds and precipitation
  - Currently, the assimilation of satellite information concerns only 20% of the globe
  - The ability of atmospheric models to describe cloud and precipitation is continuously improving
  - A number of space missions are already up and major others will come (GPM)
  - Issues:
    - Non smooth processes (see Janisková's lecture)
    - Representativeness errors
    - Predictability of the cloudy/rainy systems
    - Radiative transfer and background error modelling



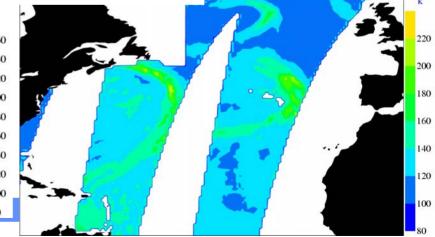
# Model vs.Observation: TB<sub>19h</sub> [K]



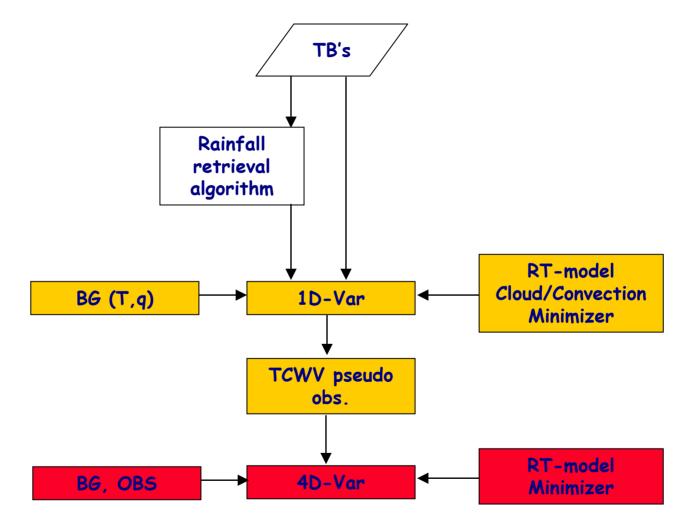
### Observations



### Observations

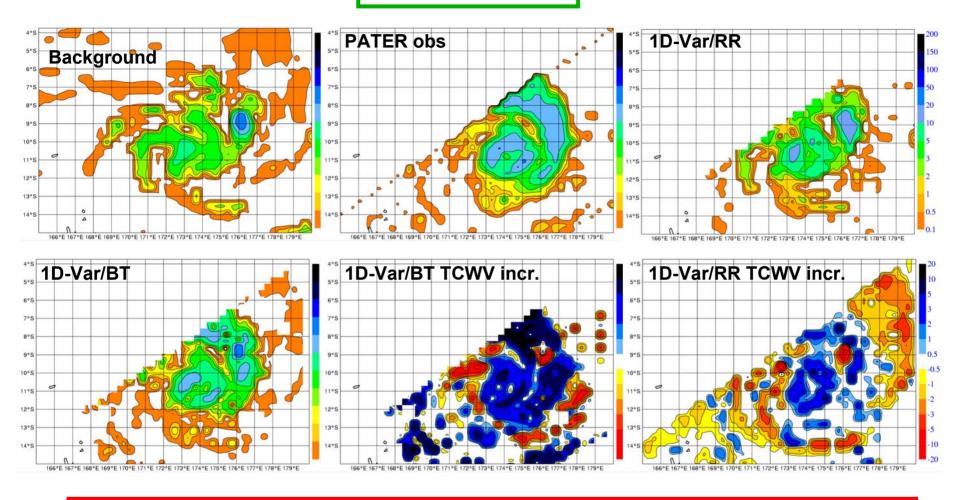


### **Exemple: 1D+4D-Var approach to assimilate rain information from satellites**





### 1D-Var results

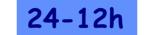


Case of tropical cyclone ZOE (26 December 2002 @1200 UTC)

Surface rainfall rates (mm  $hr^{-1}$ ) and TCWV increments (kg  $m^{-2}$ )

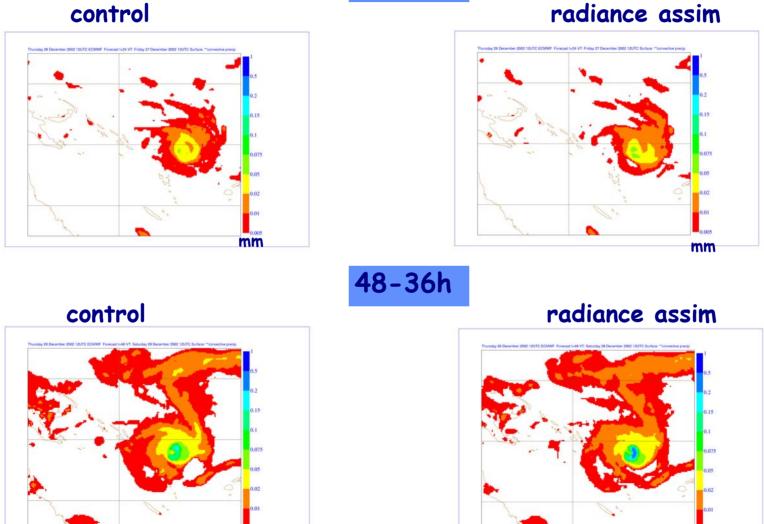
**ECMWF** 

### 4D-Var forecast, 26/12/02 12 UTC + 24/48h



radiance assim

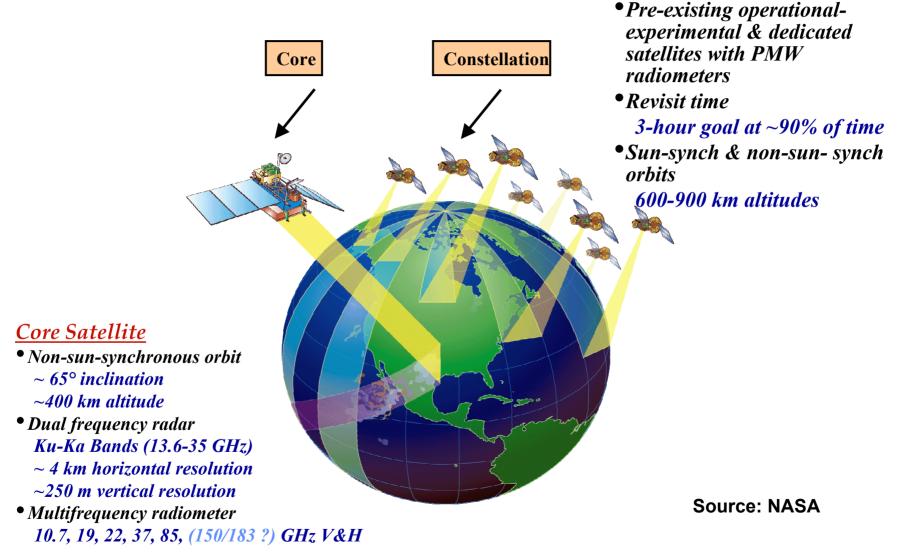
mm



mm

F

# **GPM - Global Precipitation Mission**





**Constellation of Satellites** 

# **Future evolution and challenges**

- More generally, ACTIVE TECHNOLOGIES (radars, lidars) will provide detailed vertical information on hydrometeors (Cloudsat, GPM, ...), aerosols (EarthCare), wind (ADM-AEOLUS) that data assimilation schemes should exploit (maybe challenging for variational schemes)
- Limb sounding (passive and active) techniques raise new challenges for data assimilation. These instruments will also contribute to improved temperature/moisture/ozone vertical resolution
- Satellite data will increasingly be of interest for:
  - Iand data assimilation
    - → Surface type, soil moisture,...: MSG, MODIS, AMSR, SMOS,...
  - Ocean data assimilation
    - → SST, sea state, salinity, gravity, ocean colour..: Topex, Jason(2), ERS,SMOS, GRACE, GOCE, MERIS,...



# **Concluding remarks**

- Satellite data have been very succesfully exploited by new data assimilation schemes (DA schemes are such that introducing additional well characterised satellite data improves the system)
- The combined availability of new accurate satellite observations and improvement of models will allow an improved extraction of information content from these new data (parallel upgrades of *B* and *Y*)
- The proliferation of new satellite instruments makes it hard for end-users to keep up (choices will have to be done)
- Massive investment in data handling and monitoring should be done (or pursued)
- Short-loop dialogue between users and space agencies is vital!
   ECMWF seminar September 2003

# THE LIST OF ACRONYMS WILL BE PROVIDED IN THE PROCEEDINGS!

